

Direct Mapping of Acoustics to Phonology:  
On the lexical encoding of front rounded vowels in  
L1 English-L2 French acquisition

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7 **Abstract**

8 It is well known that adult US-English-speaking learners of French have  
9 difficulties acquiring high /y/-/u/ and mid /œ/-/ɔ/ front versus back rounded vowel  
10 contrasts in French. This study examines the acquisition of these French vowel  
11 contrasts at two levels: phonetic categorization and lexical representations. An  
12 ABX categorization task revealed that both advanced and intermediate learners  
13 failed to categorize /œ/ versus /ɔ/ and /y/ versus /u/ as native speakers of French  
14 do, although performance on the /y/-/u/ contrast was better than on the /œ/-/ɔ/  
15 contrast in all contexts. On a lexical decision task with repetition priming,  
16 advanced learners and native speakers produced no (spurious) response time  
17 facilitations for /y/-/u/ and /œ/-/ɔ/ minimal pairs; however, in intermediate  
18 learners, the decision for a word containing /y/ was speeded by hearing an  
19 otherwise identical word containing /u/ (and vice-versa), suggesting that /u/ and  
20 /y/ are not distinguished in lexical representations. Thus, while it appears that  
21 advanced learners encoded the /y/-/u/ and /œ/-/ɔ/ contrasts in the phonological  
22 representations of lexical items, they gained no significant benefit on the  
23 categorization task. This dissociation between phonological representations and  
24 phonetic categorization challenges common assumptions about their relationship  
25 and supports a novel approach we label *Direct Mapping from Acoustics to*  
26 *Phonology* (DMAP).  
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29 **I. Introduction**

30

31 Research on second language (L2) sound systems has focused on two  
32 distinct levels of investigation: acquisition of non-native phone categories and  
33 acquisition of abstract phonological representations. A number of models,  
34 including the Speech Learning Model (Flege, 1995), the Perceptual Assimilation  
35 Model (Best, 1995; PAM-L2, Best and Tyler, 2007), and the Native Language  
36 Magnet Model (Kuhl and Iverson, 1995), have focused on the problem of  
37 categorization. According to these models, under specific conditions, the  
38 configuration of the native language (L1) phonetic space induces the classification  
39 of target (second) language phones as (potentially target-deviant) instances of a  
40 category in the learner's L1 (e.g. through "single-category assimilation" as  
41 described by Best, 1995; Best, McRoberts and Goodell, 2001; Best, McRoberts  
42 and Sithole, 1988). In such cases, acquiring the relevant target-language  
43 categories can pose a severe challenge. Another avenue of research focuses on the  
44 development of interlanguage phonological representations that determine  
45 phonotactics, stress, tonal or intonation patterns, segmental inventories, syllable  
46 structure constraints, etc. (Altenberg and Vago, 1983; Archibald, 1993; 1998;  
47 Broselow, 1987; Broselow, Chen and Wang, 1998; Eckman, 1977; 1987; Young-  
48 Scholten, 2004). Some L2 phonological researchers also claim that perception is  
49 constrained by the features used to specify the L1 phonological segment  
50 inventory. For Brown (1998, 2000), only the features strictly necessary to specify  
51 the L1 phonological segment inventory are available. Hancin-Bhatt (1994) argues  
52 that accurate perception of phone contrasts correlates with feature prominence:  
53 Features specified in more segments of the L1 inventory allow better  
54 discrimination. Beyond the segmental level, work on the Prosodic Transfer  
55 Hypothesis argues that the L1 prosodic grammar filters the integration of  
56 segments, explaining aspects of L2 phonological productions under various  
57 strategies (Goad and White, 2006; 2008; Goad, White and Steele, 2003).

58 Research on the L2 acquisition of new phonetic contrasts widely (albeit,  
59 implicitly) assumes that the development of a new category in the perceptual  
60 space constitutes the first stage of the acquisition of (one or more) new phonemes,  
61 in analogy with L1 acquisition (Maye, 2000; Maye, Werker and Gerken, 2002).  
62 This mirrors commonly accepted views of word recognition, where the output of  
63 phonetic categorization (in which irrelevant variation has been discarded) is the  
64 input to phonology. As an example of this assumption, Pallier, Bosch and  
65 Sebastián-Gallés (1997), observing unreliable discrimination of Catalan words  
66 containing [ɛ] from minimally different words containing [e] in Spanish-dominant  
67 bilinguals, argue that these bilinguals did not generally establish new categories  
68 for Catalan /ɛ/, despite exposure from an early age. Studying a very similar  
69 population, Pallier, Colomé and Sebastián-Gallés (2001) observed spurious  
70 repetition priming for Catalan /e/-/ɛ/ minimal pairs, which they interpreted as  
71 evidence that Spanish-dominant bilinguals treated such word pairs as  
72 homophones, unlike Catalan native speakers. Even though the lexical homophony  
73 interpretation is not the only one possible (the same results could be due to the  
74 listeners' inability to auditorily *distinguish* the minimal pairs in the first place),

75 these authors clearly relate the lacking lexical distinction to the bilinguals' failure  
76 to establish distinct categories for the Catalan phonemes. However, other  
77 researchers have also found cases where L2 learners seem to have knowledge of  
78 lexical contrasts despite unreliable performance on discrimination tasks (Cutler,  
79 Weber and Otake, 2006; Escudero, Hayes-Harb and Mitterer, 2008; Hayes-Harb  
80 and Masuda, 2008; Weber and Cutler 2004). The same assumption has led these  
81 researchers to hypothesize *ersatz* lexical representations and mechanisms of  
82 lexical encoding that rely on other (i.e., metalinguistic) sources of knowledge.

83 This paper challenges the assumption that phonetic distinctions must  
84 precede phonological contrasts in lexical representations by examining the L2  
85 acquisition of two vowel contrasts that occur in French, but not in English. In  
86 French, the high front rounded vowel /y/ contrasts with the high back rounded  
87 vowel /u/ and the mid front rounded vowel /œ/ contrasts with the mid back  
88 rounded vowel /ɔ/, whereas in English neither front rounded vowel occurs. We  
89 examine in tandem the degree to which intermediate and advanced English-  
90 speaking learners can categorize the front versus back, rounded vowel contrasts  
91 /y/-/u/ and /œ/-/ɔ/ in non-words, as well as these same learners' lexical  
92 representations of /y/-/u/ and /œ/-/ɔ/ minimal pairs. Thus, we consider these  
93 contrasts at two levels: segmental phonetic categorization and phonemic  
94 representations in the lexicon. Segmental categorization is examined with an  
95 ABX task, and lexical representations with a lexical decision task in the repetition  
96 priming paradigm.

97 A research program argues that phonological contrasts, processes and  
98 alternations require discrete features organized according to a hierarchy  
99 (Clements, 1985, 2001, 2003, 2009; Clements and Hume, 1995, Keyser and  
100 Stevens, 1994; McCarthy, 1988; Halle, 1992, Dresher, 2009, 2010; and many  
101 others). Features and their geometry are transduced from the sensory-motor  
102 system into the phonological domain (Keyser and Stevens, 1994). Features  
103 “correspond to articulatory regions with relatively stable acoustic properties”  
104 (Clements, 2009:19). These discrete phonological features receive context-  
105 dependent category definitions on a continuum (Kuhl and Iverson, 1995). The  
106 hierarchy minimizes redundancy, expresses universal tendencies and underlies  
107 phonological processes. A feature must be relevant either to lexical contrasts, to  
108 phonological patterns or alternations, or to phonetic realizations, in order to be  
109 selected in the acquisition of a language (Clements, 2001). The hierarchy of  
110 features, supplemented with phonetic cues to phonological parameters, allows  
111 phonological acquisition to abstract away from irrelevant phonetic details  
112 (Dresher 1999; Dresher and Kaye 1990). In contrast, phonetically grounded  
113 approaches forego innate features grounded in the sensory motor system (Ohala,  
114 1995; Steriade 1999; *inter alia*). Mielke (2008) views features as emergent  
115 properties of the signal. Clements (2001: 84-85) notes:

116 “While it is possible that the hierarchy is simply given as such in universal  
117 grammar, it is not unreasonable to suppose that it can be recovered, at  
118 least in large part, from the speaker’s linguistic experience through  
119 massive exposure to data allowing a calculation of relative phoneme  
120 frequencies and other phenomena related to feature accessibility.”

121 For (adult) L2 acquisition, a hierarchy of features selected or abstracted in L1  
122 acquisition predates exposure to L2 input. Indeed, this selection mediates L2  
123 acquisition (Brown, 1998, 2000; Hancin-Bhatt, 1994), as does prosodic selection  
124 (Goad and White, 2006; 2008; Goad, White and Steele, 2003).

125 In French, front rounded vowels receive [front] + [round] specifications,  
126 whereas back rounded vowels receive [back] + [round] specifications. Following  
127 Clements and Hume (1995), we will assume that the features [round], [front] and  
128 [back] are reducible to [labial], [coronal] and [dorsal] V-place specifications of  
129 articulators, enforcing constrictions due to lip, tongue blade, and tongue body  
130 respectively. The contrast /y/-/œ/ is mediated by tongue height under an  
131 aperture/vowel height node. Hence, as the literature (e.g. Levy, 2009a; Flege,  
132 1987; Gottfried, 1984) suggests, we expect neither /y/-/u/ nor /œ/-/ɔ/ phonological  
133 contrasts in the initial state of L2 phonological acquisition of French by native  
134 speakers of English. (We discuss this in detail in Section II.) Following Schwartz  
135 and Sprouse's (1994; 1996) Full Transfer/Full Access model motivated by  
136 morphosyntax and adopted for phonology by Archibald (1998), we assume that  
137 the L1 phonological system (or phonological grammar) constitutes the initial  
138 phonological state in L2 acquisition. English has no contrast between front and  
139 back rounded vowels: rounding merely enhances the front versus back contrast  
140 (Clements, 2001). Acoustically, however, in many varieties of US English, /u/  
141 comes close to a front vowel, especially in coronal contexts (Levy, 2009a, b;  
142 Hillenbrand et al., 2001, Strange et al., 2007). The French values for the /y/-/u/  
143 contrast therefore are found at the margins of the category definition for English  
144 /u/. Hence, due to the transfer of the feature specifications that carve out the  
145 English inventory of segments, rounded vowels (front as well as back) in the input  
146 will be assigned the [dorsal] specification in lexical representations in the initial  
147 stages of English-based interlanguage development. Borrowing from historical  
148 linguistics, we refer to this L1-based reinterpretation of the phonological content  
149 of the target-language input as a "merger" in order to distinguish this process  
150 from perceptual assimilation at the level of phonetic categories.

151 Following the logic of Pallier et al. (2001), phonological merger routinely  
152 leads to "spurious homophony" in the interlanguage lexicon. This leads us to ask  
153 whether phonological merger can be overcome and if so, how lexical  
154 representations can be revised to reflect the new phonological state. Perceptual  
155 assimilation (Best, 1995) in which foreign speech sounds are treated as exemplars  
156 of L1 phonetic categories also characterizes aspects of the initial state of L2 sound  
157 systems. This also invites the question of the degree to which L2 learners can  
158 recover from single-category assimilation, crucially modulated by consonantal  
159 context, in the process of L2 acquisition (Levy and Strange, 2008; Levy, 2009 a,  
160 b). Levy and Strange show that experienced learners exhibited only a marginal  
161 improvement over inexperienced learners: Experienced learners' categorization of  
162 /y/-/u/ was not affected by the consonantal context, but rates of categorization  
163 errors remained similar to inexperienced learners. If learners' lexical  
164 representations recover from merger, yet learners' categorization of the relevant  
165 phones exhibits little benefit, this would challenge the assumption that the

166 establishment of new categories (i.e. recovery from single-category assimilation)  
167 constitutes the first step in the L2 acquisition of a phonological contrast.

168 In Section II we review the literature on category formation in L2 sound  
169 perception and on the lexical representation of L2 phonological contrasts. In  
170 Section III, we examine the acquisition problem posed by French rounded vowels  
171 for native speakers of English. We consider what is strictly necessary for  
172 phonological development to occur without recourse to other information sources  
173 and propose *Direct Mapping from Acoustics to Phonology (DMAP)* as a possible  
174 mechanism underlying phonological development. Empirical evidence for DMAP  
175 is presented in Sections IV and V, where we present evidence of phonological  
176 merger (and recovery from merger) in the lexicon and examine learners'  
177 categorization ability in relation to lexical knowledge involving the same contrast.  
178 A general discussion of some of the implications of DMAP follows in Sections VI  
179 and VII.

180

## 181 **II. Category formation and lexical encoding of contrasts**

182

### 183 *2.1 Categorization and phonetic decoding*

184

185 In spoken language perception research, a large number of studies have  
186 documented categorical perception (i.e. indicating the presence of categories as  
187 well as their boundaries), whereby categorization performance (identification of  
188 categories on a speech-sound continuum) predicts performance on discrimination  
189 tasks (e.g. Fujisaki and Kawashima, 1971; Liberman, Harris, Hoffman and  
190 Griffith, 1957; Pisoni, 1973). For speech, it is generally assumed that  
191 categorization of acoustic stimuli represents a basic and automatic step in speech  
192 processing. Categorical perception of phonemes results in minimizing perceived  
193 differences between sounds along one or more dimensions within the category  
194 boundaries and enhancing perceived differences on those dimensions across the  
195 boundaries. An acoustic change is perceived most clearly when it crosses a  
196 phoneme boundary (Dehaene-Lambertz, 1997). This stretching and squeezing of  
197 the perceived distance between stimuli reflects the influence that categories have  
198 on the perceptual similarity of acoustically equidistant stimuli, as demonstrated  
199 for the speech categories /r/ and /l/ by Iverson et al. (2003). This mechanism is in  
200 place very early in life (Eimas, Siqueland, Juczyk and Vigorito, 1971; Eimas,  
201 1974). The linguistic environment rapidly modifies initial capacities and carves  
202 out language-specific perceptual boundaries (Maye, Werker and Gerken, 2002;  
203 Werker and Curtin, 2005; Kuhl, Williams, Lacerda, Stevens and Lindblom, 1992).

204

### 205 *2.2 Modification of the categorization space*

206

207 Categories remain modifiable to some extent later in life, and the ability to  
208 form new categories, at least momentarily, remains present across the life span  
209 (Maye, 2000; Maye, Werker and Gerken, 2002). In order to acquire a new  
210 category or to modify an existing category boundary, perceivers must attune to  
211 appropriate perceptual dimensions (Francis, Baldwin and Nusbaum, 2002; Francis

212 and Nusbaum 2002), in order to match them to definitional criteria. Researchers  
213 have documented short-term shifts in consonant category boundaries through  
214 exposure to ambiguous sounds linked to lexical items (McQueen, Cutler and  
215 Norris, 2003; 2006; Eisner and McQueen, 2005; Evans and Iverson, 2002).  
216 Robust category formation seems dependent on high phonetic variability (for /r/-  
217 /l/, Lively, Logan and Pisoni, 1993; Lively, Pisoni, Yamada, Tohkura and  
218 Yamada, 1994; Logan, Lively and Pisoni, 1991; 1993). However, the long-term  
219 effects of such adjustments obtained in the laboratory are unclear for L2  
220 acquisition. Training studies involving difficult contrasts seem much less  
221 successful at achieving long-term success (Bradlow, Pisoni, Akahane-Yamada  
222 and Tohkura, 1997).

223 Vowel continua are perceived in a less categorical fashion than stop  
224 consonant ones, for which discrimination peaks are usually more sharply defined.  
225 Discrimination is higher for vowels even within category boundaries (Stevens,  
226 Liberman, Studdert-Kennedy and Öhman, 1969), probably because (as pointed  
227 out by Pisoni, 1973) two types of memory information are simultaneously  
228 available for vowels (auditory and phonetic). To date, however, it remains an  
229 open question whether it is easier to *acquire* target-like vowel categories than  
230 target-like consonant categories for adult learners (Pallier, Bosch and Sebastián-  
231 Gallés, 1997). The development of new segmental categories that would interfere  
232 with the perceptual space optimized for L1 categories appears to severely tax  
233 resources, potentially inhibiting learning. Pallier et al. (1997) showed that Spanish  
234 native speakers whose exposure to Catalan began at the age of 4 years and  
235 became highly proficient in Catalan still experienced difficulty discriminating  
236 words containing [ɛ] from minimally different words containing [e] in Catalan. A  
237 synthetic vowel continuum of acoustically equidistant [ɛ] and [e] values was used  
238 to assess discrimination with an AX task and to assess identification with a  
239 classification task asking the question “Does the vowel sound more like in [pera]  
240 or in [pera]?”. Group results showed that Spanish-dominant bilinguals had a very  
241 flat identification curve, representing random performance, along with a  
242 discrimination function that failed to show the typical peak around the category  
243 boundary. By contrast, Catalan-dominant bilinguals exhibited an identification  
244 curve indicating a clear labeling of two categories at the extreme ends of the  
245 continuum, and excellent discrimination around the category boundary (Pallier,  
246 Bosch and Sebastián-Gallés, 1997). These results clearly indicate that many  
247 proficient L2-learners of Catalan, despite their early exposure, do not successfully  
248 establish segmental categories for the Catalan /ɛ/ or /e/ and use their native  
249 Spanish /e/ to categorize both members of the pair.

250 Turning to rounded vowels, previous studies have shown that (US-)  
251 English-speaking acquirers of French have more difficulty discriminating /y/-/u/  
252 pairs than /i/-/y/ pairs (e.g., Flege, 1987; Gottfried, 1984; see also Flege and  
253 Hillenbrand, 1984, on production). This was independent of context. However,  
254 Polka (1995) observed that (US-)English-speaking listeners without any  
255 experience with German exhibit native-like discrimination of the /y/-/u/ tense  
256 vowel contrast in German, but not of the corresponding /ʏ/-/ʊ/ lax vowel contrast.  
257 Error rates were extremely low, below 10% (which she qualified as native) for the

258 tense contrast and between 10% and 15% for the lax contrast (only 2 of the 10  
259 listeners had error rates lower than 10%). While this performance seems  
260 surprisingly good, task characteristics might have played a role in these near-  
261 ceiling accuracy levels. For example, in the task, an effort was made to reduce  
262 acoustic variability: Stimuli were all produced by the same male voice, and there  
263 were only 6 tokens per contrast, embedded in monosyllabic /dVt/ syllables  
264 (coronal context) recorded in citation form; furthermore, time pressure and  
265 memory load were very low. Thus, both auditory and phonetic memory codes  
266 might have entered into the discrimination response (see Pisoni, 1973), thereby  
267 producing very high performance on the /y/-/u/ contrast. However, when  
268 confronted with different sources of variation, this discrimination ability is not  
269 very robust. Indeed, the stability of discrimination across contexts or despite  
270 phonetic variability can be viewed as a defining feature of the presence of a  
271 segmental category. Crucially, these data suggest that discrimination can be  
272 excellent even when no new categories have been acquired.

273 Levy and Strange (2008) investigated the perception of a series of French  
274 contrasts in different consonantal contexts in L1-English learners of French. In  
275 their task, multisyllabic non-words appeared in sentences. Levy and Strange  
276 varied the consonantal context systematically and used three different female  
277 voices. They observed better general performance in advanced learners of French  
278 than in inexperienced learners, but error rates on the /y/-/u/ contrast remained  
279 comparable to inexperienced learners at 25%. A development was found,  
280 however, modulated by context. Levy and Strange (2008) found that listeners  
281 with no French experience made more categorization errors for the /y/-/i/ contrast  
282 than for the /y/-/u/ contrast in labial contexts, a finding consistent with data in  
283 Levy (2009b). Indeed, the consonantal environment changes the formant patterns  
284 of vowels (Hillenbrand, Clark and Nearey, 2001).

285 Levy (2009a) examined the role played by allophonic variation in cross-  
286 language perceptual assimilation: Respondents whose French experience ranged  
287 from none to advanced classified French vowels in terms of six US English vowel  
288 categories and gave a goodness rating for each. In bilabial contexts, classification  
289 of /y/ was affected by experience: Assimilations to English /i/ in subjects with no  
290 experience were eliminated in favor of English /u/ with more exposure to French.  
291 The fronting of /u/ in coronal contexts in English is clearly shown to play a role in  
292 this cross-language perceptual assimilation task, interfering with categorization on  
293 an ABX task (Levy, 2009b). Experienced learners, however, had recovered from  
294 this particular error with /i/-/y/. Yet, despite having learned the contextual  
295 variation within vowel categories, they still displayed relatively high rates of  
296 categorization errors on the /y/-/u/ contrast. The error rate for /y/-/u/ in Levy and  
297 Strange's (2008) study was around 25% to 30%, constituting a much lower  
298 performance than in Polka's (1995) perception task. Connected speech places  
299 higher demands on memory, because (potential) words must be extracted from the  
300 speech stream. These stimuli were much longer, placing higher demand on the  
301 auditory memory buffer. They also contained more acoustic variability, so that  
302 matching strategies that could make use of low-level acoustic similarity were not  
303 readily available.

304 The /œ/-/ɔ/ contrast has received less attention in the literature. In Levy  
305 (2009a), the range of English categories selected as matching the French /œ/ was  
306 wide (/ə/, /ʌ/, /ʊ/, /ɔ/ and /u/), and varied by context and experience. As  
307 mentioned above, Levy (2009b) examined these learners' categorization in an  
308 AXB task in light of the perceptual assimilation data in Levy (2009a). The  
309 respondents with no to moderate exposure to French exhibited considerably more  
310 categorization errors in the /œ/-/ɔ/ contrast in coronal contexts than in bilabial  
311 contexts. This seems to correlate with the fact that /œ/ tended to assimilate to /u/  
312 in bilabial contexts. At the same time, there were more /œ/-/y/ categorization  
313 errors in coronal contexts than in bilabial contexts. Unsurprisingly, errors rates  
314 were much reduced in advanced learners.

315 All in all, it appears that the front vs. back rounded vowel contrasts of  
316 French present serious difficulties for US-English native speakers, particularly in  
317 coronal context, and when the categorization task at hand is demanding. There are  
318 no data yet regarding the lexical encoding of these vowel contrasts in learners of  
319 French.

320

### 321 *2.3 Lexical encoding of a contrast*

322

323 Prima facie, it seems reasonable to assume that an (L1 or L2) learner who  
324 cannot reliably distinguish between two target-language phones will collapse  
325 them into a single category and consequently fail to lexically encode the contrast.  
326 Consequently, minimal pairs in the target language will correspond to "spurious"  
327 homophone in the learner's interlanguage lexicon. Therefore, the bulk of research  
328 on L2 acquisition of phonology has dealt with the acquisition of category  
329 distinctions. A few studies investigating consequences of perceptual mis-  
330 categorizations at the lexical level support a one-to-one mapping between reliable  
331 phonetic distinction and lexical contrast (e.g. Pallier, Bosch and Sebastián-Gallés  
332 2001; Ota, Hartsuiker and Haywood, 2009; Pater 2003). Following up on Pallier  
333 et al. (1997), described in section 2.2, Pallier, Colomé and Sebastián-Gallés  
334 (2001) used the paradigm of lexical decision, in which the subject was asked "is  
335 the item a word?", with long-term repetition priming to investigate the lexical  
336 encoding of the /ɛ/-/e/ contrast in Catalan. Upon hearing a list of words and non-  
337 words, Catalan-dominant bilinguals performed the decision task more quickly on  
338 actual words when the given item had already been presented (/pera/ - /pera/  
339 repetition priming) than when it was preceded by a minimally different item  
340 (/pera/ - /pera/). The Spanish-dominant participants listening to the same stimuli  
341 exhibited repetition priming effects equally for minimal pairs and actual  
342 repetitions. Pallier, Colomé and Sebastián-Gallés (2001) argue that both members  
343 of such a minimal pair were encoded as homophones in the Spanish-dominant  
344 participants' mental lexicon (See also Dufour, Nguyen and Frauenfelder, 2007;  
345 Sebastián-Gallés, Echeverría and Bosch, 2005). It could be argued that the effect  
346 is due to a lack of discrimination of the minimal pairs. That is to say, in these  
347 online listening tasks, the L2 respondents might not have detected the difference  
348 between /pera/ and /pera/, even if they had developed contrasting lexical  
349 representations. As a result, they would have accessed the same lexical

350 representations regardless of the vowel in the auditory input (Escudero, Hayes-  
351 Harb and Mitterer, 2008). In any case, these data are compatible with the claim  
352 that the acquisition of a robust L2 phonetic category is a pre-requisite for the  
353 acquisition of target-like lexical representations.

354         Recently, Ota, Hartsuiker and Haywood (2009) offered an experimental  
355 methodology designed to avoid any problem caused by auditory stimuli. In their  
356 task, respondents judged the semantic relatedness of two words presented  
357 visually. For instance, Japanese-English learners (but not English native speakers)  
358 judged pairs such as LOCK/HARD and ROCK/KEY to be semantically related at  
359 much greater rate than control items. This shows that reading LOCK had  
360 activated the semantic network of ROCK and vice-versa. In this experiment,  
361 online auditory misperception cannot account for the observed cross-lexical  
362 activation of /r/-/l/ minimal pairs. As Ota, Hartsuiker and Haywood (2009: 267)  
363 state: “the lexicon of late bilinguals indeed fails in completely separating L2  
364 lexical entries that involve nonnative phonological contrasts.” The data just  
365 reviewed thus appear consistent with the claim that the ability to establish  
366 phonetic categories is a pre-requisite for encoding the contrast lexically.

367         Contrary to the “categories first” view, however, a body of research  
368 (“lexicon first”) provides evidence that lexical contrasts can be made by proficient  
369 L2 learners even when the relevant L2 phones are not (yet) well discriminated  
370 (Cutler, Weber and Otake, 2006; Escudero, Hayes-Harb and Mitterer, 2008;  
371 Hayes-Harb and Masuda, 2008; Weber and Cutler 2004). That is to say, the  
372 learner can somehow establish a lexical contrast, although he or she cannot  
373 reliably categorize two phonemes of the target-language as different. For instance,  
374 on the basis of an eye-tracking experiment, Weber and Cutler (2004) show that  
375 lexical encoding of a difficult contrast is possible, even if the categorization of the  
376 contrast is not robust. In highly proficient Dutch-English learners, syllables with  
377 /æ/ activated minimally contrasting syllables with /ɛ/ ([pæn]-[pɛn]). However,  
378 there was no mutual activation in the other direction (/ɛ/ only activated /æ/  
379 syllables), which led Weber and Cutler to conclude that these sounds do not lead  
380 to the creation of homophones at the lexical level. The authors suggested a  
381 potential effect of orthography or metalinguistic knowledge. This effect of  
382 orthography has been further supported by Escudero et al. (2008), who showed  
383 that exposing a group to the orthographic form of the words (names for non-  
384 objects) to be learned allowed for quasi-immediate recognition of the non-objects  
385 in a visual world paradigm. By contrast, the experimental group not exposed to  
386 the orthographic form of the non-object names was slower in identifying the  
387 intended referent.

388         Hayes-Harb and Masuda (2008) investigated English-Japanese learners’  
389 lexical representations of Japanese words containing geminate consonants, which  
390 are unattested in English. Naive English native speakers and learners with one  
391 year of exposure to Japanese, as well as native Japanese speakers, participated in  
392 a picture-matching task involving pseudo-words. During a training phase,  
393 participants were required to learn the association between 12 pictures and their  
394 (invented) brand names. Critical minimal pairs involved singleton versus  
395 geminate consonants. In a listening task where this pairing was tested (names

396 were paired with correct and incorrect pictures), learners with one year of  
397 exposure to Japanese were excellent, and not significantly different from Japanese  
398 natives. In a naming task (in which participants were to produce the correct name  
399 for each picture), however, the learners did not make as robust a distinction as  
400 native speakers. The learners exhibited sharp boundaries, but those were not  
401 target-like. Echoing Cutler, Weber and Otake (2006), Hayes-Harb and Masuda  
402 (2008: 28) conclude that learners might lexically encode “a geminate /tt/  
403 consonant as /t\*/, where the ‘\*’ might mean ‘sounds different from /t/’, even if  
404 they have not yet determined specifically how /t/ and /t\*/ differ”. Hayes-Harb and  
405 Masuda (2008) state that the distinctions they find might not reflect full phonetic  
406 and phonological acquisition in some learners. Clearly, if these learners lexically  
407 represent geminates, the acoustic and articulatory targets for geminates do not  
408 appear to be target-like. However, Hayes-Harb and Masuda’s proposed  
409 explanation (as well as Weber and Cutler’s, 2004) requires specific assumptions  
410 about the nature of the lexical representations and mechanisms of lexical  
411 encoding, involving accessibility of metalinguistic information.

412 While this is of course a possible explanation, more research is needed to  
413 specify the extent to which metalinguistic (e.g. visual) and orthographic  
414 knowledge can support the development of an interlanguage phonological system  
415 including lexical representations. Our goal is not to deny any supportive role of  
416 orthographic or metalinguistic knowledge in second language development;  
417 rather, we want to contribute to the debate by investigating the degree to which a  
418 purely grammatical explanation is supported.

419 In sum, recent results challenge the prevailing view that the acquisition of  
420 distinct categories drives the establishment of the relevant lexical contrast: the  
421 segmental categorization data seem dissociated from the ability to establish  
422 lexical contrasts. The nature of these contrasting lexical representations is unclear,  
423 and the mechanisms that could lead to such a contrast are rather mysterious. In  
424 view of this, we investigate the relationship between category acquisition in the  
425 perception of rounded vowels and the acquisition of rounded vowel lexical  
426 contrasts. We investigate learners’ categorization of target-language contrasts on  
427 an ABX task as well as their lexical encoding of those contrasts on a lexical  
428 decision task that parallels the one used by Pallier, Colomé and Sebastián-Gallés  
429 (2001). Testing learners at two proficiency levels (intermediate and advanced)  
430 allowed us to track a developmental sequence. A one-to-one relationship between  
431 category distinction and lexical contrast would be visible, if improved  
432 categorization enhanced lexical decisions. (Strictly speaking, even if this is found,  
433 the causality relation is still not demonstrated.) However, if the acquisition of a  
434 lexical contrast is not accompanied by a change in categorization, then this  
435 suggests that category formation is not a pre-requisite for the acquisition of a  
436 lexical contrast.

437

### 438 **III On the acquisition of the /y/-/u/ and /œ/-/ɔ/ phonological contrasts**

439

440 Here we introduce a model of phonological acquisition, DMAP (*Direct*  
441 *Mapping from Acoustics to Phonology*) that highlights a different flow of

442 dependencies in acquisition. DMAP is captured by the four propositions stated in  
443 (1).

- 444 (1) *Direct Mapping from Acoustics to Phonology (DMAP)*
- 445 (a) L2 learners detect more acoustic cues in the raw percepts than  
446 what they use to perform a segmental categorization response.
  - 447 (b) Detected features trigger revisions of the Interlanguage feature  
448 hierarchy in accordance with economy principles.
  - 449 (c) Phonological lexical representations consist of feature matrices  
450 dependent on the Interlanguage feature hierarchy at the time of  
451 encoding.
  - 452 (d) Minimal changes in phonetic category definitions triggered by  
453 phonological contrast obey economy considerations at this level.

454 In DMAP, the first step of the learning process resides in cue-based feature  
455 detection from the raw percepts (1a). The onset of new phone acquisition lies with  
456 the restructuring of the feature system guided by economy principles (1b). The  
457 encoding of new lexical contrasts involves phonological matrices enabled by  
458 revisions to the interlanguage feature hierarchy (1c). Economy requires the  
459 smallest modifications of previous phonetic values to reflect phonological  
460 contrast (1d). The encoding of lexical contrast is thus independent of and hence  
461 can precede reliable category formation.

462 According to DMAP (1a), adult L1-English L2-French learners can detect  
463 correlates of phonological features in the raw percepts of the input, and extract the  
464 relevant features, following Dresher and Kaye's (1990) cue-based learning. The  
465 assumption that feature detection is required for acquisition is not particularly  
466 controversial. However, it is necessary to recognize that the lack of robust  
467 discrimination response in the face of category assimilation in particular tasks  
468 does not mean that features relevant to the contrast cannot be detected, and  
469 therefore, it cannot be equated with auditory insensitivity. In other words, even  
470 though everything can be detected, not everything will be meaningful in terms of  
471 the L1 segmental categorization response. The acquisition of front rounded  
472 vowels by (US-)English-French learners offers a highly suitable case for  
473 examining the relationship between categorization and lexical representation of a  
474 phonological contrast. Levy and Strange (2008) showed that (US-) English-  
475 French learners initially experience perceptual problems within particular  
476 consonantal contexts in their categorization of front rounded vowels. These  
477 context-specific difficulties are (largely) overcome in advanced learners, although  
478 categories for such vowels are clearly not target-like given the persistence of  
479 categorization errors. The acquisition of /y/-/u/ and /œ/-/ɔ/ contrasts in lexical  
480 representations requires the detection of complex acoustic cues relevant to the  
481 features [back], [front], [high], and [round] (Fant, 1969). For instance, for a non-  
482 low vowel, the proximity of F1 and F2 can be an acoustic correlate of the feature  
483 [back] enhanced by lip rounding (Keyser and Stevens, 1994).

484 According to DMAP (1b), the perceptual system will detect correlates of  
485 {[front], [round]} combinations in French vowels but the phonological grammar  
486 initially fails to license such feature combinations, which are therefore ignored in  
487 lexical encoding at this stage. Thus, the rounded vowels are re-interpreted as back

488 vowels by the L1-based interlanguage phonology, yielding the merger between  
489 target /u/ and /y/ in interlanguage, for example, which can also be subject to  
490 effects of contexts, as shown in Levy and Strange (2008). However, the detection  
491 of {[front], [round]} combinations in non-coronal contexts (as in *pube* [pyb]  
492 ‘publicity’ or *pub* [pœb] ‘pub’) means that the value [front] cannot be derived  
493 from context. Licensing failure triggers phonological acquisition: Repeated  
494 occurrences of {[front], [round]} combinations highlight the distinctiveness of the  
495 feature [round]. Thus, with Clements (2001: 71), we assume that “[f]eatures,  
496 nodes or tiers that are not employed in a given language remain *latent* in the sense  
497 that they remain potentially available, and may subsequently become distinctive  
498 or active as a result of language contact, internal historical change, and other  
499 dynamic factors influencing language development.”

500 According to DMAP (1b), general principles of economy governing  
501 representations are also at play in revisions to the phonological state. This  
502 includes representational economy at the segmental level, but also the maximal  
503 use of a distinctive feature in the specification of inventories. Clements (2003,  
504 2009) calls this optimization ‘feature economy’. Symmetry is favored as a result  
505 of such maximal use of features. The contrastiveness of [round] means  
506 establishing {[front], [round]} and {[back], [round]} in vowel matrices as a  
507 symmetric reflex of feature economy. Indeed, the need to quickly compute a  
508 phonological representation of the input is best served if representations are  
509 constrained by economy. Clements (2001: 71–72) argues: ‘phonological  
510 representations should be freed of superfluous representational elements, leaving  
511 only those that are essential to an understanding of lexical, phonological, and  
512 phonetic generalizations.’ Not all feature values need to be specified to establish  
513 contrasts, and so they are not (Archangeli 1988; Avery and Rice 1989). Thus, if  
514 the classical feature system for vowel height is on the right track, high rounded  
515 vowels require a specification [+high] under the vowel height node (since [-high]  
516 is either a default value or simply absent), but mid rounded vowels do not. The  
517 reassembly of features into matrices for phonemes has a cost: /y/ might thus be  
518 acquired later than /œ/ since the cost of establishing a feature matrix for /y/ is  
519 expected to be higher than the cost of establishing one for /œ/, given the fuller  
520 specifications required for high vowels. In DMAP, therefore, upon exposure to  
521 L2, learner’s phonological acquisition beyond the L1-induced initial state is  
522 mediated by general conditions on feature systems. Reflexes of economy are  
523 found at every level in L2 phonological systems (Altenberg and Vago, 1983;  
524 Broselow, Chen and Wang, 1998; Carlisle, 1998; Eckman, 1984; Halicki, 2010).

525 According to DMAP (1c), L1-English L2-French lexical representations  
526 involve only those feature matrices licensed by the interlanguage feature system  
527 at the time of encoding. Initially, target-language contrasts are merged, leading to  
528 spurious homophony. As the {[front]/[back] + [round]} matrices are acquired,  
529 rounded vowel contrasts can be lexically encoded. In the general case, DMAP  
530 does not guarantee that interlanguage lexical contrasts are represented by the  
531 same feature combinations across groups of learners with different L1s and at  
532 different proficient levels, in view of the L1-based initial state and amount of  
533 exposure.

534 According to DMAP (1d), phonetic category definitions must reflect  
535 phonological feature contrasts. However, the requirement of distinct category  
536 definitions does not require attunement to target-like category boundaries. Target-  
537 like boundaries require myriad adjustments. Hence, lexical contrasts can be  
538 established in advance of target-like phone values.

539 DMAP does not deny the role of categorization in processing; rather, it  
540 highlights what is strictly necessary for phonological acquisition. This crucially  
541 requires only the detection of acoustic correlates of phonological features in the  
542 raw percepts, not the complete overcoming of category assimilation. The  
543 establishment of interlanguage inventories occurs at two disjoint levels: the  
544 development of phonological feature matrices and the adjustments of phonetic  
545 category definitions (Maye, 2000; Maye, Werker and Gerken, 2002). Lexical  
546 encoding of a phonological contrast is expected to be largely independent of the  
547 attunement of phonetic categories to the L2 input. Despite an established lexical  
548 contrast, target-like categories might still be invisible in a segmental  
549 categorization task such as ABX.

550

## 551 **IV. The experimental paradigm**

552

### 553 *4. 1. Materials*

554

555 *ABX categorization.* A suitable method that combines discrimination and  
556 identification, but does not require word identification is ABX or AXB, where a  
557 listener has to match through mental comparison a token X to either token A or B,  
558 indicating his or her answer by pressing a button labeled A or B. In ABX/AXB  
559 tasks, listeners have to generalize over changes in voice or acoustic details (for  
560 example, changes in F0 or speech rate, varied segmental contexts inducing  
561 coarticulatory variation) in order to perform the matching of a token X to the  
562 other tokens, thereby demonstrating a robust categorization pattern (Dupoux,  
563 Pallier, Kakehi and Mehler, 2001). Depending on how the design implements  
564 those variables, the task can be made to enforce a more acoustic response or a  
565 more phonetically/phonologically sensitive one (see Højen and Flege, 2006 for  
566 discussion). In our task, different female voices and tokens were used so that a  
567 response could not be given merely on the basis of an auditory comparison.

568 We created CVC non-word pairs contrasting the vowel pairs /y/-/u/ and  
569 /œ/-/ɔ/ and the control pair /i/-/ɛ/ in two different consonantal contexts. There  
570 were eight pairs of non-words in each of six conditions (for a total of 48 pairs):  
571 labial context for /y/-/u/, labial context for /œ/-/ɔ/, coronal context for /y/-/u/,  
572 coronal context for /œ/-/ɔ/, controls with /i/-/ɛ/ (four in labial context, four in  
573 coronal context), and an additional control condition where consonants were  
574 different and the vowels were the same (all /u/ or all /y/) across the different  
575 pairings. All items were non-words in French with one exception ([lɔt]), a low  
576 frequency word<sup>1</sup>. The context combinations C\_C were the same for all test  
577 vowels for a given place of articulation, for example, all the labial contexts were  
578 the same for /y/-/u/ and /œ/-/ɔ/ pairs. For the control conditions (vowel /i/-/ɛ/ as  
579 well as consonant), the C\_C consonant combinations used were different from

580 those used in the test conditions. Stimuli were recorded several times by two  
581 female French native speakers in a sound-isolated recording booth at a sampling  
582 rate of 44100 Hz with a 16-bit resolution, on a mono channel. Recordings were  
583 normalized for amplitude and spliced into separate sound files. Two renditions  
584 from each speaker were obtained for each non-word. One voice was used for the  
585 X token, whereas the other was used for the two different A and B tokens.

586 Stimuli were arranged in four different pairings for each pair: ABA, ABB,  
587 BAA, and BAB. For each pairing, the first two non-words were in one voice (e.g.  
588 AB), the third was in the other (X). The sound tokens used for ABA and ABB  
589 were different from those used for BAA and BAB. This yielded a total of 192  
590 trials (one trial being a sequence of three non-words). The randomization was set  
591 such that the same pair in both “minimal pairings” ABB and ABA, for example,  
592 would not occur in the same block. Otherwise, all items were automatically  
593 randomized by the program for presentation to participants into six blocks. Blocks  
594 were separated by pauses. The inter-stimulus interval was 500 ms, and the  
595 response time-out was 2000 ms.

596 *Lexical Decision with repetition priming.* This experiment was designed  
597 closely following the method used by Pallier, Colomé and Sebastián-Gallés  
598 (2001). We selected four contrasts for the test: high vowels /i/-/y/ and /y/-/u/, and  
599 mid vowels /ɛ/-/œ/ and /œ/-/ɔ/ (see Appendix). In order for the comparison of  
600 ABX and lexical decision results to make sense, we focus our report on data  
601 obtained with the vowel contrasts that were included in both experiments: high  
602 vowels /y/-/u/ and mid vowels /œ/-/ɔ/ (along with the contrast /i/-/y/, which we  
603 call the “control condition” since US-English learners of French soon recover  
604 from this initial perceptual assimilation; Levy and Strange, 2008).

605 The stimuli were French words and pseudo-words. As much as possible,  
606 we avoided French pseudo-words that were reminiscent of English words. Forty  
607 words forming 20 minimal pairs based on the four contrasts were included. In  
608 addition, 40 French pseudo-words were created that formed 20 minimal pairs  
609 following the same pattern as the preceding words. Finally, 120 words and  
610 pseudo-words were also included to serve as filler items. Sixty were repeated in  
611 order to model the repetition pattern in place for the test words and pseudo-words,  
612 yielding a total of 180 filler items. Stimuli were recorded several times by a  
613 female French native speaker in a sound-isolated recording booth at a sampling  
614 rate of 44100 Hz with a 16 bit resolution, on a mono channel. Two different  
615 sound tokens were selected for each item.

616 Due to the need to find common minimal pairs contrasting those sounds, it  
617 was difficult to match the words in terms of frequency exactly. However, the  
618 word pairs containing the contrasts /y/-/u/ and /œ/-/ɔ/ were in the aggregate  
619 closely matched in frequency, as measured by averaging the frequency – written  
620 or spoken – of the words used for a given contrast. The /i/-/y/ control condition  
621 contained words that were overall lower in frequency. The verification was  
622 performed using Lexique 3.70 (New et al., 2001, 2004), revealing the following  
623 distribution:

624

625 Insert Table 1 here

626

627           The verification of frequencies across contrasts was performed on all word  
628 forms (listed in Appendix 1) as well as their homophones (for example, the  
629 frequency of [pɔʁ] was combined across that for *port* ‘harbour’, *porc* ‘pork’ and  
630 all other homophones that share the form [pɔʁ]). Since a listener can activate any  
631 of the homophones during the task, there was no written presentation of [pɔʁ]).  
632 Most words had more than one homophone.

633           Even though the /y/-/u/ and /œ/-/ɔ/ tokens were matched in frequency, it is  
634 unclear how the French frequency of those words translates into L2 learners’  
635 familiarity with those words. We therefore administered a familiarity  
636 questionnaire (using the most frequent of all homophones for each word form;  
637 details and results are presented in section 4.4) to the intermediate group at the  
638 end of the experiment. Informal debriefing revealed that all words were known by  
639 the advanced learners.

640           Four counterbalanced lists of 260 stimuli were created in the following  
641 way: In each list, one member of each minimal pair appeared (e.g. /din/ from  
642 /din/-/dyn/) and was followed, 8 to 20 items further down in the list, either by the  
643 other item in the minimal pair (e.g. /dyn/), or by itself (e.g. /din/). The members of  
644 a given minimal pair were counterbalanced across the lists. Different sound  
645 tokens were used for the repetitions, so that none was actually heard twice. The  
646 inter-stimulus interval was 2500 ms, and the response time-out was 2200 ms.

647

#### 648 *4.2. Participants*

649

650           Three groups were tested on both experiments: advanced and intermediate  
651 English-French learners and native speakers of French. A group of native English  
652 speakers with no exposure to French, or any language with front rounded vowels,  
653 also completed the ABX task. They did not complete the lexical decision task  
654 because it requires knowledge of French.

655           The intermediate learners (n = 38, 9 males) are native speakers of English.  
656 They all started to learn French at or after the age of 10 at school. Learners’  
657 proficiency groups were determined on the basis of current course enrollment.  
658 Intermediate learners were in their fourth or fifth semester of college French at a  
659 major US university. (Magnan (1986) has shown that most students from such  
660 classes are at the intermediate-high level on the ACTFL scale, as measured by the  
661 ACTFL Oral Proficiency Interview.) Their mean age was 20.2 years (range 18-  
662 36). Their instruction in French at the university ranged from 1 to 6 (average 3.8)  
663 semesters of French. None of them had spent a large amount of time in a French-  
664 speaking country (on average 1.5 weeks, ranging between 0 and 7 weeks), except  
665 one participant who had spent 6 months in France. Outside of class, they all had  
666 none to very little regular exposure to written French (on average, 1.2  
667 hours/week) or to spoken French (on average 50 minutes/week). All of them were  
668 native speakers of (US-) English, and none of them grew up bilingually or were  
669 highly proficient in another language containing the relevant contrasts, even  
670 though some had some knowledge of additional languages. Of these additional  
671 languages, the only one with /y/-/u/ and /œ/-/ɔ/ contrasts was German, low

672 proficiency in which was reported by five participants. All of them had normal  
673 hearing.

674 Advanced learners (n = 20, 10 males) were graduate students or French  
675 instructors at the same university. They all started to learn French at or after the  
676 age of 10, with the exception of one who started in grade 3 at the age of 8. Their  
677 mean age was 30 years (range 22-49). All had spent some time in at least one  
678 French-speaking country, ranging from five months to three years and longer  
679 (range 20–156 weeks), with the exception of one (who had spent seven weeks in  
680 France). As confirmed by one of the experimenters, who is a native speaker of  
681 French, all participants reported a high to very high level of general proficiency in  
682 French, even though some of them retained a strong foreign accent. All of them  
683 had daily sustained to extensive exposure to spoken French (average 12.3 hours  
684 /week) and/or written French (average 7 hours/week). All of them are native  
685 speakers of (US-) English; none of them grew up bilingually. Most of our  
686 advanced learners had some knowledge of one or more additional languages, but  
687 none had had any early exposure to those languages: German (10), Spanish (9),  
688 Italian (8), Arabic (1), Breton (1), Catalan (1), Chichewa (1), Chinese (1), Dutch  
689 (1), Greek (1), Haitian Creole (1), Hebrew (1), Ojibwe (1), Picard (1), Portuguese  
690 (1), Russian (1) Serbian/Croatian (1), Welsh (1). All of them had normal  
691 hearing.

692 French native speakers (n = 10, 1 male) were either faculty or graduate  
693 students at the same university at the time of the study. All of them were exposed  
694 to French daily, due to personal and/or professional reasons. Their mean age was  
695 28 (range 24-33) years. All of them had normal hearing.

696 We also tested a control group of 13 (2 males) naive (US-) English native  
697 speakers ('English monolinguals'), each of whom had had no significant exposure  
698 to Dutch, German, French, any Scandinavian or Chinese language, Korean, or  
699 Finnish. This group only took part in the ABX task. They were undergraduate  
700 students at the time of testing; their mean age was 19 (range 18-21) years. All of  
701 them had normal hearing.

702

#### 703 *4. 3. Procedures*

704

705 A list of the test words used in the lexical decision task was distributed to  
706 the teachers of classes from which we recruited the intermediate learners several  
707 weeks before the experiments. Students were not informed that those words  
708 would be part of a later experiment. Teachers were told to try to use the words in  
709 class or in assignments, but they were unaware of the purpose of the experiments.  
710 Exposing students to those words beforehand was done to reduce the number of  
711 exclusions due to high error rates.

712 All participants were tested individually in a quiet room. Both experiments  
713 were administered in a single session. After completing a linguistic background  
714 questionnaire, participants first took part in the lexical decision experiment, and  
715 then continued with the ABX task (except for the control group of English  
716 monolinguals who took part only in the ABX task); at the end, intermediate  
717 learners were given a list of words and asked to indicate which ones they knew.

718 All test words used in the lexical decision experiment were included in the list.  
719 The presentation of the stimuli was fully controlled by Dell personal computers.  
720 Auditory stimuli were presented through Sennheiser HD515 headphones. The  
721 experimental presentation was controlled by the DMASTR software (DMDX)  
722 developed at Monash University and at the University of Arizona by K.I. Forster  
723 and J.C. Forster.

724 *ABX categorization.* Listeners heard three non-words in a row, A, B and  
725 X, and were asked to decide whether X is like A or like B. The experiment was  
726 preceded by a short training session of eight trials with feedback. The goal beyond  
727 simple task familiarization was also to speed up reaction times to ensure more  
728 automatic responses and minimize strategic responding. The total duration of the  
729 experiment was around 20 minutes.

730 *Lexical Decision with repetition priming.* Participants were instructed to  
731 decide as fast as they could for each item whether or not it is a real French word.  
732 A short training session of eight items with feedback familiarized them with the  
733 experiment and the pace. Each participant was randomly assigned to one of the  
734 four lists. The total duration of this experiment was around 20 minutes.

735

#### 736 4.4. Acoustic analysis and lexical familiarity

737

738 In order to ensure that stimuli are comparable in both experiments, we  
739 performed acoustic measurements of F1, F2 and F3 for each token. Results for F1  
740 and F2 are presented in Figure 1.

741

742 Insert Figure 1 about here

743

744 Figure 1 demonstrates the comparability of the two sets of stimuli. As shown, mid  
745 vowels are closer in the acoustic space in terms of F2 than high vowels. The direct  
746 comparison of average formant values across both experiments is however not  
747 very meaningful because the ABX items are produced by two different speakers;  
748 these are also on average three times more numerous than lexical decision tokens,  
749 so that more variability is visible in ABX tokens. F1, F2 and F3 values differ  
750 statistically for all four vowels, being lower in the lexical decision tokens, with  
751 the exception of F1 for /œ/. This difference is mainly due to the voice  
752 characteristics of the second ABX speaker (for instance, her F2 is systematically  
753 higher than the first speaker's F2). For our purposes, it is important to note that  
754 even if there is some systematic difference in the spectral characteristics of our  
755 ABX vs. lexical decision stimuli, this difference affects all vowels equally.  
756 Observing a better performance on one vowel contrast rather than another will  
757 therefore not be easily attributable to acoustic characteristics of the stimuli only.

758 Duration, however, can be more clearly affected by consonantal context,  
759 and may play a role in facilitating discrimination. In particular, our two stimuli  
760 sets differ in one crucial dimension: due to considerations of word frequency and  
761 familiarity for learners, all but one pair of lexical decision words in the /œ/-/ɔ/  
762 contrast end with a rhotic consonant (a voiced fricative [ʀ] or a uvular [ʁ]). This is  
763 not the case in ABX stimuli for the same contrast, where there is a more balanced

764 proportion of lengthening vs. non-lengthening consonants (voiced fricatives vs.  
765 obstruents). It is also not the case for the /y/-/u/ contrast. Vowel durations for all  
766 stimuli are presented in Table 2.

767

768 Insert Table 2 about here

769

770 Table 2 shows that, for /y/-/u/, duration is the same in lexical decision and ABX  
771 tokens. For /œ/-/ɔ/, however, duration (all items) is longer in lexical decision,  
772 possibly because of the rhotic context in the words for this contrast. We separately  
773 measured ABX tokens which also end in a lengthening consonant (voiced  
774 fricatives). When comparing these tokens (L-only) with the lexical decision items,  
775 this difference disappears.

776 The word familiarity questionnaire given to the intermediate learners  
777 revealed that the intermediate learners knew on average 71% of the words.  
778 Considering only those participants who were included in subsequent analyses  
779 (see Section V for details), average familiarity increased to 74%. Only a few  
780 words were unknown to almost all of our participants. Overall, word familiarity  
781 was 60% for /i/-/y/ contrast pairs, 61% for /y/-/u/ pairs, and 85% for /œ/-/ɔ/ pairs.  
782 We will return to the potential significance of word familiarity and acoustic  
783 analysis data for the lexical decision results in the general discussion section.

784

## 785 **V. Results**

786

### 787 *5.1. Screening*

788

789 Given that reaction times are the dependent measure and since RTs are  
790 computed over correct answers, it was imperative to exclude subjects with too  
791 high an error rate. Following the practice of White, Melhorn and Mattys (2009),  
792 we excluded participants who had lexical decision accuracy rates below 75%  
793 across all trials from the analyses. This resulted in the elimination of results from  
794 18 of the 38 intermediate learners, but from none of the advanced learners and  
795 French natives. For the ABX task, a total of three participants had to be excluded:  
796 one intermediate and one French native speaker were excluded because they  
797 apparently did not follow instructions, reversing responses systematically (they  
798 pressed B for A and vice-versa). Another French native speaker was excluded  
799 because of high error rates on the filler condition (higher than 1.5 SD from the  
800 mean). No advanced learner was excluded; all participants excluded from ABX  
801 task were also removed from the lexical decision task. The final number of  
802 participants is 19 intermediates, 19 advanced learners, and 8 French native  
803 speakers. None of the participants in the control group of English monolinguals,  
804 tested only on ABX, had to be removed (n = 13).

805

### 806 *5.2. ABX Categorization*

807

808 Error rates were calculated across both segmental contexts first and are  
809 displayed as a function of group and vowel pair in Figure 2. The results of the

810 ABX categorical discrimination task reveal that the intermediate and advanced  
811 learners performed similarly to each other on all contrasts. Both groups performed  
812 more accurately on the /y/-/u/ contrast than on the /œ/-/ɔ/ contrast.

813

814 Insert Figure 2 about here

815

816 Error rates were used as the dependant variable in an Analysis of Variance  
817 (ANOVA) with group (advanced, intermediate, French native-speakers and  
818 English monolinguals, between subjects) and vowel pair ('control' /i/-/ɛ/, /y/-/u/  
819 and /œ/-/ɔ/ contrasts, within subject) as declared factors. We observed a main  
820 effect of group,  $F(3, 55) = 7.6, p < .001$ , and vowel pair,  $F(2, 110) = 222.5, p <$   
821  $.0001$ , as well as a significant interaction between the two,  $F(6, 110) = 2.3, p <$   
822  $.05$ . Subsequent analyses, setting aside the group of English monolinguals,  
823 restricted to each condition and declaring the factor group revealed that there was  
824 no group effect on the control contrast,  $F(2, 43) = 0.1, p > .1$ , but a significant  
825 group effect on the other two vowel pairs: For the /y/-/u/ contrast,  $F(2, 43) = 3.2,$   
826  $p < .05$ , and for the /œ/-/ɔ/ contrast,  $F(2, 43) = 5.1, p < .05$ . The same analysis  
827 with the group 'English monolinguals' had shown that the group factor was  
828 significant,  $F(3,55) = 4.6, p < .01$ , also in the control contrast (due to the slightly  
829 higher error rate of this group).

830

831 These results suggest that the learner groups and the French natives  
832 behaved similarly on the control vowel pair, but their error rate pattern was  
833 different for the /y/-/u/ and /œ/-/ɔ/ pairs. A visual inspection of Figure 2, however,  
834 suggests that this effect could be mainly due to the French native speaker group  
835 behaving differently, with fewer errors than all other groups. This calls for an  
836 analysis restricted to both learner groups. An ANOVA declaring the factors group  
837 (intermediate, advanced) and vowel pair (/ɛ/-/i/, /y/-/u/ and /œ/-/ɔ/ contrasts)  
838 showed a significant effect of vowel pair,  $F(2,72) = 184.6, p < .0001$ , but  
839 crucially, no significant effect of group,  $F(1, 36) = 1.6, p > .2$ . The interaction was  
840 now absent,  $F(2, 72) = 0.5, p > .5$ , suggesting that the interaction reported above  
841 was due to the native speaker group. This confirms the impression that both  
842 learner groups behaved in the same way on each vowel pair. Separate two-sample  
843 *t*-tests confirmed that the intermediate and advanced groups were not significantly  
844 different on any vowel pair ( $p > .1$  in all cases). So far, results show that both  
845 learner groups behave alike despite the difference in their exposure to French.  
846 Advanced learners produced slightly fewer errors overall than the intermediate  
847 group, but they were never significantly better. Both learner groups differed from  
848 the native speakers on all experimental vowel pairs, but not on the controls. The  
849 comparison with the group of English monolinguals on the /y/-/u/ contrast showed  
850 that English monolinguals differed from the French native speakers,  $t(19) = 3.4, p$   
851  $< .01$ , from the advanced,  $t(30) = 3.0, p < .01$ , and from the intermediate learners,  
852  $t(30) = 2.1, p < .05$ . On the /œ/-/ɔ/ contrast, they differed significantly from the  
853 French natives,  $t(19) = 3.3, p < .01$ , and the advanced  $t(30) = 2.2, p < .05$ , but not  
854 from the intermediate learners,  $t(30) = 1.5, p > .05$ .

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855 A further analysis of error rates according to the segmental context  
revealed the following pattern (see Table 3).

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Insert Table 3 here

Native speakers did not show any significant context effect, but intermediate learners were more accurate in the labial context for both contrasts (see Levy and Strange, 2008 for similar results). Advanced learners have overcome the context effect for mid vowels, but still had a marginally significant effect for the high vowel contrast. Additionally, we analyzed items containing a lengthening coda (voiced fricatives) separately from the others. Error rates in each condition are presented in Table 4. If longer durations are clearly involved in facilitating categorization, error rate is expected to differ in lengthening (L) vs. non-lengthening (NL) conditions.

Insert Table 4 about here

A global mixed model analysis of variance on error rates declaring the factors group (advanced, intermediate, French native-speakers and English monolinguals), vowel height (mid vs. high), and lengthening context (L vs. NL) showed a significant main effect of group,  $F(3,220) = 16.4, p < .0001$ , and vowel height,  $F(1, 220) = 94.4, p < .0001$ , but no effect of lengthening context,  $F(1,220) = .3, p > .5$ . No interaction was significant. Similarly, in the group-specific analysis, the effect of lengthening context did not affect performance in any group (all  $F < 1$ , all  $p > .3$ ). These data suggest that acoustic cues that may be perceived more clearly through longer durations do not interact with categorization performance during the ABX task.

### 5. 3. *Lexical decision with repetition priming*

Lexical decision latencies were measured from the onset of each word. Incorrect responses to word targets were not analyzed. Reaction times for correct responses above 2200ms or below 300ms (computed separately for each participant's target and baseline trials) and any RTs more than 2.0 S.D. units away from the overall mean RT for each subject were trimmed by setting it equal to the cutoff value (2200ms or 300ms, respectively). This occurred for 6.3% of the data in the intermediate group, and for 4% of the data in the advanced and native speaker groups combined. Results are presented for words first, and then for non-words. Reaction times are reported separately for each group and each contrast in Table 5. For each group and contrast, there are four latencies: two for the *repetition* condition (rep), and two for the *minimal-pair* condition (mp). The latencies correspond to the order of presentation of forms. In the repetition condition, the forms are occurrences of the same word. In the minimal-pair condition, the forms contrast minimally. Priming is measured by subtracting the second latency from the first.

Insert Table 5 about here

902 A shorter decision time for the second occurrence is expected if the word is a  
903 repetition across both occurrences. In the minimal-pair condition, if the word in  
904 second position is experienced as different, such facilitation is not expected.  
905 However, if learners encoded members of the minimal pair as target-deviant  
906 homophones, the presentation of the first member will pre-activate the second  
907 member, resulting in faster lexical decision for the occurrence of the second  
908 member of the pair, in a manner parallel to the repetition condition. In Figures 3  
909 to 5, bars represent the priming effect, i.e. the amount of facilitation in reaction  
910 times with which participants correctly answered for the words in each condition.  
911 The white bar corresponds to priming obtained for a repetition; the dark bar  
912 corresponds to priming obtained with a minimal pair. Latencies to the first vs. the  
913 second occurrence of a word pair are compared within each group with an  
914 analysis of variance using a linear mixed-model. RT is the dependent variable and  
915 there are three factors: “contrast” (all four contrasts were included in the model,  
916 but we report on the three contrasts /i/-/y/, /y/-/u/ and /æ/-/ɔ/ only), “condition”  
917 (minimal pair, repetition) and “time” (presentation time 1, presentation time 2).

918 In the lexical decision with repetition priming task, results show that all  
919 participants showed facilitations (positive priming) in response times in the  
920 repetition condition (white bars). Crucially, in the minimal pair condition, neither  
921 advanced learners nor native speakers showed any significant priming for either  
922 the /y/-/u/ or the /æ/-/ɔ/ minimal pairs. This constitutes evidence that they are not  
923 treating the minimal pairs as homophones. In stark contrast, intermediate learners  
924 produced response-time facilitations for the /y/-/u/ minimal pairs (dark bar), but  
925 the priming for the /æ/-/ɔ/ minimal pairs does not reach statistical significance. In  
926 general, the native speaker group had shorter reaction times than the learners.  
927 Within each group, there is also a tendency for the words in the /æ/-/ɔ/ contrast to  
928 yield faster reaction times. As pointed out by a reviewer, faster reaction times  
929 could make it harder to obtain a significant priming effect in the minimal pair  
930 condition for this contrast. However, despite the faster reaction times, learners  
931 produced priming in the repetition condition. Faster reaction times do not seem to  
932 prevent priming. The statistical analysis reveals that in all groups, the interaction  
933 between contrast and condition is not significant. The reaction times obtained in  
934 the different conditions do not vary with contrast. In other words, the participants  
935 responded to each contrast with comparable latencies in each condition. We now  
936 consider each group in turn.

937

938 Insert Figure 3 here (native speakers)

939

940 The native speaker group shows a significant effect of time ( $F[1, 105] = 14.6, p <$   
941  $.001$ ), of condition ( $F[1, 105] = 8.11, p < .005$ ), as well as a significant interaction  
942 between time and condition ( $F[1, 105] = 6.88, p < .01$ ). Other effects and  
943 interactions did not reach significance. The significant interaction between time  
944 and condition suggests that the latency difference due to time is not the same in  
945 each condition. Planned comparisons reveal that the priming effect, i.e. the  
946 difference in RT due to time (time 1 – time 2) is indeed significant only for the  
947 repetition condition ( $F[1, 105] = 20.8, p < .001$ ), not for the minimal pair

948 condition ( $F[1, 105] = .730, p > .3$ ). The lack of interaction time\*contrast  
949 suggests that the RT-difference due to time is comparable for each contrast. A  
950 detailed examination of each contrast reveals that this pattern is found in each  
951 case: significant priming in the repetition condition, but no priming in the  
952 minimal pair condition (in one contrast, /i-/y/, priming in the repetition condition  
953 is marginal ( $F[1, 105] = 3.6, p < .060$ ), which may be due to the small sample size  
954 of this group).

955

956           Insert Figure 4 here (advanced)

957

958           In the case of the advanced learners, a similar pattern is observed. There is  
959 again a significant effect of time ( $F[1, 270] = 23.6, p < .001$ ), of condition ( $F[1,$   
960  $270] = 19.2, p < .001$ ), as well as a significant interaction between time and  
961 condition ( $F[1, 270] = 11.5, p < .001$ ). In addition, there is a main effect of  
962 contrast ( $F[3, 270] = 2.8, p < .039$ ) and a significant time\*contrast interaction  
963 ( $F[3, 270] = 2.9, p < .031$ ), which suggests that not all contrasts behave similarly  
964 in terms of the time-related latency difference. Figure 4 reveals that this  
965 significant interaction may stem from the large negative priming obtained for the  
966 control contrast. Importantly, for both the /y-/u/ and /æ-/ɔ/ test contrasts, the  
967 advanced learners displayed a behavior similar to that of the native speakers.  
968 Planned comparisons confirm that the priming effect is significant only for the  
969 repetition condition ( $F[1, 270] = 34.1, p < .001$ ), not for the minimal pair  
970 condition ( $F[1, 270] = 1.0, p > .3$ ). A detailed examination of each contrast  
971 reveals that this pattern is found in each case. As in the case of native speakers,  
972 there is one contrast (/æ-/ɔ/) for which the statistical value in the repetition  
973 condition is marginal ( $F[1, 170] = 2.9, p < .09$ ).

974

975           Insert Figure 5 here (intermediates)

976

977           For Intermediate learners, there is a significant effect of time ( $F[1, 270] =$   
978  $27.7, p < .001$ ), of condition ( $F[1, 270] = 13.7, p < .001$ ), as well as a significant  
979 interaction between time and condition ( $F[1, 270] = 7.7, p < .006$ ). In addition,  
980 there is a main effect of contrast ( $F[3, 270] = 6.0, p < .001$ ) and a significant time  
981 \* contrast interaction ( $F[3, 270] = 4.1, p < .007$ ), which suggests that not all  
982 contrasts behave similarly with respect to the time of presentation. Strikingly, for  
983 the intermediate group there is also a significant triple interaction  
984 time\*contrast\*condition ( $F[3, 270] = 3.3, p < .020$ ). This suggests that the time  
985 difference between time 1 and time 2 varies in each condition as a function of  
986 contrast. Planned comparisons confirm that the priming effect is significant for  
987 the repetition condition ( $F[1, 270] = 32.3, p < .001$ ) as in the two other groups.  
988 For the minimal pair condition, unlike in the other groups, the difference between  
989 time 1 and time 2 is marginal ( $F[1, 270] = 3.0, p < .08$ ). This finding together  
990 with the triple interaction warrants a closer examination. Figure 5 clearly shows  
991 that the intermediate learners differ from both other groups on the test contrast  
992 /y-/u/. As confirmed by the contrast-specific analysis, there is significant priming  
993 for all three contrasts in the repetition condition (/i-/y/:  $F[1, 270] = 10.3, p <$

994 .001; /y/-/u/:  $F[1, 270] = 10.9, p < .001$ ; /œ/-/ɔ/:  $F[1, 270] = 4.04, p < .045$ ). For  
995 the minimal pair condition, there is priming neither for /i/-/y/ ( $F[1, 270] = .07, p >$   
996  $.7$ ) nor for /œ/-/ɔ/ ( $F[1, 270] = 1.1, p > .2$ ). For /y/-/u/ in the minimal pair  
997 condition, there is a highly significant priming ( $F[1, 270] = 18.4, p < .0001$ ).

998 There was no significant facilitation on any non-word condition (repetition  
999 or minimal pair for all three contrasts) for the native speakers (all  $p > .1$ ) and for  
1000 the intermediate learners (all  $p > .1$ ); for the advanced learners, no priming was  
1001 observed on any condition (all  $p > .05$ ) with two exceptions: in the repetition  
1002 condition for /i/-/y/ and in the minimal pair condition for /y/-/u/ (both  $p < .02$ ).

1003 Let us summarize our main findings for this experiment. Intermediate  
1004 learners exhibited priming effects indicative of spurious homophony on the /y/-/u/  
1005 contrast, but produced no facilitations across minimal pairs on the /œ/-/ɔ/ contrast.  
1006 Advanced learners patterned like the native speakers on all three contrasts; their  
1007 reaction times were comparable to the intermediate learners.

1008

## 1009 **VI. General Discussion**

1010

1011 Our empirical findings bring up a curious anomaly for standard  
1012 assumptions according to which the development of new categories is a necessary  
1013 prerequisite for lexical contrast. Our advanced learners had established lexical  
1014 contrasts based on all tested French minimal pairs (/i/-/y/, /y/-/u/, and /œ/-/ɔ/), but  
1015 exhibited persistent perceptual errors in the categorization of contrasts. For  
1016 intermediate learners, the picture is even more intriguing. On the lexical decision  
1017 task with repetition priming, these learners displayed spurious homophony  
1018 showing merger of contrasts between minimal pairs for the high vowels /y/-/u/,  
1019 but showing lexical contrast for minimal pairs involving mid-vowels /œ/-/ɔ/ in  
1020 French. However, on the ABX task, these same learners exhibited a significantly  
1021 higher average error rate for the /œ/-/ɔ/ contrast than for the /y/-/u/ contrast (37%  
1022 vs. 15%). It seems that the establishment of a lexical contrast is independent of  
1023 the previous acquisition of phonetic categories as observed in categorization  
1024 tasks.

1025 Our results on the /y/-/u/ contrast in intermediate learners confirm  
1026 previous findings of spurious homophony in L2 learners – attributed to the  
1027 absence of well-defined categories (e.g. Pallier et al., 2001). Yet, our results on  
1028 the /y/-/u/ contrast in advanced learners also show for the first time that spurious  
1029 homophony can be resolved with more experience.

1030 Hence, we observed specific patterns of breakdown and recovery in  
1031 lexical representations on the /y/-/u/ contrast in the acquisition of French with no  
1032 benefit for categorization. In addition, we observed evidence of lexical  
1033 representations on the /œ/-/ɔ/ contrast despite significant categorization errors.  
1034 These observations invite a reconsideration of the causal link between categories  
1035 and lexical contrast in second language acquisition. Our advanced learners  
1036 encoded lexical contrasts even though their categorization performance was not  
1037 different from that of intermediate learners. If one assumes that robust  
1038 categorization of a phonological contrast is required for lexical encoding of this  
1039 contrast, our results are puzzling.

1040 The similarity of the two learner groups on the ABX tasks (despite  
1041 significant differences on the lexical decision task) supports the conclusion that  
1042 categorization and lexical encoding are separately acquired. In fact, the only  
1043 difference between both groups was related to the stability of the categorization,  
1044 as seen through resistance to context effects. The intermediate learners (but  
1045 neither the advanced learners nor the French natives) experienced a significant  
1046 context effect during categorization ( $p < .05$ , with more errors in the coronal  
1047 context). Context effects typically arise when a perceived phonetic value for a  
1048 particular segment is attributed to (originating from) the surrounding segmental  
1049 context, triggering a different categorization of the segment. Here, the perceived  
1050 front value of the vowel (/y, œ/) is treated as coarticulation emanating from its  
1051 coronal context; in English, a back vowel is fronted through coarticulation with  
1052 coronal consonants, so that listeners attribute frontness to coronal coarticulation.  
1053 As a result, values of /y, œ/ are interpreted as instances of /u, ɔ/, creating a case of  
1054 single-category assimilation mediated by context.

1055 Learners also experienced greater categorization difficulties with mid  
1056 vowels /œ/-/ɔ/. This categorization problem presents us with a paradox. The mid  
1057 range of the learner's L1 perceptual map is dense. Indeed, Levy (2009a) shows  
1058 that French /œ/ is assimilated to English vowel categories /ə/, /ʌ/, /ʊ/, /ɔ/ and /u/  
1059 in a pattern affected by context and experience. This several-category assimilation  
1060 pattern, by reducing overlap, might reasonably lead to the expectation of fewer  
1061 errors in the ABX. This, however, was not observed, presumably as a result of  
1062 processing load. Indeed, from the point of view of the Interlanguage  
1063 categorization of target language phones, (partially overlapping) L1  
1064 categorization responses must be suppressed. The more there is to suppress, the  
1065 greater the cost. Hence, the richness of the perceptual map in the mid field would  
1066 feasibly increase the computational load associated with this categorization, and  
1067 trigger the higher error rate we observed.

1068 Conclusions about the nature of L2 sound systems from these observations  
1069 require a careful characterization of the evidence, as it might be compatible with a  
1070 range of scenarios. The degree to which these asymmetries highlight a  
1071 development sustained by phonological computations as discussed in DMAP can  
1072 be weighed against the degree to which these asymmetries may be due to aspects  
1073 of the stimuli: frequency, familiarity, as well as orthographic and acoustic cues.  
1074 The discussion focuses on asymmetries in priming for /œ/-/ɔ/ versus /y/-/u/  
1075 contrasts in the lexical decision task for intermediate learners (only).

1076 The study was designed so that the frequency analysis of the words  
1077 representing each contrast showed that the words for both /y/-/u/ and /œ/-/ɔ/ were  
1078 comparable. However, the frequency of the sounds themselves could also  
1079 differently influence the lexical encoding of words that contain those sounds. For  
1080 instance, encoding words with /œ/ could be made easier because of the frequent  
1081 use of this sound in the language in general. In French, the sound /œ/ is highly  
1082 frequent (Hume and Bromberg, 2005). It occurs both as a realization of schwa in  
1083 function words such as *je* 'I', *te* 'you-acc' and *me* 'me-acc' and *le* 'the, it-acc',  
1084 and as an independent phoneme /œ/. French schwa systematically deletes or  
1085 undergoes other readjustment in closed syllables, unlike the phonemic /œ/ that we

1086 examined. It is clear that the two uses of [œ] must be distinguished lexically. In  
1087 the Brulex corpus, Hume and Bromberg (2005) establish similar frequencies for  
1088 the two phonemic mid front rounded vowels (/œ/ and /ɔ/; -Log<sub>2</sub> probability:  
1089 6.924) and the high front rounded /y/ (-Log<sub>2</sub> probability: 6.248), with /y/ slightly  
1090 more frequent. For phonemic /œ/ alone, the type used in our experiment,  
1091 frequency is much lower (-Log<sub>2</sub> probability: 8.525). Similar frequencies of words  
1092 and vowels in those words make the plausibility of a frequency explanation rather  
1093 remote.

1094 L2ers' familiarity with the vocabulary might offer a more promising  
1095 alternative. Higher familiarity with the test words in one contrast over another  
1096 might have facilitated the encoding of a lexical contrast for those words. After  
1097 completion of the experiment, we asked the intermediate learners to fill out a  
1098 questionnaire about how familiar they were with the words used in the  
1099 experiment. The words in the /œ/-/ɔ/ set were more familiar to the learners than  
1100 the words in the /y/-/u/ set or in the /i/-/y/ set. The average familiarity for the /œ/-  
1101 /ɔ/ word pairings was 85%. The average familiarity for the /y/-/u/ word pairings  
1102 was 61%. There are two kinds of evidence that suggest that familiarity is not a  
1103 sufficient explanation for the results reported here. To examine whether  
1104 familiarity is driving the presence of repetition priming for the /y/-/u/ contrast  
1105 (and the absence of it for /œ/-/ɔ/), we declared familiarity as a covariate in an  
1106 ANOVA performed on latencies for the intermediate group, restricted to the three  
1107 contrasts /i/-/y/, /y/-/u/ and /œ/-/ɔ/. The main effect of familiarity was not  
1108 significant ( $F[1, 213] = .5, p > .4$ ). The main effect of contrast is now marginal,  
1109  $F[2, 207] = 2.3, p < .09$ . All the values in the analysis by contrast were  
1110 unaffected: the priming in the minimal pair condition for /y/-/u/ remained  
1111 significant. The absence of priming for the /œ/-/ɔ/ contrast in the minimal pair  
1112 condition was also confirmed. An analysis of the individual distribution of  
1113 intermediate learners was performed to examine the potential effect of familiarity  
1114 in greater detail. The group of intermediate learners was split into 2 groups,  
1115 defined as the "high familiarity" group (n = 9, on average 81% of words are  
1116 familiar to this group) vs. the "low familiarity" group (n = 10, on average, 69% of  
1117 words are familiar to this group). These groups differed significantly in terms of  
1118 familiarity ( $t(17) = 6.03, p < .0001$ ), but not in terms of error rate (17.2 % and  
1119 17.9 % errors respectively,  $t(17) = 0.4, p > .1$ ). Thus, familiarity scores seem  
1120 dissociated from the lexical decision error rates. In sum, no significant effect of  
1121 familiarity could be detected.

1122 Orthographic knowledge might provide another alternative explanation for  
1123 the encoding of a lexical contrast in advance of reliable categorization. Following  
1124 this line of reasoning, Weber and Cutler (2004), Cutler, Weber and Otake (2006)  
1125 and Escudero et al. (2008) proposed that L2 learners might directly deploy  
1126 orthographic knowledge to acquire the contrast. Considering the number of  
1127 alternate graphemes for an opposition, one can make the prediction that fewer  
1128 grapheme options might help in establishing a contrast. In our case, the different  
1129 graphemes for /œ/-/ɔ/ outnumber the graphemes used to represent /u/-/y/,  
1130 seemingly predicting easier encoding for /y/-/u/. On the other hand, the  
1131 graphemes used for /y/-/u/ might interfere more strongly with English grapheme-

1132 phoneme correspondences. In our case, /y/ and /u/ are both represented by distinct  
1133 graphemes or grapheme combinations <u> and <ou>. The sounds /œ/ and /ɔ/ are  
1134 represented as <eu> or <œu> versus <o> or <au> (e.g. for *Laure*). Following this  
1135 possibility, different orthographic combinations for /o/ in French, <eau>, <o>,  
1136 <ôt>, <ot>, <au> might lead to spurious minimal-pairs distinctions: <pot> /po/  
1137 ‘pot’ vs. <peau> /po/ ‘skin’. To our knowledge, this phenomenon does not arise.  
1138 It remains somewhat unclear whether the overlap with English orthography might  
1139 interfere with the graphemes used for the /y/-/u/ contrast, since the phoneme /u/  
1140 corresponds to the grapheme <u> in English, interfering with the grapheme for /y/  
1141 in French (also <u>). The correspondence of <ou> to /u/ is not entirely new to  
1142 English-speaking learners of French, since in English orthography <ou>  
1143 occasionally corresponds to /u/, as in <you>, <coup>, and <mousse>. In sum, it is  
1144 feasible that orthographic evidence may help learners focus resources on a  
1145 particular contrast, speeding acquisition, but in our precise set of data,  
1146 orthography makes no clear predictions of asymmetries in the representation of  
1147 contrasts in intermediate learners for the test vocabulary.

1148 Last but not least, we consider the possibility that this asymmetry results  
1149 from the sound structure of the vocabulary across contrasts. The vocabulary items  
1150 were selected on the basis of frequency exigencies and vocabulary knowledge  
1151 within the limits of the sound pattern of French. As a result, all but one item in the  
1152 /œ/-/ɔ/ minimal pairs contained a rhotic coda, realized as a (de)voiced fricative.  
1153 This was not the case for /y/-/u/: only one minimal pair involved a rhotic coda. In  
1154 French voiced fricatives (including uvular /r/) induce lengthening of immediately  
1155 preceding vowels. Longer vowels could provide better formant cues, leading to  
1156 the speedier establishment of rounded vowel contrasts. If longer vowels were the  
1157 only explanation for the asymmetry in the lexical decision data, length should also  
1158 affect the results of the ABX task. However, we found that /y/ and /u/ were  
1159 shorter but better discriminated; furthermore, error rates were the same in  
1160 lengthening and non-lengthening contexts. Vowel length does not affect  
1161 categorization, and it is unlikely that differences in vowel length alone would  
1162 facilitate the encoding of /œ/-/ɔ/ minimal pairs.

1163 In contradistinction to this acoustic account, the words with a rhotic coda  
1164 might allow a different phonological representation of this contrast in L1-English  
1165 L2-French interlanguage. On this account, precisely in the context of a rhotic  
1166 coda, intermediate learners are able to represent the minimal pairs with rounded  
1167 mid vowels that contrast in the French lexicon on the basis of the feature [front]  
1168 vs. [back] as minimal pairs contrasting on the basis of a central /ə/ or /ʌ/ vs. back  
1169 /ɔ/. This is compatible with the category assimilation patterns for French mid  
1170 round vowels found in Levy (2009a).

1171 In sum, vowel length differences, orthographic cues, word familiarity and  
1172 word frequencies do not satisfactorily account for our data set. The data strongly  
1173 point to a phonological explanation.

1174  
1175

## 1176 **VII. Contrast and discrimination of rounded vowels in DMAP**

1177

1178           In view of the limitations of non-phonological approaches to these data,  
1179 we now consider these data as support for DMAP. In DMAP, the learner’s  
1180 processing system at the outset of L2 acquisition includes a universal acoustic  
1181 space defined by a general perceptual mechanism that extracts feature  
1182 combinations from raw percepts, a phonological grammar that licenses the feature  
1183 combinations extracted, and a L1 phonetic space reflecting the phonetic category  
1184 definitions of the L1 phonological grammar on a continuum. The universal  
1185 acoustic space enables the detection of {[front]/[back] + [round]} combinations in  
1186 the raw percepts. Initially, these combinations are ignored in categorization and  
1187 lexical encoding, as a result of phonological merger, in which detected (front)  
1188 rounded vowels are corrected as back vowels, so that the acquisition of L2  
1189 vocabulary conforms to the L1-induced feature system, yielding spurious  
1190 homophony. However, {[front]/[back] + [round]} combinations repeatedly  
1191 detected in the French input trigger a change in the feature system. This change is  
1192 driven by the need to parse the input with greater efficiency. This requires  
1193 revising the feature system by (re)assembling matrices for the phone contrasts (in  
1194 the spirit of Lardiere, 2009). Recovery from L2 initial-state phonological merger  
1195 leads then to further development.

1196           In DMAP, changes to the feature system obey general economy  
1197 constraints on feature accessibility and feature (re)assembly. It is generally  
1198 expected that phonemes that are underspecified in feature values will be acquired  
1199 first, as a reflex of computational complexity. Setting the tense-lax/open-closed  
1200 distinction aside, since it is mostly context-dependent in French (and not expected  
1201 to play a significant role), /y/ requires a vowel height specification, whereas /œ/,  
1202 being neither low nor high does not. If this is right, mid-vowel lexical contrasts  
1203 might be established ahead of high-vowel lexical contrasts. Changes to the feature  
1204 system must take place in the face of phonological processes. Thus, V-place  
1205 instantiation of the features {[coronal] + [labial]} can be inherited contextually, so  
1206 that L2 input can be reconciled with the interlanguage phonology. Likewise, we  
1207 theorized that words in which a rounded front mid vowel is followed by a rhotic  
1208 coda could be phonologically reinterpreted as having a central (rhoticized) vowel.  
1209 The rhotic element could be preserved on the vowel or assigned to the coda,  
1210 leading initially to lexical contrasts involving central vs. back vowel  
1211 specifications, rather than front vs. back ones. Thus, distinct phonological reasons  
1212 can trigger the early establishment of lexical contrasts in advance of robust  
1213 category distinctions based on frontness.

1214           Before the opposition between front and back is identified as a feature of  
1215 contrast for rounded vowels, rounded vowels are (generally) lexically encoded as  
1216 back vowels by merger—creating spurious repetition priming. Once [labial] is  
1217 assigned to the V-place (i.e. [round]+[front] is enabled), presumably as the result  
1218 of input in which those features are not reducible to consonantal context (as  
1219 would be the case for a coronal coda), contrasting feature matrices for front vs.  
1220 back rounded vowels can be used in lexical representations. The lexical  
1221 representations of previously established vocabulary entries presumably cannot be  
1222 changed all at once to reflect the new matrices. Such a change would require that  
1223 non-target-like lexical entries previously established include indexical

1224 information (e.g. /u\*/) indicating that certain encodings of back vowels do not  
1225 fully match the representations extracted from the input, as suggested by Hayes-  
1226 Harb and Masuda (2008). Such a device is not strictly necessary for acquisition to  
1227 take place, however. Indeed, target-like lexical representations can simply be  
1228 acquired on the basis of positive evidence, once the phonological merger is  
1229 overcome. There should be a lasting effect of the L2 initial-state phonological  
1230 merger, even as new phonetic categories are established. A delay between what is  
1231 phonologically possible at a given moment and what is lexically represented is to  
1232 be expected.

1233 Crucially, in DMAP, the acquisition of a lexical contrast for rounded  
1234 vowels involves at its core the development of {[front]/[back] + [round]} feature  
1235 matrices. Such matrices greatly underspecify the phonetic details of category  
1236 definitions. As a result of under-specification of phonetic categories by the feature  
1237 system, an inventory of phones can be described by a wide range of analyses (a  
1238 point made manifest by phonological theory). In view of this, the presumed  
1239 acquisition route from phonetic distinction to phonological contrast presents the  
1240 learner with a central learnability problem: in principle, L2 inventories could be  
1241 carved out in a large variety of ways. On a phonological approach, the acquisition  
1242 of segmental inventories is guided by feature accessibility. DMAP offers an  
1243 economy-driven mechanism that would obviate this learnability problem.  
1244 Phonological contrasts require distinct category definitions but these do not need  
1245 to be target-like. Economy dictates that the phonologically triggered change to  
1246 category definitions should be the smallest change consistent with phonetic  
1247 distinction. Indeed, although advanced learners clearly acquired lexical contrasts  
1248 for rounded vowels, robust categorization was still invisible in the results of the  
1249 ABX task. These empirical and conceptual considerations undermine the  
1250 traditional assumption that robust categorization of a new phone contrast is a  
1251 prerequisite for the establishment of corresponding lexical contrasts, but they are  
1252 fully consistent with DMAP.

1253

## 1254 **VIII. Conclusion**

1255

1256 DMAP is motivated by strict conceptual necessity and finds support in our  
1257 empirical results. A phonological account of spurious homophony due to merger  
1258 finds support in the fact that lexical contrast and enhanced category distinctions  
1259 do not go hand in hand. The acquisitional asymmetry between high and mid  
1260 vowels is unexpected on the basis of acoustic or perceived differences and does  
1261 not appear to merely reflect the familiarity of English-French learners with the  
1262 test words since spurious homophony cuts across familiarity rates. It receives,  
1263 however, a phonological account that underscores either contextual effects in  
1264 view of the L1 phonological system or economy constraints on feature  
1265 (re)assembly. Disentangling the role of these two factors in acquisition would  
1266 involve comparing rounded vowel contrasts followed by rhotic and non-rhotic  
1267 codas. These particular hypotheses will need to be verified with other stimuli and  
1268 other learner groups.

1269 DMAP provides a learning mechanism for the development of L2  
1270 phonological systems, which is in fact compatible with what is known about  
1271 grammatical processing in general as well as relations between grammar and  
1272 parsing. The phonological grammar provides a licensing mechanism for the  
1273 representations extracted from the raw percepts. DMAP also characterizes aspects  
1274 of the learning triggers for new category formation, with phonologically induced  
1275 category formation and boundary shifts that would be long lasting, rather than  
1276 short-lived, but involve the smallest change compatible with the new  
1277 phonological state.

1278 Crucially, DMAP challenges the assumption that category distinction  
1279 precedes the development of an L2 phonemic inventory and lexical development,  
1280 preserving however insights of the Perceptual Assimilation Model (Best and Tyler  
1281 2007) and Native Language Magnet Model (Kuhl and Iverson, 1995) as key  
1282 components of DMAP for the development of category definitions. Many other  
1283 issues arise, which can be experimentally tested.

1284

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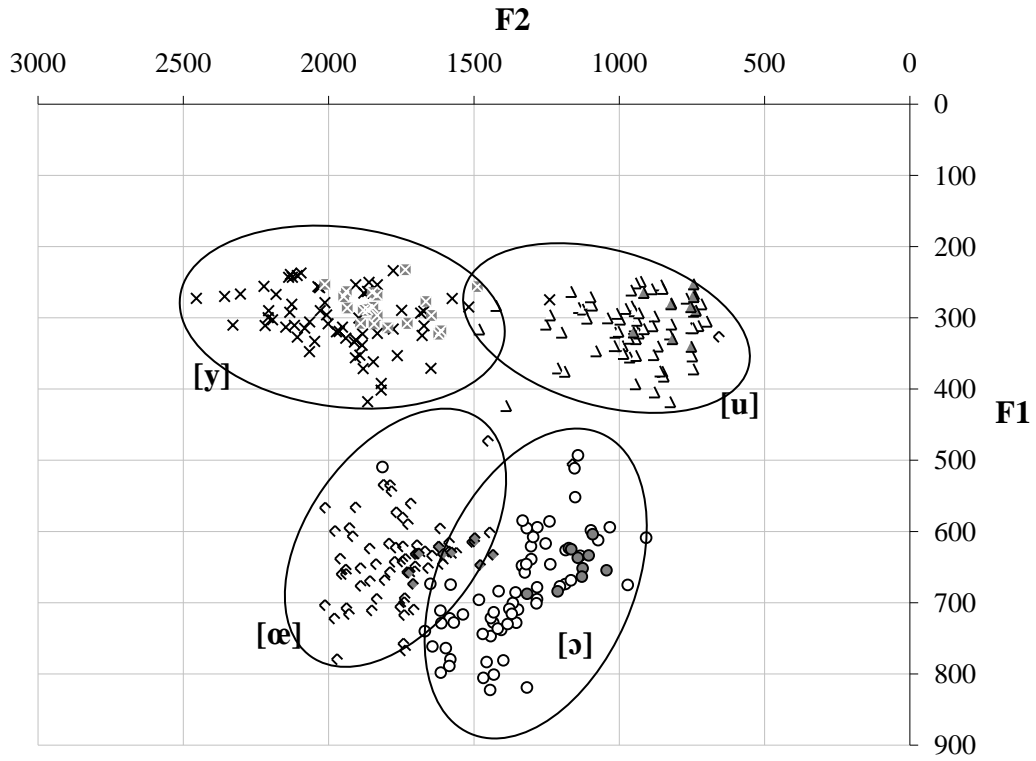
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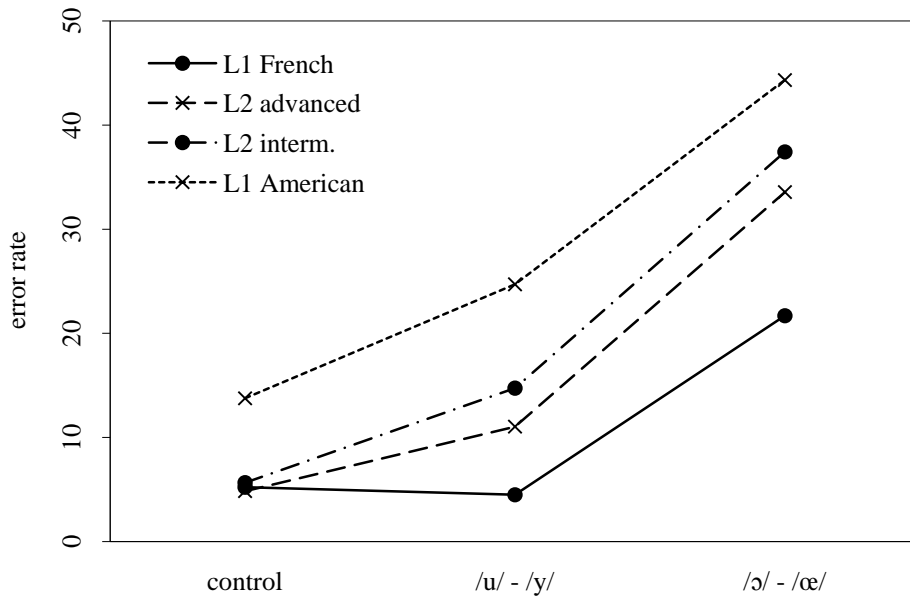
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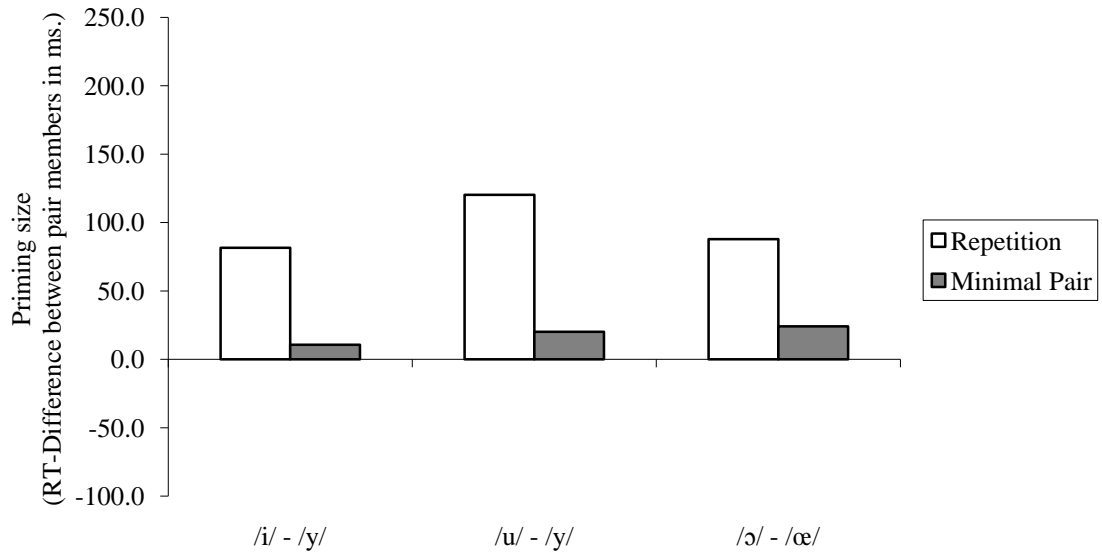


1543  
1544 Figure 1. F1 and F2 frequencies measured at the midpoint for the critical vowels  
1545 in each of the /y/-/u/ and /œ/-/ɔ/ minimal pairs for ABX (open symbols) and  
1546 lexical decision (filled symbols).

1547



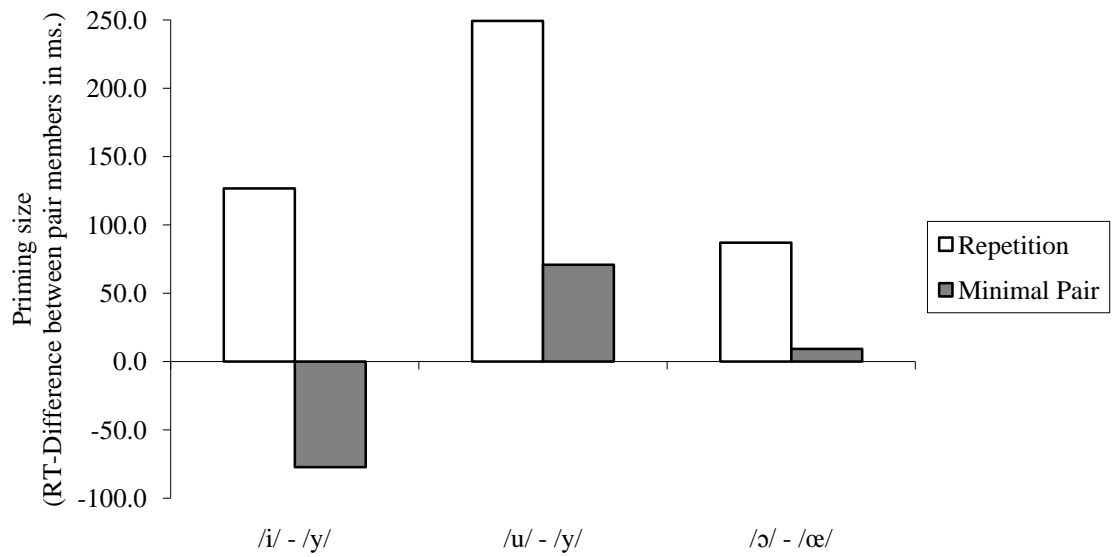
1548  
 1549 Figure 2. Error rate by condition (control, high /y/-/u/, mid /œ/-/ɔ/) and group  
 1550 (monolingual English native speakers, intermediate L2 learners, advanced L2  
 1551 learners and native French speakers)  
 1552



1553

1554 Figure 3. Priming obtained in each condition and contrast in the native speaker  
 1555 group.

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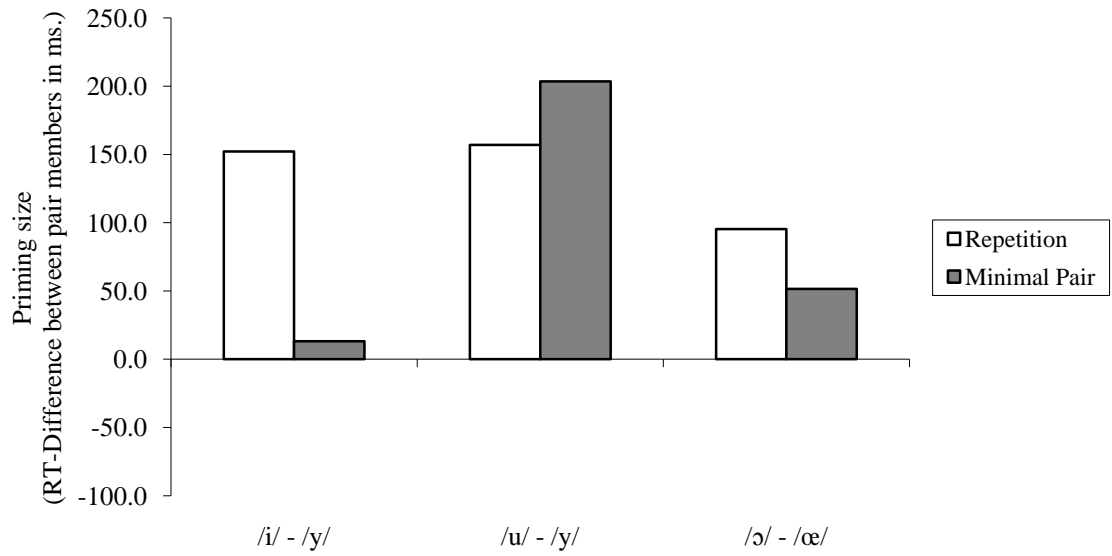


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1559 Figure 4. Priming obtained in each condition and contrast in the advanced learner  
 1560 group.

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1563

1564 Figure 5. Priming obtained in each condition and contrast in the intermediate  
 1565 learner group.

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1567

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1569

1570 Table 1: overview of frequency distribution for the contrasts used in the minimal  
 1571 pairs (in occurrences per million)

1572

	written (freqlivres)	spoken (freqfilms2)	number of homophonic words (nbhomoph)
/y/-/u/	73.77	41.41	7.0
/œ/-/ɔ/	71.58	40.71	4.9
/i/-/y/	27.96	31.40	6.4

1573

1574

1575 Table 2: duration measurements for all stimuli (in ms.): The items that have a  
 1576 lengthening coda are measured separately, for both /œ/ and /ɔ/ (L-only)

1577

	LD	ABX	<i>p</i> -value	
/œ/	223	170	0.02	*
/œ/ (L-only)	(223)	256	0.19	ns
/ɔ/	224	167	0.02	*
/ɔ/ (L-only)	(224)	252	0.31	ns
/u/	153	165	0.40	ns
/y/	148	170	0.07	ns

1578

1579 Table 3: error rates as a function of consonantal context in each group

High vowels (u-y)	Labial	Coronal	<i>p</i> -value
French natives	4.6	4.3	>.1
Advanced	9.7	12.3	$t(18) = 2.8 p < .07$
Intermediate	12.0	17.4	$t(18) = 2.8 p < .005$
English monolinguals	21.6	27.8	$t(12) = 2.7 p < .01$
Mid vowels (ɔ-œ)	Labial	Coronal	<i>p</i> -value
French natives	21.1	22.2	>.1
Advanced	31.7	35.3	>.1
Intermediate	34.2	40.6	$t(18) = 2.2 p < .02$
English monolinguals	39.1	49.5	$t(12) = 2.9 p < .006$

1580

1581

1582 Table 4: Error rate (in %) according to lengthening context in ABX; Mid = mid vowels,  
 1583 High = high vowels

1584

	Mid-L	Mid-NL	High-L	High-NL
L1-French	18.1	23.3	5.0	4.3
Advanced	31.6	34.5	9.7	11.6
Intermediate	34.2	35.2	15.5	14.1
L1-American	42.6	45.1	25.6	24.3

1585

1586 Table 5: Reaction times (SE) and priming size by group and contrast in each condition

1587

		Intermediates			Advanced			Native speakers		
		Mean RT	(SE)	Priming	Mean RT	(SE)	Priming	Mean RT	(SE)	Priming
i-y	rep	1170.8	38.4	152.2	1009.7	31.7	126.7	816.8	24.7	81.5
	rep	1018.7	48.9		883.1	36.3		735.3	42.4	
	mp	1103.3	45.3	13.2	996.8	51.1		832.3	25.3	10.6
	mp	1090.1	50.8		1074.1	46.1		-77.3	821.6	
y-u	rep	1129.6	38.5	156.9	1154.8	41.4	249.2	872.1	31.0	120.2
	rep	972.7	34.0		905.6	28.9		751.9	30.5	
	mp	1240.8	52.8	203.6	1128.6	41.8		873.5	29.5	20.1
	mp	1037.3	30.7		1057.8	34.9		70.8	853.4	
œ-ɔ	rep	1017.7	41.3	95.4	1021.7	31.1	87.0	825.6	34.3	87.9
	rep	922.4	35.4		934.7	40.5		737.8	41.8	
	mp	1071.2	42.4	51.5	1046.6	41.3		863.4	44.0	24.1
	mp	1019.6	37.4		1037.4	55.7		9.3	839.3	

1588

## 1589 Appendix

1590 Test words used in Lexical Decision for the contrasts /y/-/i/, /y/-/u/, /œ/-/ɔ/

1591

/y/			/i/		
bûche	[byʃ]	'log'	biche	[biʃ]	'deer'
cru	[kʁy]	'raw/believed'	cri	[kʁi]	'scream'
dune	[dyn]	'dune'	dine	[din]	'dine'
ruche	[ʁyʃ]	'beehive'	riche	[ʁiʃ]	'rich'
vue	[vy]	'seen'	vie	[vi]	'life'

1592

/y/			/u/		
bulle	[byl]	'bubble'	boule	[bul]	'ball'
puce	[pys]	'flea'	pouce	[pus]	'thumb'
rue	[ʁy]	'street'	roue	[ʁu]	'wheel'
bu	[by]	'drunk'	bout	[bu]	'piece'
sur	[syʁ]	'sure/on'	sourd	[suʁ]	'deaf'

1593

/œ/			/ɔ/		
beurre	[bœʁ]	'butter'	bord	[bɔʁ]	'border'
coeur	[kœʁ]	'heart'	corps	[kɔʁ]	'body'
peur	[pœʁ]	'fear'	port/porc	[pɔʁ]	'harbor/pork'
seul	[sœl]	'alone'	sol/sole	[sɔl]	'floor/sole'
leur	[lœʁ]	'their'	lors	[lɔʁ]	'then'

1594

1595 Test nonwords used in Lexical Decision for the contrasts /y/-/i/, /y/-/u/, /œ/-/ɔ/

1596

/y/		/i/	
duge	[dyʒ]	dige	[diʒ]
nur	[nyʁ]	nir	[niʁ]
plune	[plyn]	pline	[plin]
cruffe	[kʁyf]	criffe	[kʁif]
vrte	[vyʁt]	virte	[viʁt]

1597

/y/		/u/	
puche	[pyʃ]	pouche	[puʃ]
chupe	[ʃyp]	choupe	[ʃup]
bluche	[blyʃ]	blouche	[bluʃ]
frue	[fʁy]	froue	[fʁu]
bruse	[bʁyz]	brouse	[bʁuz]

1598

/œ/		/ɔ/	
bleuve	[blœv]	blove	[blɔv]
jeur	[ʒœʁ]	jore	[ʒɔʁ]
breuf	[bʁœf]	broffe	[bʁɔf]
creulle	[kʁœl]	crolle	[kʁɔl]
deusse	[dœs]	dosse	[dɔs]

1599

1600 ABX Stimuli (pairs of French non-words)

	labial		coronal	
æ-ɔ	bœb	bɔb	lœd	lɔd
	bœp	bɔp	lœl	lɔl
	fœb	fɔb	lœt	lɔt
	fœp	fɔp	nœz	nɔz
	fœv	fɔv	sœz	sɔz
	mœp	mɔp	tœd	tɔd
	vœb	vɔb	tœz	tɔz
	vœp	vɔp	zœz	zɔz
u-y	bub	byb	lud	lyd
	bup	byp	lul	lyl
	fub	fyb	lus	lys
	fup	fyp	nuz	nyz
	fuv	fyv	suz	syz
	mup	myp	tud	tyd
	vub	vyb	tuz	tyz
	vup	vyp	zuz	zyz
Control vowel <i>/i/-/ɛ/</i>	bif	bef	dil	dɛl
	pim	pem	ziz	zɛz
	bip	bɛp	niz	nɛz
	mip	mɛp	tid	tɛd
Control consonant (across both contexts)		bup	tud	
		myb	lys	
		fub	nut	
		vyb	syn	
		pum	nus	
		vyp	lyd	
		mup	zun	
		fyp	lyl	

1601

1602

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<sup>1</sup> This could not be avoided due to the restrictions of using the same CVC combinations for both vowel pairs. This and a few other items were close to English words; however, the French phonetics of the stimuli (e.g. [ɔ] is not a native vowel for our participants) is likely to prevent strong activation of English vocabulary.