

INDIANA UNIVERSITY
BLOOMINGTON CAMPUS COMMITTEE FOR THE PROTECTION OF HUMAN SUBJECTS

(((STUDY AMENDMENT)))
of
Research Project Utilizing Human Subjects

Study # 01-4224

NOTE TO INVESTIGATORS: Study amendments may not be instituted until written approval from the IUB Campus Committee for the Protection of Human Subjects has been given. Return this form to: HSC, Caramichael Center L03, 530 E. Kirkwood Ave., IUB. Please complete in a legible manner! This entire page **MUST** be on a single sheet of paper. Use this as a cover page and attach pages with the information requested in items 1 and 2.

TITLE OF PROJECT Systemic Change in the MSD Decatur School Corporation

PRIN. INVESTIGATOR Charles M. Reigeluth SCHOOL/DEPARTMENT Education/IST

ADDRESS (campus or US) School of Education, Room 2236_E-MAIL reigelut@indiana.edu TELEPHONE 856-8464

FUNDING AGENCY:

**PLEASE USE THIS AS A COVER PAGE.
ATTACH PAGES with the INFORMATION REQUESTED in items 1 and 2.**

- Describe the proposed change(s) and rationale for the change(s). Include any changes to project start and end dates. If submitting a revised questionnaire or survey, please include a copy **with** changes highlighted.
Addition of Kurt Richter and Sun Lee as Principal Investigators of this study
Exempt Research Statement A: Addition of Interview protocol (Attached)
Exempt Research Statement B (Attached)
- Describe how the amendment will affect the risk:benefit ratio for subjects.
No change
- Does the proposed amendment require changes in the Consent Statement/Information Sheet? No
If the answer is **yes**, check the appropriate line and attach a copy of the revised Consent Statement/Information Sheet, **with** changes highlighted.

_____ The new Consent Statement/Information Sheet is in addition to the current one
_____ The new Consent Statement/Information Sheet is to replace the current one.

Kurt B. Richter _____
Principal Investigator (typed/printed name) (signature—must be PI's own signature) (date)

Charles M. Reigeluth _____
Faculty Advisor/Sponsor (typed/printed name) (signature—must be sponsor's own signature) (date)

CAMPUS LEVEL REVIEW

_____ The Amendment of this protocol for use of human subjects has been reviewed and approved by the IUB Campus Committee for the Protection of Human Subjects.

_____ Exempt review ¶#_____ _____ Exempt review with documentation of consent ¶#_____

_____ Expedited review ¶#_____ _____ Full Committee review

_____ Date _____
Chairperson/Agent IUB Committee

logged in ts _____ approval logged _____ copy to PI _____ notice to SOE _____ rank code _____

test: PI _____ sponsor _____ co-PI _____

- A. Provide a brief description, in lay terms, of the purpose of the proposed study and the procedures to be used. If interviewing, will you tape? What happens to the tapes at the end of the study? If they are to be kept, how long? If student research, indicate whether for a course, a thesis, dissertation, or independent research. If the study is only for a course, please review the Student Research Policy to ascertain if this project requires HSC review.

The purpose of this study is to test and improve a design theory for facilitating systemic change in public school districts. There are five discrete events or procedures which encompass the design theory: 1) Assess readiness and negotiate an agreement, 2) Prepare the Core Team for the change process, 3) Prepare expanded teams for the process, 4) Engage in design of a new educational system, and 5) Implement and evolve the new system. Through the use of the design theory to facilitate a school district's systemic change effort, we will recommend revisions and elaborations for the design theory. Also, we will explore the role of a university-based Support Team to advise the facilitator in a systemic change effort and will provide guidelines for creating and implementing such a Support Team. As change facilitators we will be participants in the case study. The data will be collected by observations, field notes, and occasionally audio or video recordings of meetings held with stakeholders (e.g., school board members, district administrators, principals, teachers, and parents) in the school community and the university-based Support Team (graduate students and faculty). Interviews of stakeholders will be conducted and recorded. All potential interviewees have received the information sheet approved by the HSC prior to choosing to participate in the interview. Interview questions will be based on Formative Research and will focus on the following:

- What went well?
- What did not go as well as it should have?
- What can be done to improve the process that was used?

The results of this study will be used to improve the guidelines of the design theory. Recorded data will be kept in a locked cabinet in a locked office in the School of Education and will be destroyed within two years after the end of the study.

- B. Explain how subjects will be recruited/selected (see item F on page 2), how many (or estimate) will be involved, and any benefits to the subjects. In the event of monetary gain, include all payment arrangements. If merchandise or service is given, indicate the value. If class credit will be given, list the amount and the value as it relates to the total points needed for an A. Describe other ways to earn the same amount. Tell how much payment/credit will be given if the subject withdraws prior to completion of the study. If minors are used, indicate the approximate age range. If only using male or female subjects, explain why. Disclose any relationship between researcher and subjects, such as: teacher/student; superintendent/principal/teacher; employer/employee. (See Students as Subjects section in the Policy Manual.) For use of existing data, provide the number of records to be used.

After we publicized our interest in facilitating a systemic change effort, four school districts contacted us expressing an interest. After phone discussions, we tentatively selected the MSD Decatur Township. We then conducted several site visits to further assess the districts' readiness for participation in systemic change. Based on our conversations with all the major stakeholder groups, we and the stakeholders have jointly decided to work with each other. Our contacts with the school districts to date have been to decide whether to work together and are not part of the research study. While the change effort will try to include all stakeholders in the change process, we expect to work primarily with 5 - 6 participants on the Core Team, 20 - 25 participants on a leadership team, 7-10 participants on an IU-based Support Team, and 7-10 members of a design team from each school in the district that chooses to participate in the change project. Participation will be completely voluntary, and no compensation will be provided to participants by us.

- C. No change

D. Coinvestigators, not listed or signing on page 3, are to be listed here and should sign here, pledging to conform to the statements on page 3.

Kurt B. Richter, Ed.D. Candidate in IST _____ April 1, 2005
Test ID: 1075240709

Sun Lee, Student in IST _____ April 1, 2005
Test ID: 1106794972

Fang Fang, Student in IST _____ April 1, 2005
Test ID: 1098153356

Daniel Pascoe Aguilar, IST Student _____ April 1, 2005
Test ID: 1034792749

William Watson, IST Student _____ April 1, 2005
Test ID: 1080846355

Sari Pascoe, Ph.D. Candidate in IST _____ April 1, 2005
Test ID: 1033742037

Jingli Cheng, IST Student _____ April 1, 2005
Test ID: 101504

Sung Pil Kang, IST Student _____ April 1, 2005
Test ID: 1050276727

Judy Bardonner, Candidate in C&I _____ April 1, 2005
Test ID: 1071604596

Coinvestigator Email Addresses:

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