

Tim Bartley
CV, Dec. 2009

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Academic Appointments

2009- Associate Professor, Department of Sociology, Indiana University
2003-2009 Assistant Professor, Department of Sociology, Indiana University
2006-07 Visiting Fellow, Niehaus Center for Globalization and Governance, Woodrow Wilson
School of Public and International Affairs, Princeton University

Education

Ph.D., 2003. Sociology, University of Arizona
M.A., 1999. Sociology, University of Arizona
B.A., 1996. Sociology and Philosophy, Bradley University, *summa cum laude*

Publications

Bartley, Tim. Forthcoming. "Certification as a Mode of Social Regulation." In *Handbook of the Politics of Regulation*, edited by David Levi-Faur. Edward Elgar Publishing.

Bartley, Tim and Shawna Smith. Forthcoming (2010). "Communities of Practice as Cause and Consequence of Transnational Governance: The Evolution of Social and Environmental Certification." In *Transnational Communities: Shaping Global Economic Governance*, edited by Marie-Laure Djelic and Sigrid Quack. Cambridge University Press.

Bartley, Tim. 2009. "Standards for Sweatshops: The Power and Limits of the Club Theory Approach to Voluntary Labor Standards." Pp.107-132 in *Voluntary Programs: A Club Theory Approach*, edited by Aseem Prakash and Matthew Potoski. MIT Press.

Schneiberg, Marc and Tim Bartley. 2008. "Organizations, Regulation, and Economic Behavior: Regulatory Dynamics and Forms from the 19th to 21st Century." *Annual Review of Law & Social Science* 4:31-61.

Bartley, Tim, Krister Andersson, Pamela Jagger, and Frank van Laerhoven. 2008. "The Contribution of Institutional Theories to Explaining Decentralization of Natural Resource Governance." *Society and Natural Resources* 21(2):160-174.

Bartley, Tim. 2007. "Institutional Emergence in an Era of Globalization: The Rise of Transnational Private Regulation of Labor and Environmental Conditions." *American Journal of Sociology* 113(2): 297-351 (lead article).

- Bartley, Tim. 2007. "How Foundations Shape Social Movements: The Construction of an Organizational Field and the Rise of Forest Certification." *Social Problems* 54(3):229-255.
- Bartley, Tim and Wade T. Roberts. 2006. "Relational Exploitation: The Informal Organization of Day Labor Agencies." *WorkingUSA: The Journal of Labor and Society* 9(4):41-58.
- Bartley, Tim. 2005. "Corporate Accountability and the Privatization of Labor Standards: Struggles over Codes of Conduct in the Apparel Industry." *Research in Political Sociology* 14:211-244.
- Roberts, Wade T. and Tim Bartley. 2004. "The Wages of Day Labor: Homeless Workers in the Temporary Help Industry." *Journal of Poverty* 8(3):65-89.
- Bartley, Tim. 2003. "Certifying Forests and Factories: States, Social Movements, and the Rise of Private Regulation in the Apparel and Forest Products Fields." *Politics & Society* 31(3):433-464.
 Reprinted in *Making Law Work: Environmental Compliance and Sustainable Development*, edited by D. Zaelke, D. Kaniaru, and E. Kruzikova. London: Cameron May Ltd., International Law Publishers, 2005.
- Bartley, Tim and Marc Schneiberg. 2002. "Rationality and Institutional Contingency: The Varying Politics of Economic Regulation in the Fire Insurance Industry." *Sociological Perspectives* 45:47-79.
- Schneiberg, Marc and Tim Bartley. 2001. "Regulating American Industries: Markets, Politics, and the Institutional Determinants of Fire Insurance Regulation." *American Journal of Sociology* 107:101-146.
- Bergesen, Albert and Tim Bartley. 2000. "World-System and Ecosystem." Pp. 307-322 in *A World-Systems Reader: New Perspectives on Gender, Urbanism, Cultures, Indigenous Peoples, and Ecology*, edited by Thomas D. Hall. Lanham, MD: Rowman and Littlefield.
- Bartley, Tim and Albert Bergesen. 1997. "World-Systems Studies of the Environment: A Review." *Journal of World-Systems Research* 3(3):369-380.

Papers in Progress

- Bartley, Tim and Curtis Child. "Shaming the Corporation: Globalization, Reputation, and the Dynamics of Anti-Corporate Movements." Revise & resubmit from the *American Sociological Review*.
- Schneiberg, Marc and Tim Bartley. "Regulating or Redesigning Finance: Market Architectures, Normal Accidents, and Dilemmas of Regulatory Reform." To appear in *Research in the Sociology of Organizations* special issue, "Markets on Trial," 2010
- Bartley, Tim. "Transnational Private Regulation in Practice: The Uneven Implementation of Labor and Environmental Standards in Indonesia." Under review at *Business and Politics* special issue on Private Regulation in the Global Economy, 2010.

Bartley, Tim and Curtis Child. "The Effects of Social Movement Pressure on 'Corporate Social Responsibility' in the Apparel Industry."

Bartley, Tim and Shawna Smith. "Structuring Transnational Fields of Governance: Networks, Legitimation, and the Evolution of Ethical Sourcing."

Shorter Pieces, Essays, Book Reviews

2010. Review of *Moral Gray Zones: Side Productions, Identity, and Regulation in an Aeronautic Plant*, by Michel Anteby. *American Journal of Sociology* 115(4).

2008. Review of *The Politics of Small Things: The Power of the Powerless in Dark Times*, by Jeffrey C. Goldfarb. *Social Forces* 86(3):1356-1358.

2007. Review of *Governing Water: Contentious Transnational Politics and Global Institution Building*, by Ken Conca. *American Journal of Sociology* 112(6):1935-1937.

2007. "Social Movement Organizations" (with Elizabeth A. Armstrong). *The Blackwell Encyclopedia of Sociology*, edited by George Ritzer. Malden, MA: Blackwell.

2005. Review essay on *Globalization and Cross-Border Labor Solidarity in the Americas*, by Ralph Armbruster-Sandoval and *Monitoring Sweatshops*, by Jill Esbenshade. *WorkingUSA* 8(6):761-763.

2004. "Certified Globalization." *YaleGlobal*, August 26, 2004.

Reprinted as:

"The Private Monitors of Global Trade." *International Herald Tribune*, Sept. 17, 2004.

"Certified Globalization." *Jakarta Post*, Aug. 30, 2004.

"Certified Globalization." *Straits Times of Singapore*, Sept. 7, 2004.

2004. Review of *Insurance as Governance*, by Richard V. Ericson, Aaron Doyle, and Dean Barry. *Contemporary Sociology* 33(4):439-441.

2004. Review of *Labor and the Environmental Movement: The Quest for Common Ground*, by Brian K. Obach. *Industrial and Labor Relations Review* 58(1):146-147.

Grants, Honors, and Awards

National Science Foundation Dissertation Improvement Grant, Co-PI with Curtis Child, "Profit and Philanthropy in Fair Trade and Socially Responsible Investment Organizations," 2009

Sustainability Course Development Fellowship. Indiana University Office of the Vice Provost for Academic Affairs and Dean of the Faculties, 2009

Overseas Conference Fund grant for Asia-Pacific Sociological Association conference. Office of the Vice President for International Affairs, Indiana University, 2009.

American Sociological Association/National Science Foundation Fund for the Advancement of the Discipline grant for “Global Standards in Domestic Contexts: ‘Corporate Social Responsibility’ in Practice,” 2008-09.

Fellowship, Niehaus Center for Globalization and Governance, Woodrow Wilson School of Public and International Affairs, Princeton University, 2006-07

Faculty Research Leave Supplement, Indiana University, 2006-07

Center for Advanced Studies in the Behavioral Sciences (CASBS), Stanford, CA, invited participant in the Summer Institute on “Economy and Society: Trajectories of Capitalism,” directed by Neil Fligstein and Walter W. Powell, 2006

Trustees Teaching Award, Indiana University, 2006

Summer Faculty Fellowship, College of Arts and Sciences, Indiana University, 2004

Braverman Award, Society for the Study of Social Problems, for “Homeless Workers in the Temporary Help Industry: The Wages of Day Labor” (with Wade T. Roberts), 2003

“The Emergence of Private Systems for Regulating Labor and Environmental Conditions.” Grant funded by the University of Arizona Senate Task Force on Monitoring Labor and Human Rights Issues, 2001-02

Dissertation Grant, Department of Sociology, University of Arizona, 2002

Social and Behavioral Sciences Research Institute Summer Grant Development Award, University of Arizona, 2001

Invited Workshop and Colloquium Presentations

Workshop on Private Regulation in the Global Economy, Duke University Center for International Studies, Oct. 2009

Markets on Trial workshop, Kellogg School of Management, Northwestern University, Oct. 2009

Convening Meeting on an Assessment of Sustainability Certification and Labeling, National Academies of Science, Washington, DC, Sept. 2009. (Commissioned paper: “How Certification Matters: Examining Mechanisms of Influence”)

Max Planck Institute for the Study of Societies (Köln) workshop on Comparing Transnational Standard Setting on Labour and Environmental Issues, June 2009.

Tobin Project conference, Toward a New Theory of Regulation: Ferment Amid Crisis, White Oak Conference Center, Florida, April 2009.

Max Planck Institute for the Study of Societies (Köln) workshop on Transnational Communities, April 2008

University of Kentucky Workshop on Transparency & Democracy in Certified & Ethical Commodity Networks, Oct. 2007

University of Washington, Voluntary Regulation Conference, June 2007

Yale University, Workshop on Corporate Social Responsibility, Jan. 2007; Seminar on Forest Certification, March 2004, Sept. 2005

Colloquia:

University of California-Berkeley, Haas School of Business, Oliver E. Williamson Seminar on Institutional Analysis, Feb. 2010

Indiana University, Department of Geography, Nov. 2009

University of Illinois, College of Business, Organizational Behavior seminar, February 2009

University of North Carolina at Chapel Hill, Carolina Entrepreneurial Initiative (CEI) and Department of Sociology colloquium series, October 2008

Atma Jaya University, Jakarta, Indonesia, June 2008

IESE Business School colloquium, Barcelona, July 2007

Rutgers University, Department of Sociology colloquium, Feb. 2007

Princeton University, International Relations Colloquium, Nov. 2006

Yale University, Working Group on Global Governance seminar series, Oct. 2006

Indiana University School of Law, Center for Law, Society, & Culture seminar series Nov. 2005

University of Connecticut, Department of Sociology colloquium, Sept. 2005

Open-Submission Conference Presentations (since 2001)

“The Effects of Transnational Private Regulation on Labor and Environmental Conditions: Theorizing Mechanisms of Influence.” Asia-Pacific Sociological Association conference, Bali, June 2009.

“The Effects of Social Movement Pressure on ‘Corporate Social Responsibility’ in the Apparel Industry” (with Curtis Child). American Sociological Association conference, Boston, August 2008.

“Global Standards in Domestic Settings: Voluntary Labor Standards in Practice.” Society for the Advancement of Socio-Economics (SASE) conference, San Jose, Costa Rica, July 2008.

“Shaming the Corporation: Reputation, Globalization and the Dynamics of Anti-Corporate Movements” (with Curtis Child). American Sociological Association conference, New York, August 2007.

“The Evolution of Transnational Fields of Governance: A Network Analytic Approach” (with Shawna Smith). European Group for Organizational Studies (EGOS) annual colloquium, Vienna, July 2007.

“The Spread of the Certification Model: Understanding the Evolution of Non-State Market-Driven Governance” (with Graeme Auld, Cristina Balboa, Benjamin Cashore, and Kelly Levin). International Studies Association conference, Chicago, Feb. 2007.

“Beyond Channeling: How Foundations Shape Social Movement Fields.” American Sociological Association conference, Montreal, August 2006.

“Institutional Emergence in an Era of Globalization: The Rise of Social and Environmental Certification Systems.” American Sociological Association conference, Philadelphia, August 2005; International Studies Association conference, Honolulu, March 2005.

“Shaming or Shamming? Transnational Private Regulation of Labor Conditions in the Global Apparel Industry.” International Studies Association conference, Honolulu, March 2005; Law and Society Association conference, Chicago, May 2004.

“Framing Private Regulation: The Theorization of New Practices in Organizational Fields.” American Sociological Association conference, San Francisco, Aug. 2004.

“Institutional Emergence as Dynamic Contestation: The Rise of Labor and Environmental Certification Associations.” Society for the Advancement of Socio-Economics (SASE) conference, Washington, DC, July 2004.

“Corporate Codes of Conduct and the Privatization of Law: Evidence from the Apparel Industry.” Law and Society Association conference, Chicago, May 2004.

“Homeless Workers in the Temporary Help Industry: The Wages of Day Labor” (with Wade T. Roberts). Society for the Study of Social Problems conference, Atlanta, Aug. 2003.

“The Social Organization of Ethical Standards: Lessons From the Apparel and Forest Products Industries.” American Society for Bioethics and Humanities conference, Baltimore, Oct. 2002 (Part of a session on Bioethics as a Social Movement).

“Structure at the Bottom: Homeless Workers and the Day Labor Industry” (with Wade T. Roberts). American Sociological Association conference, Chicago, Aug. 2002.

“The Emergence of Private Systems for Regulating Labor and Environmental Conditions: Concepts and Theoretical Perspectives.” Pacific Sociological Association conference, Vancouver, April 2002 (Part of a Presidential Session on “Responses to Globalization”).

“The Professionalization of Scrutiny: The Rise of Labor Standards Monitoring Organizations.” American Sociological Association conference, Anaheim, CA, Aug. 2001.

“Institutional Contingency in the Passage of Fire Insurance Rate Regulation” (with Marc Schneiberg). American Sociological Association conference, Washington, DC, Aug. 2000.

“Legal Endorsement of Associations: A State-Level Analysis” (with Marc Schneiberg). Society for the Advancement of Socio-Economics conference, Madison, WI, July 1999.

“Turning to the State? Stabilizing the Market in the Early American Lumber Industry.” Pacific Sociological Association conference, Portland, April 1999.

“One Day at a Time: An Ethnographic Study of Day Labor” (with Wade T. Roberts). Pacific Sociological Association conference, Portland, April 1999.

“The Art Faculty of the Mind” (with Albert Bergesen). American Sociological Association conference, San Francisco, CA, Aug. 1998.

Courses Taught

Indiana University

- S660: Conflict, Change, and Institutions (graduate level)
- S510: Social Organization (graduate level, planned for spring 2010)
- S410: Topics in Social Organization: Consumers, Corporations, and Capitalism
- S371: Statistics for Sociology
- S101: Social Problems and Policies: Sociology of Environment
- S494: Field Experience in Sociology
- S495: Individual Readings in Sociology
- S864: Readings in Sociology

University of Arizona

- Soc100: Introduction to Sociology
- Soc274: Social Statistics
- Soc275: Social Research Methods
- Soc315: Political Sociology
- Soc317: Sociology of Popular Culture

Service

Advisory Board, Center for Law, Society, & Culture, Indiana University Maurer School of Law (2009-)

Environmental Studies Committee, Indiana University (2009)

Provost’s Task Force on Enhancing Undergraduate Education at IU-Bloomington (2008-09)

Internal Advisory Board, International Studies Program, College of Arts and Sciences (2008-)

Summer Instructional Development Fellowships Selection Committee, member (2003-05)

Department of Sociology

- Executive Committee, elected member (2007-09)
- Graduate Affairs Committee (2009-10)

Politics, Economy, and Culture (PEC) seminar, coordinator (2005-06), participant (2003-09)
Personnel Committee, member (2005-06)
Teaching Evaluation Committee, member (2003-05, 2007-09)
Junior Faculty Working Group, coordinator (2004-05), member (2003-08)

Dissertation and Thesis Committees

Todd Beer, Sociology (chair)
Curtis Child, Sociology (co-chair)
Graeme Auld, Dissertation, Yale School of Forestry & Environmental Studies (completed)
Wendy Gaylord, Educational Leadership and Policy Studies (completed)
Robert Clark, Dissertation, Sociology (completed)
Melissa Schnyder, Dissertation, Political Science (completed)
Noha Shawki, Dissertation, Political Science (completed)
Michael Thompson, MA, Sociology (chair, completed)
Amy Gardner, capstone project for Leadership, Ethics, and Social Action minor (completed)

Qualifying exam committees

Doug Kincaid (chair, 2009)
Joe DiGrazia (chair, 2009)
Shawna Smith (chair, 2008)
Ann McCranie (2008)
Jays Janney (2007)
Curtis Child (2007)
Todd Beer (2007)
Julie Swando (2006)
Azamat Junisbai (2005)
Steve Viscelli (2004)

Advisory Editor, *Social Problems*, 2008-

Referee for:

National Science Foundation (Sociology, Law and Social Science programs)
The National Academies, National Research Council (Policy and Global Affairs Division)
American Journal of Sociology
American Sociological Review
Administrative Science Quarterly
Organization Studies
Socio-Economic Review
Law & Society Review
Social Problems
World Development
European Management Review
Regulation & Governance
California Management Review
Sociological Theory
Mobilization
Research in Social Movements, Conflict, and Change
The China Quarterly

Labor Studies Journal
Governance: An International Journal of Policy, Administration and Institutions
Global Environmental Politics
Forest Policy and Economics
Social Networks
SAGE/Pine Forge Press
Prentice Hall Publishing

Co-instructor, Indiana Intensive Didactic Seminar on Qualitative Comparative Analysis (QCA) and Fuzzy Sets, Bloomington (April 2009).

Presider and discussant, regular session on Organizations: Institutional Change, American Sociological Association conference, Boston (2008).

Critic for “author meets critics” session on *Beyond the Boycott: Labor Rights, Human Rights, and Transnational Activism*, by Gay Seidman. Society for the Advancement of Socio-Economics (SASE) conference, San Jose, Costa Rica (2008).

Distinguished Contribution to Scholarship Article Award selection committee, ASA Political Sociology section (2006)

Presider and discussant, regular session on Social Movements, American Sociological Association conference, Montreal (2006).

Presider, refereed roundtable session on Social Movements and Collective Behavior, American Sociological Association conference, Chicago, IL (2002)

Session organizer: “Sociologists and the Anti-Sweatshop Movement,” American Sociological Association conference, Anaheim, CA, 2001; “Transformations of Capitalism and Culture,” Pacific Sociological Association conference, San Francisco, CA (1998)

Consultant on day labor research for the Center for Applied Sociology at the University of Arizona (2001) and for Public/Private Ventures’ Sectoral Employment Initiative (1999-2000)