

Tim Bartley
CV, October 2011

Department of Sociology
Indiana University
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Academic Positions

Associate Professor, Department of Sociology, Indiana University, 2009-present

Assistant Professor, Department of Sociology, Indiana University, 2003-2009

Visiting Scholar, Department of Political Science, Massachusetts Institute of Technology (MIT),
Cambridge, March-August 2011

Visiting Scholar, Max Planck Institute for the Study of Societies, Köln, Germany, January-February 2011

Visiting Scholar, Department of Sociology, Sun Yat-Sen University, Guangzhou, China, October-
December 2010

Visiting Fellow, Niehaus Center for Globalization and Governance, Woodrow Wilson School of Public
and International Affairs, Princeton University, September 2006 – July 2007

Education

Ph.D., 2003. Sociology, University of Arizona

M.A., 1999. Sociology, University of Arizona

B.A., 1996. Sociology and Philosophy, Bradley University, *summa cum laude*

Areas of Specialization

Political Sociology—Transnational governance, Regulation

Organizational and Economic Sociology—Institutional theory, Corporate social responsibility

Social Movements—Movements and organizations, Movement-firm interactions

Environmental/sustainability standards

Labor and labor standards

Publications

Bartley, Tim and Curtis Child. Forthcoming (Dec. 2011). "Movements, Markets, and Fields: The Effects of Anti-Sweatshop Campaigns on U.S. Firms, 1993-2000." *Social Forces*.

Beer, Christopher Todd, Tim Bartley, and Wade T. Roberts. Forthcoming. "NGOs: Between Advocacy, Service Provision, and Regulation." *Oxford Handbook of Governance*, edited by David Levi-Faur. Oxford University Press.

Bartley, Tim. 2011. "Transnational Governance as the Layering of Rules: Intersections of Public and Private Standards." *Theoretical Inquiries in Law* 12(2):25-51.

Bartley, Tim. 2011. "Certification as a Mode of Social Regulation." In *Handbook of the Politics of Regulation*, edited by David Levi-Faur. Edward Elgar Publishing. (Also circulated as Jerusalem Papers in Regulation & Governance Working Paper No. 8, May 2010.)

Bartley, Tim. 2010. "Transnational Private Regulation in Practice: The Limits of Forest and Labor Standards Certification in Indonesia." *Business & Politics* vol. 12: iss. 3, article 7.

Bartley, Tim and Shawna Smith. 2010. "Communities of Practice as Cause and Consequence of Transnational Governance: The Evolution of Social and Environmental Certification." Pp. 347-374 in *Transnational Communities: Shaping Global Economic Governance*, edited by Marie-Laure Djelic and Sigrid Quack. Cambridge University Press.

Schneiberg, Marc and Tim Bartley. 2010. "Regulating or Redesigning Finance? Market Architectures, Normal Accidents, and Dilemmas of Regulatory Reform." *Research in the Sociology of Organizations* 30:281-307.

Bartley, Tim. 2009. "Standards for Sweatshops: The Power and Limits of the Club Theory Approach to Voluntary Labor Standards." Pp.107-132 in *Voluntary Programs: A Club Theory Approach*, edited by Aseem Prakash and Matthew Potoski. MIT Press.

Schneiberg, Marc and Tim Bartley. 2008. "Organizations, Regulation, and Economic Behavior: Regulatory Dynamics and Forms from the 19th to 21st Century." *Annual Review of Law & Social Science* 4:31-61.

Bartley, Tim, Krister Andersson, Pamela Jagger, and Frank van Laerhoven. 2008. "The Contribution of Institutional Theories to Explaining Decentralization of Natural Resource Governance." *Society and Natural Resources* 21(2):160-174.

Bartley, Tim. 2007. "Institutional Emergence in an Era of Globalization: The Rise of Transnational Private Regulation of Labor and Environmental Conditions." *American Journal of Sociology* 113(2): 297-351 (lead article).

Bartley, Tim. 2007. "How Foundations Shape Social Movements: The Construction of an Organizational Field and the Rise of Forest Certification." *Social Problems* 54(3):229-255.

Bartley, Tim and Wade T. Roberts. 2006. "Relational Exploitation: The Informal Organization of Day Labor Agencies." *WorkingUSA: The Journal of Labor and Society* 9(4):41-58.

Bartley, Tim. 2005. "Corporate Accountability and the Privatization of Labor Standards: Struggles over Codes of Conduct in the Apparel Industry." *Research in Political Sociology* 14:211-244.

Roberts, Wade T. and Tim Bartley. 2004. "The Wages of Day Labor: Homeless Workers in the Temporary Help Industry." *Journal of Poverty* 8(3):65-89.

Bartley, Tim. 2003. "Certifying Forests and Factories: States, Social Movements, and the Rise of Private Regulation in the Apparel and Forest Products Fields." *Politics & Society* 31(3):433-464.

**In the top 25 most-cited articles in *Politics & Society*

Reprinted in *Making Law Work: Environmental Compliance and Sustainable Development*, edited by D. Zaelke, D. Kaniaru, and E. Kruzikova. London: Cameron May Ltd., International Law Publishers, 2005.

Bartley, Tim and Marc Schneiberg. 2002. "Rationality and Institutional Contingency: The Varying Politics of Economic Regulation in the Fire Insurance Industry." *Sociological Perspectives* 45:47-79.

Schneiberg, Marc and Tim Bartley. 2001. "Regulating American Industries: Markets, Politics, and the Institutional Determinants of Fire Insurance Regulation." *American Journal of Sociology* 107:101-146.

Bergesen, Albert and Tim Bartley. 2000. "World-System and Ecosystem." Pp. 307-322 in *A World-Systems Reader: New Perspectives on Gender, Urbanism, Cultures, Indigenous Peoples, and Ecology*, edited by Thomas D. Hall. Lanham, MD: Rowman and Littlefield.

Bartley, Tim and Albert Bergesen. 1997. "World-Systems Studies of the Environment: A Review." *Journal of World-Systems Research* 3(3):369-380.

Shorter Pieces, Essays, Book Reviews

Forthcoming. Review of *Making Volunteers: Civic Life after Welfare's End*, by Nina Eliasoph. *Administrative Science Quarterly*.

2010. "Organizations and Movements" (with Elizabeth A. Armstrong). *Blackwell Encyclopedia of Social and Political Movements*, edited by David A. Snow, Donatella Della Porta, Bert Klandermans, and Doug McAdam. (Revised and updated version of 2007 encyclopedia entry.)

2010. Review of *Moral Gray Zones: Side Productions, Identity, and Regulation in an Aeronautic Plant*, by Michel Anteby. *American Journal of Sociology* 115(4):1302-1304.

2008. Review of *The Politics of Small Things: The Power of the Powerless in Dark Times*, by Jeffrey C. Goldfarb. *Social Forces* 86(3):1356-1358.

2007. Review of *Governing Water: Contentious Transnational Politics and Global Institution Building*, by Ken Conca. *American Journal of Sociology* 112(6):1935-1937.

2007. "Social Movement Organizations" (with Elizabeth A. Armstrong). *The Blackwell Encyclopedia of Sociology*, edited by George Ritzer. Malden, MA: Blackwell.

2005. Review essay on *Globalization and Cross-Border Labor Solidarity in the Americas*, by Ralph Armbruster-Sandoval and *Monitoring Sweatshops*, by Jill Esbenshade. *WorkingUSA* 8(6):761-763.

2004. "Certified Globalization." *YaleGlobal*, August 26, 2004.

Reprinted as:

"The Private Monitors of Global Trade." *International Herald Tribune*, Sept. 17, 2004.

“Certified Globalization.” *Jakarta Post*, Aug. 30, 2004.

“Certified Globalization.” *Straits Times of Singapore*, Sept. 7, 2004.

2004. Review of *Insurance as Governance*, by Richard V. Ericson, Aaron Doyle, and Dean Barry. *Contemporary Sociology* 33(4):439-441.

2004. Review of *Labor and the Environmental Movement: The Quest for Common Ground*, by Brian K. Obach. *Industrial and Labor Relations Review* 58(1):146-147.

Works in Progress

Bartley, Tim. *Beneath Compliance: Sweatshops, Deforestation, and the Limits of Transnational Private Regulation*. Book manuscript.

Bartley, Tim and Curtis Child. “Shaming the Corporation: Globalization, Reputation, and the Dynamics of Anti-Corporate Movements.” Revise & resubmit from the *American Sociological Review*.

Bartley, Tim, Kevin Doran, and Nik Summers. “Individualized Politics and Political Consumerism: Opiates of the Masses, Gateway Drugs, or Neither?”

Bartley, Tim and Shawna Smith. “Structuring Transnational Fields of Governance: Networks, Legitimation, and the Evolution of Ethical Sourcing.”

Bartley, Tim and Doug Kincaid. “CSR on the Shop Floor: The Contradictory Effects of Codes of Conduct in Indonesian Factories.”

Zhang, Lu and Tim Bartley. “Opening the Black Box: Private Certification of Labor Standards in Chinese Factories.”

Bartley, Tim. “The Evolution of Forest Sustainability and Legality Standards: Evidence from Indonesia and China.”

Bartley, Tim. “Making Sense of the Certification Model: Principles and Propositions from Comparative Research.” For a book of proceedings from the conference on *Civil-Society-Led Corporate Governance in Latin America*.

Grants, Honors, and Awards

Fellow, Indiana University Press and Indiana University Center for the Study of Global Change “Framing the Global” Project, 2011-2014

Grant from the Research Center for Chinese Politics & Business, Indiana University, Initiative on China and Global Governance (with Lu Zhang), 2011

Outstanding Faculty Mentor, Indiana University Department of Sociology (awarded by graduate students), 2010

National Science Foundation Dissertation Improvement Grant, Co-PI with Curtis Child, “Profit and Philanthropy in Fair Trade and Socially Responsible Investment Organizations,” 2009

Sustainability Course Development Fellowship. Indiana University Office of the Vice Provost for Academic Affairs and Dean of the Faculties, 2009

Overseas Conference Fund grant for Asia-Pacific Sociological Association conference. Office of the Vice President for International Affairs, Indiana University, 2009.

American Sociological Association/National Science Foundation Fund for the Advancement of the Discipline grant for "Global Standards in Domestic Contexts: 'Corporate Social Responsibility' in Practice," 2008-09.

Fellowship, Niehaus Center for Globalization and Governance, Woodrow Wilson School of Public and International Affairs, Princeton University, 2006-07

Faculty Research Leave Supplement, Indiana University, 2006-07

Center for Advanced Studies in the Behavioral Sciences (CASBS), Stanford, CA, invited participant in the Summer Institute on "Economy and Society: Trajectories of Capitalism," 2006

Trustees Teaching Award, Indiana University, 2006

Summer Faculty Fellowship, College of Arts and Sciences, Indiana University, 2004

Braverman Award, Society for the Study of Social Problems, for "Homeless Workers in the Temporary Help Industry: The Wages of Day Labor" (with Wade T. Roberts), 2003

"The Emergence of Private Systems for Regulating Labor and Environmental Conditions." Grant funded by the University of Arizona Senate Task Force on Monitoring Labor and Human Rights Issues, 2001-02

Invited Workshop and Colloquium Presentations

Colloquia/seminars:

MIT, Department of Political Science, May 2011 (plus informal presentation at the Global Sustainability Working Group, April 2011)

Stanford University, Center on Philanthropy and Civil Society, May 2011

New York University, Wagner School of Public Policy, Doctoral Colloquium, April 2011

Boston University, Department of Sociology, Society, Politics, and Culture Workshop, April 2011

University of Mannheim, Mannheim Center for European Social Research (MZES), Research Department A, Feb. 2011

University of Amsterdam, Amsterdam Institute for Social Science Research, Political Economy and Transnational Governance seminar, Feb. 2011

Freie Universität Berlin/Research Center 700 on Governance in Areas of Limited Statehood, Jan. 2011

University of Oxford, James Martin 21st Century School seminar series on *Certification and Sustainability* (plus informal presentation at the Environmental Change Institute), Nov. 2010

Sun Yat-sen University, Guangzhou, Department of Sociology, faculty colloquium and public lecture, Nov. 2010

University of California-Berkeley, Haas School of Business, Oliver E. Williamson Seminar on Institutional Analysis, Feb. 2010

Indiana University, Labor Studies Program, Feb. 2010

Indiana University, Department of Geography, Nov. 2009

University of Illinois, College of Business, Organizational Behavior seminar, February 2009

University of North Carolina at Chapel Hill, Carolina Entrepreneurial Initiative (CEI) and Department of Sociology colloquium series, October 2008

Atma Jaya University, Jakarta, Indonesia, June 2008

IESE Business School colloquium, Barcelona, July 2007

Rutgers University, Department of Sociology colloquium, Feb. 2007

Princeton University, International Relations Colloquium, Nov. 2006

Yale University, Working Group on Global Governance seminar series, Oct. 2006

Indiana University School of Law, Center for Law, Society, & Culture seminar series Nov. 2005

University of Connecticut, Department of Sociology colloquium, Sept. 2005

Workshops/conferences:

Invited session on *Markets, Institutions, and Governance*, American Sociological Association, section on Global and Transnational Sociology, August 2011

Workshop on *Creative Forms of Public Participation in China*, Harvard-Yenching Institute, Cambridge, June 2011

Workshop on *Transnational Governance Interactions: Theoretical Approaches, Empirical Contexts and Practitioners' Perspectives*, European University Institute, Florence, May 2011

Freie Universität Berlin, workshop on *Transnational Private Regulation in the Areas of Environment, Security, Social, and Labor Rights*, Berlin, January 2011

Nucleo de Economia Socioambiental, Universidade de Sao Paulo's Faculdade de Economia e Administração, workshop on *Civil-Society-Led Corporate Governance in Latin America: Critical Research Issues and Opportunities for Collaboration*, Sao Paulo, Brazil, Sept. 2010

Erb Institute for Global Sustainable Enterprise, University of Michigan, conference on *Informing Green Markets: The Roles of Industry, NGOs and Government*, Ann Arbor, June 2010

Cegla Center for Interdisciplinary Research of the Law, Tel Aviv University Faculty of Law, conference on *Mapping the Hard Law/Soft Law Terrain: Labor & Environmental Protection in Corporate Codes and Public-Private Initiatives*, Tel Aviv, June 2010

Workshop on *Private Regulation in the Global Economy*, Duke University Center for International Studies, Oct. 2009

Markets on Trial workshop, Kellogg School of Management, Northwestern University, Evanston, Oct. 2009

Convening Meeting on an Assessment of Sustainability Certification and Labeling, National Academies of Science, Washington, DC, Sept. 2009

**Commissioned paper: *How Certification Matters: Examining Mechanisms of Influence*

Max Planck Institute for the Study of Societies (Köln) workshop on *Comparing Transnational Standard Setting on Labour and Environmental Issues*, June 2009.

Tobin Project conference, *Toward a New Theory of Regulation: Ferment Amid Crisis*, White Oak Conference Center, Florida, April 2009.

Max Planck Institute for the Study of Societies (Köln) workshop on *Transnational Communities*, April 2008

University of Kentucky Workshop on *Transparency & Democracy in Certified & Ethical Commodity Networks*, Oct. 2007

University of Washington, *Voluntary Regulation Conference*, June 2007

Yale University, *Workshop on Corporate Social Responsibility*, Jan. 2007; Seminar on Forest Certification, March 2004, Sept. 2005

Open-Submission Conference Presentations (since 2004)

“Transnational Private Regulation in Practice: The Uneven Implementation of Labor and Environmental Standards in Indonesia.” American Sociological Association conference, Atlanta, August 2010.

“Individualized Politics and Political Consumerism: Opiates of the Masses, Gateway Drugs, or Neither?” (with Kevin Doran). American Sociological Association conference, Atlanta, August 2010.

“The Intersections of Public and Private in the Implementation of Global Standards: Evidence from Indonesia.” Society for the Advancement of Socio-Economics (SASE) conference, Philadelphia, July 2010.

“Movements, Markets, and Fields: How Does Social Movement Pressure Affect Firms?” (with Curtis Child). Organization Studies summer workshop on Social Movements, Civil Societies and Corporations, Margaux, France, May 2010.

“The Effects of Transnational Private Regulation on Labor and Environmental Conditions: Theorizing Mechanisms of Influence.” Asia-Pacific Sociological Association conference, Bali, June 2009.

“The Effects of Social Movement Pressure on ‘Corporate Social Responsibility’ in the Apparel Industry” (with Curtis Child). American Sociological Association conference, Boston, August 2008.

“Global Standards in Domestic Settings: Voluntary Labor Standards in Practice.” Society for the Advancement of Socio-Economics (SASE) conference, San Jose, Costa Rica, July 2008.

“Shaming the Corporation: Reputation, Globalization and the Dynamics of Anti-Corporate Movements” (with Curtis Child). American Sociological Association conference, New York, August 2007.

“The Evolution of Transnational Fields of Governance: A Network Analytic Approach” (with Shawna Smith). European Group for Organizational Studies (EGOS) annual colloquium, Vienna, July 2007.

“The Spread of the Certification Model: Understanding the Evolution of Non-State Market-Driven Governance” (with Graeme Auld, Cristina Balboa, Benjamin Cashore, and Kelly Levin). International Studies Association conference, Chicago, Feb. 2007.

“Beyond Channeling: How Foundations Shape Social Movement Fields.” American Sociological Association conference, Montreal, August 2006.

“Institutional Emergence in an Era of Globalization: The Rise of Social and Environmental Certification Systems.” American Sociological Association conference, Philadelphia, August 2005; International Studies Association conference, Honolulu, March 2005.

“Shaming or Shamming? Transnational Private Regulation of Labor Conditions in the Global Apparel Industry.” International Studies Association conference, Honolulu, March 2005; Law and Society Association conference, Chicago, May 2004.

“Framing Private Regulation: The Theorization of New Practices in Organizational Fields.” American Sociological Association conference, San Francisco, Aug. 2004.

“Institutional Emergence as Dynamic Contestation: The Rise of Labor and Environmental Certification Associations.” Society for the Advancement of Socio-Economics (SASE) conference, Washington, DC, July 2004.

“Corporate Codes of Conduct and the Privatization of Law: Evidence from the Apparel Industry.” Law and Society Association conference, Chicago, May 2004.

Courses Taught

Indiana University

S510: Introduction to Social Organization (graduate level)

S660: Conflict, Change, and Institutions (graduate level)

S371: Statistics for Sociology

S410: Topics in Social Organization: Consumers, Corporations, and Capitalism

S101: Social Problems and Policies: Sociology of Environment

University of Arizona
Soc100: Introduction to Sociology
Soc274: Social Statistics
Soc275: Social Research Methods
Soc315: Political Sociology
Soc317: Sociology of Popular Culture

Service

Profession:

Co-editor, *Regulation & Governance* (2012-15)

Consulting editor, *American Journal of Sociology* (2011-13)

National Science Foundation Sociology Program Advisory Panel (2011-12)

Council member, ASA Section on Economic Sociology (2011-12)

Selection committee chair, Ronald Burt Prize for Graduate Student Paper, ASA Section on Economic Sociology (2012)

Mini-conference organizer, "Regulating Labor and Environment: Beyond the Public-Private Divide." Society for the Advancement of Socio-Economics (SASE) conference, Boston (2012)

Advisory Editor, *Social Problems* (2008-10)

Selection committee, Ronald Burt Prize for Graduate Student Paper, ASA Section on Economic Sociology (2010)

Presider and discussant for American Sociological Association conference sessions (2006, 2008)

Critic for "author meets critics" session on *Beyond the Boycott: Labor Rights, Human Rights, and Transnational Activism*, by Gay Seidman. Society for the Advancement of Socio-Economics (SASE) conference, San Jose, Costa Rica (2008)

Selection committee, Distinguished Contribution to Scholarship Article Award, ASA Political Sociology section (2006)

Referee for:

American Journal of Sociology, American Sociological Review, Administrative Science Quarterly, Social Forces, Sociological Theory, Social Problems, Sociological Forum, Socio-Economic Review, Mobilization, Organization Studies, Organization Science, Law & Society Review, World Development, Journal of Politics, Review of International Political Economy, Global Environmental Politics, European Management Review, Regulation & Governance, California Management Review, Business & Politics, Research in Social Movements, Conflict, and Change, The China Quarterly, Labor Studies Journal, Governance, Forest Policy and Economics, Social Networks, Cambridge University Press, Max Planck Institute for the Study of Societies discussion paper series.

National Science Foundation (Sociology, Law and Social Science programs), Hong Kong Research Grants Council, The National Academies-National Research Council (Policy and Global Affairs Division)

College and University:

Advisory Board, Center for Law, Society & Culture, Indiana University Maurer School of Law(2009-)

Committee on Environmental and Sustainability Studies Degree, Indiana University (2009-10)

General Education Committee (and Math Modeling subcommittee), Indiana University (2010)

Provost's Task Force on Enhancing Undergraduate Education at IU-Bloomington (2009)

Internal Advisory Board, International Studies Program, College of Arts and Sciences (2008-10)

Summer Instructional Development Fellowships Selection Committee, member (2003-05)

Department of Sociology:

Executive Committee, elected member (2007-09, 2011-13)

Graduate Affairs Committee (2009-10, 2011-12)

Undergraduate Affairs Committee (2009-10)

Co-instructor, Indiana Intensive Didactic Seminar on Qualitative Comparative Analysis (QCA) and Fuzzy Sets, Bloomington (April 2009)

Politics, Economy, and Culture (PEC) seminar, coordinator (2005-06), participant (2003-)

Personnel Committee, member (2005-06)

Teaching Evaluation Committee, member (2003-05, 2007-09)

Preparing Future Faculty brownbag panelist (2004, 2010)

Dissertation and Thesis Committees

Todd Beer, Dissertation, Sociology (chair); Curtis Child, Dissertation, Sociology (co-chair, completed); Shawna Smith, Dissertation, Sociology (chair); Michael Thompson, Dissertation, Sociology; Joe DiGrazia, Dissertation, Sociology; Graeme Auld, Dissertation, Yale School of Forestry & Environmental Studies (completed); Wendy Gaylord, Dissertation, Educational Leadership and Policy Studies (completed); Robert Clark, Dissertation, Sociology (completed); Melissa Schnyder, Dissertation, Political Science (completed); Noha Shawki, Dissertation, Political Science (completed); Michael Thompson, MA, Sociology (chair, completed); Payal Shah, MA, Sociology (completed); Amy Gardner, capstone project for Leadership, Ethics, and Social Action minor (completed); Jesse Roehm, senior thesis for International Studies major (completed); Katie Jenkins, senior thesis for International Studies major (completed)

Qualifying exam committees

Nik Summers (chair, 2012), Doug Kincaid (chair, 2010), Joe DiGrazia (chair, 2009), Shawna Smith (chair, 2008), Kevin Doran (2011), Michael Vasseur (2011), Oren Pizmony-Levy (2010), Ann McCranie (2008), Jays Janney (2007), Curtis Child (2007), Todd Beer (2007), Julie Swando (2006), Azamat Junisbai (2005), Steve Viscelli (2004)

Work with practitioners:

Contributor to initial development of an assessment of forest certification, led by the University of Florida with initial funding from the World Bank (2011-)

Contributor and typology advisory team member, Packard Foundation certification assessment project (2010)