

Polycentric Circles

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The Workshop's Next Generation

Despite indications to the contrary, many of us familiar with both the Workshop and human limitations suspect that someday, the invincible Elinor Ostrom might step down from her long-held position as Co-Director of the Workshop. Lin, along with co-founder and husband Vincent Ostrom, has played a prominent role in Workshop activities since its inception. As the Workshop moves into the future, it continues to be a bustling place: more visiting scholars applied (and were accepted) for the current semester than ever before; the publication of both articles and books by alumni and affiliates is gaining momentum. Amongst all of this



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activity, it is perhaps easy to lose sight of the next generation, the cadre of capable, energetic scholars who are already beginning to take the Workshop's core principles to the next level. In addition to highlighting a few of them below, the Workshop now has a very active Advisory Council (Chair Michael McGinnis and members Burney Fischer, Jeff Stake, Matt Auer, Roger Parks, and Bill Bianco). This is the first in an ongoing series of articles highlighting the activities of our many affiliates.

JIMMY WALKER

Dr. James Walker is a self-identified red-neck with a penchant for experimental economics. Jimmy's association with the Workshop extends over decades, including a previous stint as Co-Associate Director. As of August, Jimmy has again assumed a leadership role by taking on the role of Co-Director with Lin Ostrom.

Jimmy's principal research focus is the use of experimental methods in the investigation of individual and group behavior in both market and nonmarket contexts. This research examines to what extent institutional rules, monetary incentives, and social norms shape behavior in a wide variety of strategic decision environments. For example, Jimmy has devised and implemented experiments where multidisciplinary issues related to collective action, self-governance, trust, and reciprocity are examined through a subject's willingness to make voluntary contributions to provide public goods, their willingness to defer consumption from jointly held common-pool resources, and

through situations that require blind trust to achieve efficient outcomes. Jimmy's extensive experimental research was an important factor in establishing the spatial-experimental lab that is available for campus-wide use and that was funded with a grant from the National Science Foundation. He was also a principal investigator on the biocomplexity grant that established the Workshop's sister center, the Center for the Study of Institutions, Population, and Environmental Change (CIPEC).

Current research focuses on matching contributions and the provision of public goods, trust and property rights, and on further exploration of linked, complex social-ecological systems.

LAUREN MORRIS MACLEAN

Dr. Lauren Morris MacLean joined Indiana University in 2005 after receiving her Ph.D. from the University of California-Berkeley and serving as a Robert Wood Johnson Scholar of Health Policy Research at the University of Michigan.

Lauren's research interests are comparative political economy and public policy, with a particular focus on processes of state formation and concepts of citizenship in Africa and the United States. She is currently involved in two projects, including one looking at social service provision in Ghana and Cote d'Ivoire, and another examining the participation of American Indian tribal nations in state health policy formation in the United States. Her next project will study the politics of imple-

(continued on p. 2)

Workshop's next generation (cont.)

menting anti-retroviral programs to combat HIV and AIDS in Africa. She is also working on a book titled *Challenges to Reciprocity: The Politics of Globalization, Social Welfare and Citizenship in Africa*.

Lauren's multidisciplinary approach to questions of governance and public policy means that she fits in well with other colleagues at the Workshop; her interest in health policy specifically broadens the spectrum of Workshop research. She is one of several young faculty who hold great promise in determining the Workshop's future direction.

REGINA SMYTH

Dr. Regina Smyth received her Ph.D. from Duke University and joined IU in 2006. In 2007, Regina joined the Workshop as an affiliated faculty member, and she and others have now proposed a new working group at the Workshop on the Political Economy of Democratic Institutions. The new working group will use computational and modeling techniques to examine one of the most fundamental of institutions shaping political and social interactions, majority rule. It will draw heavily on Regina's research, which focuses specifically on why some countries democratize while others do not. She approaches this question by focusing on patterns of cooperation within groups of elites and voters that either support or undermine democratic development.



In the past, she has explored these patterns in the context of elections through political parties and candidates' personal vote organizations. In a recent book (*Candidate Strategies and Electoral Competition in the Russian Federation: Democracy Without Foundation*, Cambridge University Press, 2006), she shows how the legacy of the Soviet Union, institutions adopted after the collapse, and the goals and beliefs of Russian candidates led them to adopt strategies that undermined democratic development. The result was the inchoate political system of the 1990s that arguably paved the way for non-democratic consolidation under Mr. Putin after 2000.

More on the new working group later! For now, Regina is a wonderful addition to the Workshop's growing list of active IU affiliates.

XAVIER BASURTO

Dr. Xavier Basurto, a student of Workshop alumni Edella Schlager at the University of Arizona, joined the Workshop as a post-doc in the fall of 2007. Xavier completed his Ph.D. in Public Management, a complement to previous degrees in marine resource management. His strong background in both social and biological sciences make him a perfect fit for the Workshop, where recent research has focused on linked social-ecological systems. Xavier's work has focused on institutional change in the context of small-scale fisheries governance in Mexico (MS) and the decentralization of biodiversity governance in protected areas in Costa Rica (Ph.D.). His dissertation advanced a general theory of institutional change using Qualitative Comparative Analysis, a recently developed analytical tool apt at handling multiple causality and conducting rigorous and systematic analysis of mid-range-n samples of data. Xavier has started to draft his dissertation into peer-reviewed publications and already presented one of them at the recent APSA meetings in Chicago. Xavier also continues to pursue his fisheries work in Mexico, where he examines how community-based institutions change over time and the effects they have on the fishery itself. During his early visits to the community, Xavier observed stable, well-developed institutions including monitoring and enforcement mechanisms that allowed the community to detect abundance changes in their fishing resources and to apply corrective measures before irreversible damage occurred.

More recently, anecdotal evidence indicates that exploitation of the Seri fishery is increasing and that the community's monitoring mechanisms are no longer as effective. What has changed? Xavier will draw on the IAD framework and system dynamics modeling techniques to pursue this question and develop theories of insti-



tutional robustness. His post-doc appointment at the Workshop will continue until Spring 2009.

Alumni and Affiliate Updates

In addition to the on-campus affiliates featured above and in future newsletters, the Workshop has a wonderful, ever-expanding network of alumni and affiliates both here at IU and elsewhere. Updates on the activities of many of them are below. Please send information on your own activities for inclusion in future newsletters to Jacqui Bauer (jacmbaue@indiana.edu). We'd love to hear from you!

SUE CRAWFORD is associate professor of political science at Creighton University. Her research focuses on religion and politics. Much of this work analyzes clergy involvement in community problem-solving and policy debates. Current projects include public opinion research to develop more effective measures for political analysis of progressive religion and community research on collaborations that address health information and electronic health records issues. She was pleased during her last sabbatical to have the chance to work with Lin again on institutional classification questions for the *Understanding Institutional Diversity* book. Another long-standing interest has been scholarship of teaching and learning. An article on teaching rational choice methods recently appeared in *PS: Political Science and Politics*. Sue lives in Bellevue, Nebraska, with her husband David Crawford and two sons, Nate and Philip.

Affiliate **ROY GARDNER** has been representing the Workshop and IU more generally abroad. He participated in a Global Development Network conference in Prague in August, and will participate again in Kiev in December. He also met with James Wolfensohn as part of a fundraising effort for the IU-backed Kiev School of Economics in Kiev in October. On the research front, "Budget Processes: Theory and Experimental Evidence" (with J. von Hagen, C. Keser, and K-M Ehrhart) appeared in *Games and Economic Behavior* (2007).

Alumni & Affiliates (cont.)

After many years working at the Food and Agriculture Organization and a stint as a Visiting Scholar, **MARILYN HOSKINS** has returned to Washington, DC, and has published a chapter in *Developing Power; How Women Transformed International Development* (Feminist Press, 2004). She coauthored with Jon Anderson a portion of a World Bank forestry source book on community-based forest management that focused on the importance of local organization and in broadening the concept of poverty to include lack of choice and control. She also traveled to Nepal in 2004 to evaluate a governance project funded by the Swiss intended to help forest user groups develop better and more equitable management and benefit sharing of the forests and forest products they manage. In 2006 she led a team to Southeast Asia for a mid-term review of a regional community forestry training center to identify if it was reaching its potential to serve a key role for forest communities and institutions in the region.



Bob Bish, Nancy Malecek, and Mark Sproule-Jones on a whale-watching trip, Mag Bay, February 2007

Alumni **TUN MYINT**, who has received a faculty appointment at Carleton College, was recently interviewed by National Public Radio (NPR) on Burma and his teaching at Carleton College. In the interview, he mentions polycentricity and the work of Vincent Ostrom on two occasions. The interview is available at: <http://minnesota.publicradio.org/display/web/2007/10/18/burmaprof/>

Visiting scholar **JAMES NACHBAUR** has accepted a position at the International Water Management Institute, to be based in South Africa after a short stint in India. The position will focus on economic development policy and water resource issues.

LENELL NUSSBAUM (B.A. 1977, Political Science, Russian Language & Literature, REEI; J.D. 1980, University of Michigan Law School) spent two weeks teaching about the United States Constitution at Petrozavodsk State University in Petrozavodsk, Russia, in March 2007. Lenell was a member of the Workshop staff from 1974 to 1977, and a field supervisor for the NSF Police Services Study in 1977. She now is a criminal defense lawyer in Seattle, Washington. She is volunteering for this project with the Center for International Legal Studies of Salzburg, Austria. Contact her at nussbaum@seanet.com.

Workshop alumni **RON OAKERSON** returned full-time to the classroom this fall as professor of political science after serving for 7½ years as academic vice president and dean at Houghton College. He was on sabbatical leave during the spring semester this year when he spent a month in Uganda and was able to visit with IFRI colleagues at the Uganda Forestry Resources and Institutions Center, Makerere University. Ron's interest in forestry issues has been deepened by his ongoing research interest in New York's Adirondack Park, at six million acres the largest protected area in the United States outside Alaska. Adirondack governance is made more complex and interesting by the inclusion of some 130,000 permanent residents within its boundaries. It featured prominently in a book chapter Ron recently completed, titled "The Politics of Place: Linking Rural and Environmental Governance" to be published by Resources for the Future this year in *Frontiers in Resource and Rural Economics*. Ron is also working with colleagues in political science, history, and theology to establish a Tocqueville Institute for the study of ethics, politics, and culture at Houghton.

Alumni **AMY POTEETE** moved from the University of New Orleans to Concordia University in Montreal in July 2006. She is currently working on (1) a book project with Lin Ostrom and Marco Janssen about social science methodology in the context of collective action and natural resource policy; (2) research regarding how policy narratives adopted in response to political competition can create linkages across policies for different natural resources and the implications for policy content, informed by fieldwork in 2004 and

2005 on land, mineral, and wildlife policies in Botswana; and (3) a new collaborative project on policy-making and political development in countries with dominant political parties. Amy and her family (husband Enrico and daughter Celia) are also enjoying a personal "project" of learning French and exploring Quebec.

Alumni **SUSAN RAINES** received tenure and promotion to Associate Professor of Conflict Management, was selected as the Editor-in-Chief for *Conflict Resolution Quarterly*, and won the Distinguished Graduate Faculty Research Award from Kennesaw State University.

Visiting Scholar **IRENE RAMOS VIELBA**, who spent time at the Workshop from 2004 to 2006, is working as a researcher at a public research center in Córdoba (south Spain). She is involved in a project on science policy and the transfer of knowledge from universities and research centers to the economic sector. At the same time, democracy issues remain an important part of her research interests.

In the past year or so, Workshop alumni **FILIPPO SABETTI** has published two books, one edited with a colleague from the University of Milan on civilization and democracy in the work of Carlo Cattaneo, and the other on classical liberalism, and several book chapters. One such book chapter, on democracy and culture, appeared in the *Oxford Handbook of Comparative Politics*, edited by Carles Boix and Susan Stokes (2007).

Alumni & Affiliates (cont.)

Alumni **CHARLIE SCHWEIK** is an Associate Professor at University of Massachusetts, Amherst. He is currently working on a book manuscript studying open-source software projects as a form of Internet-based commons.

Former Ph.D. student and current IU Professor of Law **EARL SINGLETON** was featured in the Fall/Winter 2006 edition of *Indiana University Teaching and Learning*. See the story at http://www.indiana.edu/~tandlpub/story.php?story_id=44

During the last year, visiting scholar **EMIL UDDHAMMAR** has been heavily involved in the restructuring of the political science department at Växjö University, where he took up a new position in August 2006. Emil has also been working on two research projects, "Democracy and the Rule of Law in East Africa," where the organization of data collection and interviews has kept him busy but has also reportedly been fun. The other project, "Conservation and Development in East Africa and India," is coming to its final stage, but also the data collection has been a bit of a challenge.

Alumni **GEORGE VARUGHESE** was recently named the new Country Representative for Afghanistan by the Asia Foundation. He received this appointment after having served as Deputy Country Representative since 2005 and, prior to that, as a Deputy Country Representative in Nepal. For more information, see http://www.asiafoundation.org/Locations/afghanistan_varughese.html

Alumni **SUSAN WYNNE** is working as a nurse at a private psychiatric hospital in Tulsa, Oklahoma, and has recently taken on a second task within the hospital, that of Infection Control Officer. She is also overseeing the care of her 95-year-old father who is now in an assisted-living facility in Tulsa.

Alumni **TRACY YANDLE** is undergoing tenure review this year at Emory University's Department of Environmental Studies. Her research continues to focus primarily on New Zealand fisheries and coastal resources, examining the role of changing property rights in the evolution of their natural resource management regimes. Recently, this has resulted in publications in: *Policy Sciences, Ecology and Society, Marine Policy*, and *Public Administration Review*. On the home front, she and her husband Erik are the proud parents of a four-year old boy (Ryan) who is obsessed with fire trucks.

Last year, alumni **SPENCER (TAI-SHUENN) YANG** resigned as Chairman of the Department of Political Science, Chinese Culture University in Taiwan. While he continues teaching at the university, he also functions as the Executive Director of the Motion Picture Association of America at Taiwan, effective from November 2005.

Alumni **DONALD ZAUDERER** continues as the president of Zauderer and Associates, LLC, a consultancy firm that works with public, private, and nonprofit organizations to create effective results in leadership development, ethics education, executive coaching, team building, organization diagnosis and change, and strategic planning. Clients include the HHS University, National Cancer Institute, Food and Drug Administration, U.S. Census Bureau, The National Gallery of Art, Centers for Disease Control and Prevention, the National Geospatial Intelligence Agency, and American University.



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Has your email and/or mailing address changed?

If so, please send updates to: workshop@indiana.edu

Workshoppers Celebrate a Harvest of Complexity

Over Thanksgiving break, the Workshop hosted a "book party" for a volume edited by Bill Blomquist, Markus Hanisch, and Mike McGinnis tentatively entitled *Harvesting Complexity in EU Public Economies: Evolving Patterns of Governance in Agro-Environmental Policy and Water Management*. The title encourages institutional analysts to put the complexity of institutional designs to use in the context of the still-expanding European Union in much the same way that farmers the world over have relied upon nature's complexity to provide the raw materials for their production.

Most of the papers to be included in this volume were initially presented at a conference held at Humboldt University in Berlin in June 2005. Former Workshop visiting scholars Konrad Hagedorn, Markus Hanisch, and Insa Theesfeld returned to participate in this two-day event, followed by a traditional holiday feast on Thanksgiving Day hosted by Lin and Vincent.

Over the two days, each chapter was presented by a faculty member or graduate student, according to the mini-conference format, followed by plenty of time for general discussion. Presenters for the papers included Dan Cole, Eric Coleman, Roy Gardner, Markus Hanisch, Mike McGinnis, Lauren Morris MacLean, Lin Ostrom, and Frank Van Laerhoven. As is usual for such events, participants gave the authors and editors ample comments and constructive suggestions for revisions. The co-editors hope and intend that the result will be a much improved version of this book, and they have set U.S. Tax Day, April 15, 2008, as the target date for putting together a complete draft of the proposed manuscript. Among the changes that emerged from these discussions is a less confusing and more descriptive title, *Complex Patterns of Governance: Rural Development and Resource Management in the European Union*. Anyone interested in seeing draft chapters from this volume is encouraged to contact Mike McGinnis (mcginnis@indiana.edu)

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Colloquium Series on the New Commons

In the past ten years, scholars and diverse groups from multiple disciplines, political interests, and geographical regions are increasingly finding the term *commons* crucial in addressing issues of social dilemmas, degradation, and sustainability of a wide variety of resources. Scientific knowledge, community gardens, public health, plant seeds, outer space, city sidewalks, genomes, open source communities, snowmobiles, the radio spectrum, street trees—these are all types of resources that have been identified as types of commons or common-pool resources. Library Director Charlotte Hess organized a series of five colloquia (see page 6) in fall 2007 to bring scholars together to discuss various aspects of new commons.

Hess began the series with a presentation surveying new commons sectors, identifying “entry points” into the commons, and discussing the challenges of defining “new commons.” Paul Hartzog from the University of Michigan looked at new forms of collective action within online commons communities. Dr. Kathryn Milun, researcher at the Tomales Bay Institute, gave a presentation on a multidisciplinary, cultural-studies approach to better understand global commons. Well-known writer and activist David Bollier discussed the threats of new enclosures as well as the vitality of gift economies and the recent rise of commons movements. Workshoppers Burney Fischer and Brian Steed opened a new door in urban forestry considering whether street trees are an unidentified or misunderstood common-pool resource. Communities and homeowners are struggling with ownership and maintenance responsibilities with little consideration for the consequences of their actions for the community as a whole.

The series was funded by Dr. Mark Smith, new commons scholar and senior research sociologist at Microsoft.

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WOW4 Workshop on the Workshop conference June 2–7, 2009

SECOND REQUEST FOR WORKING GROUP PROPOSALS

This is a follow-up to our earlier request for working group proposals for WOW4.

We will be reviewing the proposals in early spring. Right now, we have proposals for the information commons, water, decentralization and land politics in Africa, governing coastal resources, urban politics and policy, and collective action.

We will need to limit the number of working groups. If you are interested in either reassembling an existing working group or starting a new one, please submit a proposal to Gayle Higgins (ghiggins@indiana.edu) by January 30, 2008. Proposals can be short and simple, and, for existing working groups, a short summary of your activities since WOW3. We are aware that plans are likely to be revised as you work through the details, so we will be contacting you for periodic updates.

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ANNOUNCEMENTS



● Third editions of two of Vincent Ostrom’s best-known works have been released. The *Intellectual Crisis in American Public Administration* (University of Alabama Press) and *The Political Theory of a Compound Republic: Designing the American Experiment* (Lexington Books) are both available as of December—see the Publications section on page 8 for details.

● A special feature of the *Proceedings of the National Academy of Sciences (PNAS)*, vol. 104, no. 39 (September 25, 2007), on “Beyond Panaceas” organized by Elinor Ostrom, Marco Janssen, and John Anderies, is available online at: http://www.pnas.org/content/vol104/issue39/SF_RESEARCH_ARTICLES

● The first issue of the *International Journal of the Commons* is now available. The *IJC* is dedicated to furthering our understanding of institutions for the use and management of resources that are (or could be) enjoyed collectively, or in short, “the commons.” The first issue of this open-access journal, with guest editors Elinor Ostrom and Workshop graduate student Frank Van Laerhoven, gives an overview of commons studies in different disciplines and from different perspectives. Please visit the journal at <http://www.thecommonsjournal.org> and register as a reader to receive regular updates on new articles.

● The first Asia Workshop on Institutional Analysis, hosted by the Ronald Coase Institute, will take place in Singapore from January 5–10, 2008. For more information, see <http://www.coase.org>

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Colloquia:

Edella Schlager, School of Public Administration and Policy, University of Arizona, "Strengthening Cross-State Linkages to Improve Watershed Governance: The Case of Western Interstate River Compacts," 10/3.

Roy Gardner, Department of Economics, IU, "Repression Cycles in the USSR," 9/10.

Christiaan Hogendorn, Department of Economics, Wesleyan University, "Commons Aspects of Vertical Disintegration," 9/17.

Margaret Polski, Affiliate Research Fellow, George Mason University, "Governing Societies of Brains: Applications of Neuroscience to Economic Organization and Governance," 9/27.

Daniel Cole, School of Law, IUPUI, "The Road from Kyoto: Toward a More Effective International Climate Change Regime," 10/1.

Charlotte Hess, Workshop, IU, "Mapping the New Commons," 10/8.



Baqi Zai, David Hakken, Charlotte Hess, and Paul Hartzog

Paul Hartzog, Department of Political Science & School of Information, University of Michigan, "Social Publishing and Open Lit," 10/15.

Kathryn Milun, Tomales Bay Institute, "Cross-cultural Global Commons: 'Regional' Metaphors of the Global Commons in International Law, from Grotius to the Present," 10/22.

David Bollier, OntheCommons.org, USC Annenberg School for Communication, The Norman Lear Center, "The Commons Rising: An Enthusiast's Tour of Some Innovative New Commons," 10/29.

Peter Richerson, Department of Environmental Science & Policy, University of California, "A Cultural Evolutionary Theory of Organizations," 11/5.

Beatrice Marelli, Department of Social Sciences, University of Brescia, Italy, "Common Pool Resources: Search of Rationality through Values. Empirical Evidence of Non-utilitarian Motivations in the Theory of Collective Action," 11/8.

Gabriela de la Mora, Department of Sociology, Political & Social Science Faculty, National Autonomous University of Mexico (UNAM), "Property in the Context of Payment for Environmental Services of Forest and Water: A Mexican Case Study," 11/12.

Burnell Fischer, SPEA, IU, & **Brian Steed**, Workshop, IU, "Street Trees—Are They a Misunderstood Common Pool Resource?," 11/16.

Liv Toril Pettersen, Department of Social Science, Bodø University College, Norway, "From Household Enterprises to Larger Companies: Fish Farmers' Strategies as a

Response to Changing Institutional and Economic Conditions," 11/26.

Gunn Elin Fedreheim, Bodø University College, Bodø, Norway, "On Commercializing Nature: Using the Public Right of Access for Economic Purposes," 12/3.

Development Working Group Lectures:

Michael Schoon, SPEA/Political Science, IU, "Institutional Disturbances in Transfrontier Conservation," 9/6.

Mukund Kulkarni, SHODH: The Institute for Research and Development, Nagpur, India, "Tribal Development: Rhetoric and Reality," 9/21.

Esther Blanco, Applied Economics Department, University of the Balearic Islands, Spain, "Collaboration for Sustainability at Tourism Destinations," 10/12.

Sanjay Peters, Department of Economics, ESADE, Barcelona, Spain, & **Daniel Cole**, School of Law, IUPUI, "China and the 'Environmental Kuznets Curve,'" 10/19.

Róger Madrigal Ballester, Tropical Agricultural Research and Higher Education Center (CATIE) & Socioeconomics of Environmental Goods and Services (SEBSA), Turrialba, Costa Rica, "Exploring the Determinants of Performance of Rural Water Utilities in Costa Rica," 11/9.

Lauren Persha, SPEA, IU, "Improving Tanzania's Participatory Forest Management Policy: Effects of Management Regime on Anthropogenic Forest Disturbance Patterns, Forest Structure and Species Composition," 11/30.

Emil Uddhammar, School of Social Sciences, Växjö University, Växjö, Sweden, "The Role and Status of Political Opposition in Sub-Saharan Africa," 12/13.

Middle East Conflict & Reform Lecture Series:

Khalil Shikaki, Palestinian Center for Policy and Survey Research (Ramallah), Brandeis University, "Palestinian Public Attitudes and the Peace Process," 10/24.

Gershon Gorenberg, Senior Correspondent, The American Prospect, "Israel's Accidental Empire: Into the Settlement Quagmire and Out," 10/29.

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Workshop Supports Lecture Series on Middle East Conflict and Reform

The Middle East Conflict and Reform (MECR) Group is made up of faculty and graduate students who meet together three times a semester, often with a distinguished invited guest, to enhance the study and discussion of conflict and reform in the Middle East (Israel/Palestine, Iraq, Afghanistan, Lebanon, etc.) on campus. Invited guests also give large public lectures to benefit the broader IU and Bloomington communities when appropriate. Guests include outstanding scholars of conflict and reform from the different disciplines, specialists of Middle Eastern conflicts, and practitioners who are also renowned for their analytical abilities.

The theme for 2007–2008 is the Israeli-Palestinian Conflict. Professor Khalil Shikaki gave the first Iliya Harik Memorial Lecture, in memory of one of the founding members of the group, titled “Palestinian Public Attitudes and the

Peace Process” on Wednesday, October 24. He is a professor at the Palestinian al-Najah National University, a senior fellow at the Crown Center for Middle East Studies at Brandeis University, and the Director of the Palestine Center for Policy and Survey research. He also held a seminar with faculty and graduate students on the difficulties of conducting survey work in areas of conflict. Acclaimed Israeli author and journalist Gershom Gorenberg gave a public lecture titled “Israel’s Accidental Empire: Into the Settlement Quagmire and Out” on Monday, October 29, and held a seminar with MECR faculty and graduate students.

Two events are scheduled for the spring semester:

1. Nimrod Luz will give a seminar talk titled “The Politics of Palestinian Sacred Places in Israel” on Thursday,

February 7, 2008, 4–6 pm in Woodburn Hall 218. Dr. Luz holds a Ph.D. in Geography and Middle Eastern and Islamic Studies from the Hebrew University of Jerusalem.

2. Professor Stathis Kalyvas of Yale University will give a talk related to his award-winning recent book *The Logic of Violence* on Tuesday, April 8, 2008, 4–6 pm in Woodburn Hall 218.

The theme for 2008–2009 will be “Conflict and State Building in Iraq and Afghanistan.” Faculty and graduate students interested in joining the MECR Group should contact Abdulkader Sinno (asinno@indiana.edu).

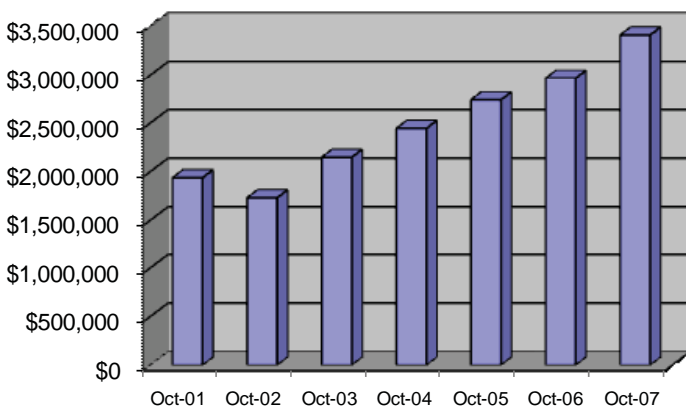
MECR is sponsored by the Center for the Study of Global Change, Dean of the Faculties, Workshop in Political Theory and Policy Analysis, Department of Political Science, Department of Near Eastern Languages and Cultures, Jewish Studies Program, and Department of History.



Tocqueville Endowment for the Study of Human Institutions

	Oct-01	Oct-02	Oct-03	Oct-04	Oct-05	Oct-06	Oct-07
Market value	\$1,936,410	\$1,730,004	\$2,146,899	\$2,443,920	\$2,737,665	\$2,967,214	\$3,408,272
Estimated income market value (loss)	\$108,036	\$105,438	\$102,283	\$106,115	\$110,851	\$135,419	

Tocqueville Endowment Market Value



DID YOU KNOW?

The Workshop website is updated regularly with information on grants, fellowships, conferences, and other items.

Go to <http://www.indiana.edu/~workshop/> and click on the “Resources” tab.

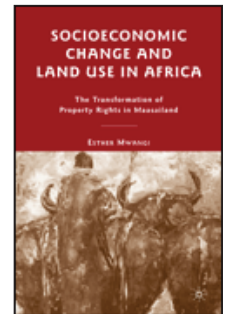
PUBLICATIONS — Books & Monographs



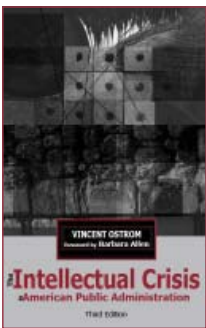
Kurian, Mathew, and Ton Dietz. 2007. *Hydro-Logic: Poverty, Heterogeneity and Cooperation on the Commons*. New Delhi: Macmillan India.

The book undertakes to bridge the disciplinary gap between the Property Rights School (dominated by articulations of game theory) and the Entitlements School (characterized by expressions of the rights-based approach). From a policy perspective, the book suggests the possibility of a refreshingly new conceptualization of the “private sector” in provision of rural services through a critical analysis of the many pitfalls and prospects for public-private partnerships in management of forest and irrigation resources.

Mwangi, Esther. 2007. *Socioeconomic Change and Land Use in Africa: The Transformation of Property Rights in Maasailand*. New York: Palgrave Macmillan.



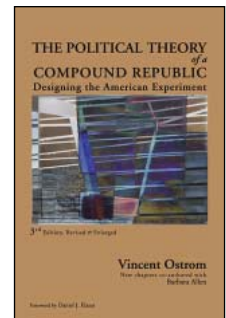
This study investigates how and why group ranch members in Kajiado District, Kenya, supported the subdivision of their collective landholdings into individual, titled units, and what outcomes resulted in this transition to individual rights. Viewed over a longer time scale, the author finds that politics is at the core of institutional change: landowners seek increasingly exclusive rights in an effort to defend their land claims against threats of appropriate by the state, by Massai elite, and by non-Massai.



Ostrom, Vincent. 2008 (available December 2007). *The Intellectual Crisis in American Public Administration*. 3rd ed. Tuscaloosa: University of Alabama Press. <http://www.indiana.edu/~workshop/IntellCrisisFlyer.pdf>

A classic in the field of public administration, this book examines various constitutional crises the nation has experienced since Watergate. This revised and expanded third edition extends Ostrom’s analysis to account for the most recent developments in American politics including the Clinton and Bush administrations.

Ostrom, Vincent. 2008 (available December 2007). *The Political Theory of a Compound Republic: Designing the American Experiment*. 3rd ed. Lanham, MD: Lexington Books.



This book examines the foundation of American constitutional design expressed in *The Federalist*. Through meticulous textual analysis, the logical principles of federalism—the extended and compound republic envisioned by James Madison and Alexander Hamilton—are revealed as thirteen propositions broadly applicable to any effort to design the institutions of a self-governing polity. The final chapters, expanded and revised by Vincent Ostrom and Barbara Allen, turn to the American experiment in constitutional choice at the threshold of the twenty-first century. In this revised edition, Ostrom and Allen consider the continuing story of federal institutional development by focusing on two current concerns: the “imperial presidency” and the ideal of universal human rights.

FORTHCOMING BOOKS/MONOGRAPHS

Andersson, Krister, Gustavo Gordillo, and Frank Van Laerhoven, *Decentralization and Rural Development: Local Governance Lessons from Latin America*. Tucson: University of Arizona Press.

Razo, Armando, *Social Foundations of Limited Dictatorship*. Palo Alto, CA: Stanford University Press.

Sabeti, Filippo, Barbara Allen, and Mark Sproule-Jones (eds), *The Practice of Constitutional Development*. Lanham, MD: Lexington Books.

Sproule-Jones, Mark, Barbara Allen, and Filippo Sabeti (eds), *The Struggle to Constitute and Sustain Productive Orders*. Lanham, MD: Lexington Books.

Webb, Edward, and Ganesh Shivakoti (eds), *Decentralization, Forests and Rural Communities: Policy Outcomes in South and Southeast Asia*. New Delhi: Sage India.

PUBLICATIONS — Articles

- Andersson, Krister, and Frank Van Laerhoven.** 2007. "From Local Strongman to Facilitator: Institutional Incentives for Participatory Municipal Governance in Latin America." *Comparative Political Studies* 40(9):1085–111. <http://cps.sagepub.com/cgi/content/refs/40/9/1085>
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- Kabir, Md. Enamul, and Edward Webb.** 2006. "Saving a Forest: The Composition and Structure of a Deciduous Forest under Community Management in Northeast Thailand." *Natural History Bulletin of the Siam Society* 54(2): 239–60. http://www.umich.edu/~ifri/Publications/Kabir_and_Webb_SAVING_A_FOREST_final.pdf
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- Nagendra, Harini, Sajid Pareeth, Bhawna Sharma, Charles Schweik, and Keshav Adhikari.** 2007. "Forest Fragmentation and Regrowth in an Institutional Mosaic of Community, Government and Private Ownership in Nepal." *Landscape Ecology*, online. <http://www.springerlink.com/content/e060501743724u7p/fulltext.pdf>
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PUBLICATIONS: Working Papers

We invite you to submit your papers to the Digital Library of the Commons (DLC) website (<http://dlc.dlib.indiana.edu/>). While you will retain copyright for your work, papers will be available online on an open-access basis. Because papers may be cited and used for educational purposes, they should remain a permanent document on the DLC.

Cox, James, Elinor Ostrom, and James Walker, with Jamie Castillo, Eric Coleman, Robert Holahan, Michael Schoon, and Brian Steed. 2007. "Trust in Private and Common Property Experiments." Paper presented at the APSA annual meeting, Chicago, IL, Aug. 30–Sept. 2.

Ostrom, Elinor. 2007. "The Challenge of Crafting Rules to Change Open Access Resources into Managed Resources." Paper presented at the International Economic Association Roundtable on the Sustainability of Economic Growth, Beijing, China, July 13–14.

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Ostrom, Elinor. 2007. "Why Do We Need Laboratory Experiments in Political Science?" Paper presented at the APSA annual meeting, Chicago, IL, Aug. 30–Sept. 2.

Ostrom, Elinor, & T. K. Ahn. Forthcoming 2008. "The Meaning of Social Capital and Its Link to Collective Action." In *Handbook on Social Capital*, ed. Gert T. Svendsen and Gunnar L. Svendsen. Northampton, MA: Edward Elgar.

Polycentricity Working Group

After "retiring" from his position with the UN's Food and Agriculture Organization, Gustavo Gordillo joined the Workshop as a Visiting Scholar. Gustavo came with ambitious plans to write a book and several articles, all centered on his vision of Latin America's future. In pursuit of this agenda, Gustavo has recruited a number of graduate students and other scholars who share these interests. Since the beginning of the current semester, the group has been meeting regularly to discuss ideas and future projects.

Specifically, the group hopes to explore the incentives that exist for political cooperation in fragile democracies focusing on Brazil, Bolivia, Chile, and Mexico. This research has two objectives: (1) to examine the impact that mores and social norms have had in shaping the institutional arrangements that returned these countries to democracy after years of rule by authoritarian regimes and military dictatorships and (2) the impact that left-leaning parties have on facilitating or obstructing political cooperation once they are elected into national governments.

Regular meetings of the group will continue into next semester, and applications for grants to support the research are in process.

CONGRATULATIONS!



Aurelian Craiutu, affiliated faculty member, and wife Christina, on the birth of their daughter, Sophia Alexandra, on July 11.

Mark GiaQuinta, alumni, who was appointed to a committee to study consolidation in Fort Wayne/Allen County, Indiana.

Patty Lezotte, who celebrated 30 wonderful years at the Workshop in August 2007.

Donald Lutz, who was awarded the 2007 Martha Derthick Award by the APSA section on Federalism and Intergovernmental Relations, for the book on federalism that has made a significant contribution to the discipline and has been in print for at least ten years.

Lauren Morris MacLean, affiliated faculty member, and husband Jason, on the birth of their son, Benjamin Theodore, on June 27.

Elinor Ostrom, who was selected as the 2008 recipient of the William H. Riker Prize in Political Science. The prize is awarded every other year to a social scientist in recognition of a body of research that exemplifies and advances the scientific study of politics in the spirit of William Riker. The Riker Prize recognizes a sustained research program or coherent collection of published research that has advanced the scientific study of politics through excellent, theoretically informed study of real-world politics, creative and influential theoretical study of political phenomena, and the productive combination of theory and empirical study.

Frank Van Laerhoven, Ph.D. candidate, who received an IU faculty of the year award. The Greek Assessment 2007 is organized and sponsored by the (1) multicultural greek council (2) National Panhellenic Council, (3) Panhellenic Association, and (4) Inter-Fraternity Council.

Ronald S. Smith, alumni, on receiving the 2007 William Anderson Award for his dissertation "Discerning Differences in Social Capital: The Significance of Interpersonal Network and Neighborhood Association Structure on Citizen Participation."

Long-Term and IFRI Visitors

ARTHUR ARINAITWE (Jan–Apr 2008) will be working with Pamela Jagger, a Workshop-affiliated doctoral candidate, to write several papers on how reforms in Uganda’s forest sector have affected the livelihoods of rural people and forest sustainability. Arthur has worked as a research assistant with leading research and academic institutions, including Makerere University and the International Food Policy Research Institute (IFPRI). Arthur has a B.A. in Environmental Management from Makerere University and has recently defended his Master’s thesis on land use and rights for a Master of Science in Environment and Natural Resources at Makerere University.

JOSEPH BAHATI (Dec 7, 2007–May 20, 2008) is a Fulbright scholar based at the Uganda Forestry Resources and Institutions Center (UFRIC), Makerere University. He is the database manager at UFRIC and lectures at Makerere University. His research activities will include the analysis of the IFRI/SANREM databases to investigate the implications of decentralization on livelihoods, biodiversity, and ecological sustainability as a result of community interactions with forests in Uganda. He will also analyze land use change (forest extent dynamics) with emphasis on the IFRI sites in western Uganda.

XAVIER BASURTO (Sept 2007–May 2009) (*see page 2 of this issue*).

ESTHER BLANCO (Fall 2007) is a Ph.D. candidate in Economics at the University of the Balearic Islands, Spain. Her research addresses the governance of natural resources at tourism destinations using an economic approach. Motivated by the recent appearance of voluntary cooperative ventures at tourism destinations, her research deals with the economic incentives behind their emergence and stability. Her research objectives during her stay are the development of an environmental quality contribution game at coastal destinations that will later be extended to incorporate institutional designs leading to “big success” or “big failure” as Nash equilibrium outcomes. A simple static

one-shot normal model will be specified for these purposes.

NEWTON PAULO BUENO (Aug 2007–July 2008) is an Associate Professor at the Department of Economics, Federal University of Viçosa, Brazil. He has been working in institution dynamics modeling using the system dynamics approach. While at the Workshop, he will apply this approach to model small socio-ecological system dynamics with special emphasis on developing countries.

JIANGUO CHEN (Aug 2007–May 2008) is a Ph.D. student in public administration at the School of Public Administration, Renmin University of China. His research activities deal with the governance of local public economies in cities, especially concerning community governance. At the Workshop, he is focusing on collective action and governance of common-pool resources in communities.

DANIEL COLE (fall 2007) is the R. Bruce Townsend Professor at the Indiana University School of Law-Indianapolis. He is the author or editor of six books and more than thirty articles, mostly relating to the law and economics of property regimes, pollution regulation, and resource conservation. He has also written extensively about Poland and Polish law. While at the Workshop, he will be working on two projects: (1) a paper on post-Kyoto climate-change negotiations, which will be published in 2008 in the Current Legal Problems series by University College London; and (2) a paper, coauthored with Sanjay Peters, on China and the Environmental Kuznets Curve, which is to be the first in a series of papers comparing economic development and environmental performance in China and India from a new institutional perspective.

GABRIELA DE LA MORA (Fall 2007) is a Ph.D. student in Sociology at the Political and Social Science Faculty of the National Autonomous University of Mexico (UNAM). She has conducted research in governmental, nongovernmental, and academic organizations. Her research focuses on governance and social institu-

tions related to common-pool resources, both forests and water. The goal of her visit is to continue developing her doctoral research on payments for environmental services using a rural Mexican community as a case study. Gabriela also participated in the IFRI training program.

GUNN ELIN FEDREHEIM (Fall 2007) is a Ph.D. candidate at Department of Social Sciences, Bodø University College, Norway. She is also working as a researcher at Nordland Research Institute. In her research she is focusing on nature conservation in Norway, particularly on areas conserved as national parks. Her dissertation will identify the institutions that either enable or constrain business development in national parks. At the Workshop, she will be working on a paper on the laws and regulations that govern people’s actions in national parks, and on the theoretical part of her dissertation.

YUNHO GANG (Jan 2007–July 2008) is an Associate Professor, Division of International Commerce and Maritime Administration, Korea Maritime University, South Korea. His scholarly interests are in the areas of new institutionalism. He has studied various topics about public affairs and public policies. At the Workshop, he will be working on public budgeting and natural marine resources from the perspective of new institutionalism.

GUSTAVO GORDILLO DE ANDA (Nov 2006–May 2008) retired in 2006 from the UN Food and Agriculture Organization where he acted as Director of Rural Development (1995–1997) at FAO’s headquarters and as Regional Representative (1997–2005) serving the 33 Latin America and the Caribbean member nations based in Chile. He is the former Deputy Secretary at the Ministry of Agriculture and Water Resources and Undersecretary for Agrarian Organization at the Ministry of Land Reform in Mexico (1988–1994). At the Workshop, he will be developing his research project exploring incentives for political cooperation in fragile democracies in Latin America focusing on Brazil, Bolivia, Chile, and Mexico.

MUKUND KULKARNI (Aug 22–Oct 28, 2007) is president of SHODH: The Institute for Research and Development; and resident editor of *Navarashtra* (Marathi Daily), Nagpur, India.

MARIA CLAUDIA LOPEZ (Aug 2007–May 2008) received her Ph.D. from the Department of Resource Economics, University of Massachusetts at Amherst. Her area of specialization is Natural Resources and Environmental Economics with a minor in Development Economics. Her dissertation is focused on the experimental analysis of institutions to promote cooperation among users of shared natural resources in Colombia. She conducted economic experiments, surveys, and participatory workshops with fishermen and mollusk-harvesters in rural areas to analyze the effects of different institutions on the exploitation of common resources. At the Workshop, she will be preparing papers from her dissertation for publication and analyzing new experimental data. Maria Claudia also has a master's degree in Rural Development from Universidad Javeriana (Colombia).

RÓGER MADRIGAL BALLESTERO (Aug 2007–Nov 2007) is an Environment for Development Program Research Fellow at CATIE, Costa Rica. His interests are in the areas of experimental economics, payments for ecosystem services, and water institutions at the local level. While at the Workshop, he will be using the IAD framework to understand the underlying causes of the observed disparity in performance of rural water utilities in Costa Rica.

BEATRICE MARELLI (Fall 2007 & Feb–Mar 2008) is a Ph.D. student in Economic Sociology at the Social Science Department, University of Brescia, Italy. Her thesis will explore the relationship between values and water management in the process of institutional construction in small communities using a comparison of the institutional history and evolution of two communities. She is taking advantage of her time at the Workshop to deepen her understanding of the IAD framework and to improve her analytical skills for both

qualitative and quantitative data collected from two small farming communities in northern Italy.

LIV TORIL PETTERSEN (Oct 12–Nov 27, 2007) is a Ph.D. student in sociology at Bodø University College, Norway. Her Ph.D. project is a study of how small-scale producers are coping with great structural changes in the primary industries. In her study, she focuses on the household and family as important institutions to understand and analyze economic changes in primary industries. At the Workshop, she is working on a paper to analyze strategies among small-scale fish farmers and how changing institutional conditions on a macro level affect institutions at a micro level.

RIDISH POKHAREL (Aug 2007–May 2008) works at the Institute of Forestry, Tribhuvan University, Nepal, where he has taught and conducted research for the past twenty years. One of the recent works he has completed is "Nepal's Community Forestry Funds: Important Resources for Local Development." While at the Workshop, he will be working on forest governance from the perspective of new institutionalism.

JAMES WUNSCH (Spring 2008) is professor of political science and director of the African Studies Program at Creighton University. His Ph.D. is from Indiana University (1974) and he spent 1985–1986 at the Workshop as a visiting scholar. His interests are in local governance in Africa, with particular emphasis on decentralization and informal governance institutions, and in the problems of African states particularly in the post-1990 time period. While at the Workshop, he will be working on a manuscript on community-level governance in Africa.

HONGSHAN YANG (Aug 2007–Aug 2008) is an associate professor at the School of Public Administration, Renmin University, Beijing. His research activities deal with intergovernmental relations, administrative reform, and urban management and policy analysis. Within these research fields, he focuses on institutional change and its contribution to organizational effectiveness and democratization. At the Workshop, he will focus on (1)

the IAD framework and its application to urban management and policy analysis and (2) theoretical development in the field of administrative reform and urban management in China from an institutional analysis perspective.

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Short-Term Visitors

T. K. Ahn (Aug 19–29), Department of Public Administration, Korea University, Anam-dong Seongbuk-Gu, Seoul, Korea

Krister Andersson (July 5–Aug 12 & Aug 25–31), Department of Political Science, University of Colorado, & Visiting Scholar (2007–08 acad year), Kennedy School of Government, Harvard University

Reinette (Oonsie) Biggs (Oct 21–Nov 3), Center for Limnology, University of Wisconsin-Madison

Vanesa Castàn Broto (Oct 7–13), Social and Economic Research Group, Forest Research, Alice Holt Lodge, Farnham, Surrey, United Kingdom

Alexandre da Silva (Nov 5–9), Department of Political Science, Northern Illinois University, DeKalb

Julia Duany (Dec 12–31), Republic of Sudan, Southern Sudan Legislative Assembly, Juba

Wal Duany (Aug 12–22 & Dec 12–31), Republic of Sudan, Southern Sudan Legislative Assembly, Juba

Konrad Hagedorn (Nov 17–23), Department of Agricultural Economics and Social Sciences, Humboldt University, Berlin, Germany

Markus Hanisch (Nov 17–23), Institute for Cooperative Studies, Humboldt University, Berlin, Germany

Patrick Huntjens (Aug 23–Sept 7), Institute of Environmental Systems Research, University of Osnabruck, Germany

Marco Janssen (Oct 19–24), School of Human Evolution and Social Change, Arizona State University, Tempe

Stefan Jungcurt (Sept 2–5), Department of Resource Economics, Humboldt University, Berlin

Kwanbo Kim (July 26–29), Graduate School of Public and International Affairs, Catholic University of Korea, Seoul, South Korea

Rachel Kornak (Aug 25–31), School of Natural Resources and the Environment, University of Michigan, Ann Arbor

Sanjay Peters (Oct 16–26), Department of Economics, ESADE, Barcelona, Spain

David Porter (Dec 6–12), School of Management, University of Alaska, Fairbanks

Amy Poteete (Oct 20–22), Department of Political Science, Concordia University, Montreal, Quebec, Canada

Thomas B. Stewart (Sept 23–29, 2007 & Jan 15–Mar 15, 2008), retired judge, Superior Court, State of Alaska, First Judicial District, Juneau, Alaska

Insa Theesfeld (Nov 17–23), Department of Agricultural Economics and Social Sciences, Humboldt University, Berlin, Germany

Emil Uddhammar (Dec 1–15), Department of Political Science, School of Social Sciences, Växjö University, Sweden

Douglas van Houweling (Oct 17–18), Internet2, Ann Arbor, Michigan; School of Information, University of Michigan

Ming-Shen Wang (Sept 5–8), Institute of Public Affairs Management, National Sun Yat-Sen University, Kaohsiung, Taiwan, & students: Hu I-Hsiaung, Huang Chien-Lung, Wang Che-Hsiang, Chiu Ching-Jung, Liu Li-Chuan, Lan Yi-Chien, Lee Shu-Tsung, Cheng Hsiang-Hui, Tai Wan-Ying, Wang, Chao-Chun, Tsay, Jin-Yih

Charles Wohlforth (Oct 21–26), freelance writer and author, Anchorage, Alaska

Thomas Ludewigs (Nov 30–Dec 3), Senior Environmental Specialist, The World Bank, Brazil Office

James Wunsch (Dec 7–11), Department of Political Science and International Studies, Creighton University, Omaha, Nebraska

Update on Governance Reform Activities in Liberia

Dr. Amos Sawyer stepped down from his position as Co-director of the Workshop in August to dedicate himself more fully to a critical cause in need of his attention: governance reform in Liberia. Following the inauguration in January 2006 of President Ellen Johnson Sirleaf, the first elected female president in Africa, Liberia has shown great promise for reform after fourteen years of strife and civil war. Early in her administration, President Sirleaf appointed Amos to head the Governance Reform Commission.

Since then, Amos has spent much of his time and energy trying to put the Workshop's theories of governance into practice. He has worked with international agencies, the Liberian legislature, and multiple government figures as well as the president herself over the past year and a half to build support for institutional reforms and capacity-building programs that will establish and sustain democratic self-governance in Liberia. In October 2007, Amos helped achieve a major breakthrough with the passage of the Governance Commission Act, a law that establishes the Governance Commission as a statutory, permanent and autonomous

body (rather than a temporary one required by the Comprehensive Peace Agreement that ended the civil war or a body that operates under a tentative presidential order). According to the *Liberian Daily Observer*, the purpose of the GC is to "promote good governance by advising, designing and formulating appropriate policies and institutional arrangements and frameworks required for achieving good governance, and promoting integrity at all levels of society and within every public and private institution." It is furthermore intended to be "financially autonomous, operationally independent, and generally free of undue influence from any source" (October 12, 2007).

Amos will continue in his dual roles as both academic and practitioner for the foreseeable future. In these complementary capacities, Amos will work with many colleagues to carry the GC forward in the context of its new mandate and tackle additional challenges including land reform.



The next issue of *Polycentric Circles* will be published in May 2008. We welcome information regarding research projects, recent publications, conference announcements, photographs, etc. **The deadline for submissions is April 23, 2008.**

Please submit items to the editor, Jacqui Bauer:
jacmbaue@indiana.edu

Thank you, and

HAPPY HOLIDAYS!!!

