

WORKSHOP ON INFERENCE FROM TEXT:
NATURAL LOGIC

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CAN WE REASON IN LANGUAGE? TWO VIEWS

If we were to devise a logic of ordinary language for direct use on sentences as they come, we would have to complicate our rules of inference in sundry unilluminating ways.

W. V. O. Quine, **Word and Object**

1	John is a man	Hyp
2	Any woman is a mystery to any man	Hyp
3	Jane Jane is a woman	Hyp
4	Any woman is a mystery to any man	R, 2
5	Jane is a mystery to any man	Any Elim, 4
6	John is a man	R, 1
7	Jane is a mystery to John	Any Elim, 6
8	Any woman is a mystery to John	Any intro, 3, 7

1	Thistledew is a house	Hyp
2	Any man who owns a house should paint that house	Hyp
3	Joe Joe is a man	Any which elim, 2
4	If any man owns a house, then that man should paint that house	Hyp
5	If Joe owns a house, then Joe should paint that house	Any elim, 3, 4
6	If Joe owns Thistledew, then Joe should paint Thistledew	special a elim, 3, 4
7	If any man owns Thistledew, that man should paint that Thistledew	Any intro
8	Any man who owns Thistledew should paint that Thistledew	Any which intro

THIS TALK DEALS WITH NEW LOGICAL SYSTEMS TUNED TO NATURAL LANGUAGE

- ▶ The raison d'être of logic is the study of **inference in language**.
- ▶ However, modern logic was developed in connection with the **foundations of mathematics**.
- ▶ So we have a mismatch, leading to
 - neglect of language in the first place
 - use of first-order logic and no other tools
- ▶ First-order logic is both **too big** and **too small**:
 - cannot handle many interesting phenomena
 - is undecidable

NATURAL LOGIC: WHAT IT'S ALL ABOUT

PROGRAM

Show that significant parts of natural language inference can be carried out in **decidable** logical systems.

Whenever possible, to obtain **complete axiomatizations**, because the resulting logical systems are likely to be interesting.

To be completely mathematical and hence to work using all tools and to make connections to fields like **complexity theory**, **(finite) model theory**, **decidable fragments of first-order logic**, and **algebraic logic**.

NATURAL LOGIC: PARALLEL STUDIES

I WON'T HAVE MUCH TO SAY ON THESE, BUT YOU CAN ASK ME ABOUT THEM

- ▶ History of logic: reconstruction of original ideas
- ▶ Philosophy of language: proof-theoretic semantics
- ▶ Philosophy of logic: why variables?
- ▶ Cognitive science: models of human reasoning
- ▶ Linguistic semantics:
Are deep structures necessary, or can we just use surface forms?
And is a complete logic a semantics?
- ▶ Computational linguistics/artificial intelligence:
this connection is the overall topic of our workshop.

LOGIC AND LANGUAGE: TRADITIONAL SYLLOGISMS

All men are mortal.

Socrates is a man.

Socrates is mortal.

Some men are mortal.

Socrates is a man.

Socrates is mortal.

All frogs are reptiles.

All reptiles are animals.

All frogs are animals.

All frogs are reptiles.

All frogs are animals.

All reptiles are animals.

All sagatricians are maltnomans.

All sagatricians are aikims.

All maltnomans are aikims.

The first point is that there is an exact definition of *validity* for arguments.

The second point here is that the *form* is as important, even more important, than the particular words.

All X are Y.

All Y are Z.

All W are X.

All W are Z.

So valid arguments can have more than two *premises*.

Our plan is to deal only with sentences containing **all**, **some**, and **no**.

Probably the key point of logic is that there is a distinction between

syntax and **semantics**.

The idea is that syntax is the raw symbols.

Syntax is usually painful: think of computer programming.
I'll try to avoid it as much as possible to concentrate on the ideas.

The semantics is where we get the meaning.

So in our examples, we need some **context** or **model** to give a meaning.

In our examples, the syntax will start with some **variables** p, q, n, n_1, \dots

The our **sentences** are expressions of the form

All p are q , Some p are q , and No p are q

To say whether

All sagatricians (s) are maltnomans (m).

is true or not needs a **model**.

This is given by a few things:

First, a set U called the **universe**.

Second, for the words **sagatrician** and **maltnoman**,
we need sets $\llbracket \text{sagatrician} \rrbracket \subseteq U$ and $\llbracket \text{maltnoman} \rrbracket \subseteq U$.

Given all of this, we say that

All s are m

is **true in the model** if $\llbracket S \rrbracket \subseteq \llbracket M \rrbracket$.

Otherwise, *All s are m* is **false in the model**.

What should we say about *Some s are m* and *No s are m*?

Syntax: *All p are q, Some p are q, No p are q*

Semantics: A model \mathcal{M} is a set M ,
and for each variable p we have an interpretation $\llbracket p \rrbracket \subseteq M$.

$$\mathcal{M} \models \textit{All } p \textit{ are } q \quad \text{iff} \quad \llbracket p \rrbracket \subseteq \llbracket q \rrbracket$$

The symbols $\mathcal{M} \models S$ is read as \mathcal{M} **satisfies** S .

A statement like $\mathcal{M} \models \textit{All } p \textit{ are } q$ could also be read as

All p are q is true in \mathcal{M}

Is All X are Y true or not?

Is All X are Y true or not?

Without a model (also called a context), the question makes no sense.

So let's take an example model, and ask whether our sentence is true in that model or not.

Let $U = \{1, 2, 3, 4, 5\}$

Let $\llbracket X \rrbracket = \{1, 2, 4\}$.

Let $\llbracket Y \rrbracket = \{3, 4\}$.

Is All X are Y true or not?

Without a model (also called a context), the question makes no sense.

So let's take an example model, and ask whether our sentence is true in that model or not.

Let $U = \{1, 2, 3, 4, 5\}$

Let $\llbracket X \rrbracket = \{1, 2, 4\}$.

Let $\llbracket Y \rrbracket = \{3, 4\}$.

In this model, All X are Y is false!

But if we change the model by re-setting $\llbracket Y \rrbracket$ to $\{1, 2, 3, 4\}$, then our sentence is true.

One fine point on the definition is that if $\llbracket X \rrbracket$ is the empty set \emptyset , then our sentence *All X are Y* is *true!*

So in this room now,

All people in the room over 7 feet tall are standing

is (on this definition) true.

This strange point will lead us to various issues over the next few weeks.

For now, it might be best to say that it's true because there are *no exceptions*.

But we again admit that the semantics of *All* that we are giving is not what most people would agree to in cases where $\llbracket X \rrbracket = \emptyset$. (There is also another quirk that we'll see soon.)

VALIDITY OF ARGUMENTS

At this point, we know how to give the semantics of single sentences.

We say that a sentence S follows from sentences A_1, \dots, A_n if every model that makes all of the A s true also makes S true.

We write this as

$$A_1, \dots, A_n \models S$$

and we also say that the A 's semantically imply S .

To argue that $A_1, \dots, A_n \models S$ we need some reasoning. Usually, we do this in English and in an informal way, just as one would do ordinary reasoning.

But to argue that $A_1, \dots, A_n \not\models S$ we can produce a counterexample.

In all of this work, the main thing is that we have a rigorous definition.

A SMALL NOTE ON NOTATION

We use letters like Γ (Greek letter Gamma) for sets of sentences.

And then we would write $\Gamma \models S$ to mean that every model of all the sentences in Γ is also a model of φ .

However, if Γ is a set that we have listed out, say

$$\Gamma = \{A_1, A_2, \dots, A_{104}\}.$$

then usually we would write $\Gamma \models S$ as

$$A_1, A_2, \dots, A_{104} \models S$$

rather than as

$$\{A_1, A_2, \dots, A_{104}\} \models S.$$

That is, we drop the set braces on the left of the \models symbol.

We do this to make things a little more readable.

$$\overbrace{A_1, A_2, \dots, A_n}^{\text{premises}} \models \underbrace{S}_{\text{conclusion}}$$

The intuition is that

$$A_1, A_2, \dots, A_n \models S$$

means that

any circumstance in which the premises A_1, A_2, \dots, A_n are all true is also a circumstance in which the conclusion S is true

All frogs are reptiles.

All frogs are animals.

All reptiles are animals.

We can take $U = \{1, 2, 3, 4, 5, 6\}$.

$\llbracket F \rrbracket = \{1, 2\}$,

$\llbracket R \rrbracket = \{1, 2, 3, 4\}$.

$\llbracket A \rrbracket = \{1, 2, 4, 5, 6\}$.

In this context, the assumptions are true but the conclusion is false.

So the argument is **invalid**.

All frogs are reptiles, All frogs are animals, $\not\equiv$ All reptiles are animals.

Note the difference between syntax and semantics.

\models is intended to mean **follows by general-purpose reasoning**.

We can check whether our definitions match with our intuitions.

In the case of our very simple **fragment**, this mostly is right.

The main exception is that people usually wouldn't say

All X are Y

in a context where they know that there are no X .

A secondary point is that a computer should be able to decide whether

$$A_1, \dots, A_n \models S$$

or not.

The entailment problem should be decidable.

Another way to make these points:

the definitions and theory should be “tight” enough so that the decision can be made *without semantics* (!), by only looking at the *form* of the argument.

Hoch means **every**.

*Hoch verengan Ha'DIbah jajlo' Qa'
Hoch jajlo'Qa' Qa'Hom.*

Hoch verengan Qa'Hom.

Let Γ be a set of sentences $\{A_1, \dots, A_n\}$.

A **proof tree over Γ** is a tree following properties:

- 1 The leaves are either labeled with sentences from Γ , or with sentences of the form *All X are X*.
- 2 The interior leaves match one of the rules of our system (see the next slide).

The trees are drawn with the root at the bottom and the leaves at the top.

If there is a proof tree over Γ whose root is labeled S , we write **$\Gamma \vdash S$** .

We say that **S is provable from Γ** in our system.

THE RULES FOR BUILDING TREES

All p are p

All p are n All n are q
All p are q

Here is an example: Let Γ be the set

$\{All\ A\ are\ B, All\ Q\ are\ A, All\ B\ are\ D, All\ C\ are\ D, All\ A\ are\ Q\}$

Let S be $All\ Q\ are\ D$. Here is a proof tree showing that $\Gamma \vdash S$:

$$\frac{All\ Q\ are\ A \quad \frac{All\ A\ are\ B \quad All\ B\ are\ D}{All\ A\ are\ D}}{All\ Q\ are\ D}$$

All of the leaves belong to Γ .

Note also that some elements of Γ are not used as leaves.

This is permitted according to our definition.

The proof tree above shows that $\Gamma \vdash S$.

WHAT ARE WE DOING HERE?

The idea is that **proof trees** are our model of **basic reasoning** using the words **all, some, no**.

A proof tree is like a **caricature** of a real proof.

It can be examined (and even constructed) by a person or computer who has no understanding of anything but the rules!

There are several hopes about this work:

- ★ The whole thing will “scale up” to include many more words. (This would call on **linguistic semantics** to provide the correct notion of **context**.)
- ★ The formal relation \vdash should have something to do with \models (logic)
- ★ The proof system \vdash should have something to do with actual human reasoning (psychology)
- ★ A computer should be able to work with \vdash without understanding anything.

A computer could check whether a purported tree actually satisfies our definition, even if it didn't "understand" *All*.

So one important question is: what is the relation between

$$\Gamma \vdash A \quad \text{and} \quad \Gamma \models A \quad ?$$

SOUNDNESS

If $\Gamma \vdash S$, then $\Gamma \models S$.

This means that proof trees do not lead us astray:

if $\Gamma \vdash S$, then in any context where the sentences of Γ all hold, S too must hold.

Our proof system will not lead us to believe that bogus syllogisms are in fact valid.

Here is the basic idea of why the Soundness Lemma holds.
The two most basic facts about \subseteq are:

- 1 $X \subseteq X$ for all sets X .
- 2 For all sets X , Y , and Z : if $X \subseteq Y$ and $Y \subseteq Z$, then $X \subseteq Z$.

(Probably the third would be that $\emptyset \subseteq X$ for all X .)

SOUNDNESS SKETCH, CONTINUED

Let's go back to our example proof tree.

$$\frac{\text{All } Q \text{ are } A \quad \frac{\text{All } A \text{ are } B \quad \text{All } B \text{ are } D}{\text{All } A \text{ are } D}}{\text{All } Q \text{ are } D}$$

Take any model, say \mathcal{M} .

Assume that in \mathcal{M} , $\llbracket A \rrbracket \subseteq \llbracket B \rrbracket$, etc.

We have to show that in this same model \mathcal{M} , $\llbracket Q \rrbracket \subseteq \llbracket D \rrbracket$.

The idea is to use our proof tree and **specialize it to \mathcal{M}** :

$$\frac{\llbracket Q \rrbracket \subseteq \llbracket A \rrbracket \quad \frac{\llbracket A \rrbracket \subseteq \llbracket B \rrbracket \quad \llbracket B \rrbracket \subseteq \llbracket D \rrbracket}{\llbracket A \rrbracket \subseteq \llbracket D \rrbracket}}{\llbracket Q \rrbracket \subseteq \llbracket D \rrbracket}$$

And then going downward mirrors *intuitively valid reasoning in the model*.

Since the model \mathcal{M} was **arbitrary** (had no special features), the conclusion $\Gamma \models S$ holds.

$$\Gamma = \left\{ \begin{array}{l} \text{All A are B,} \\ \text{All A are C,} \\ \text{All B are C,} \\ \text{All C are B,} \\ \text{All C are D,} \\ \text{All B are E,} \\ \text{All D are G,} \\ \text{All F are G,} \\ \text{All G are F} \end{array} \right\}$$

We see that $\Gamma \vdash \text{All } B \text{ are } G$.

Do you think that $\Gamma \vdash \text{All } D \text{ are } E$?

Is there an algorithm to tell yes or no?

DEFINITION

A **preorder** is a pair (P, \leq) ,
where P is a set

and \leq is a relation on it with the following properties:

REFLEXIVE $p \leq p$

TRANSITIVE If $p \leq q$ and $q \leq r$, then $p \leq r$.

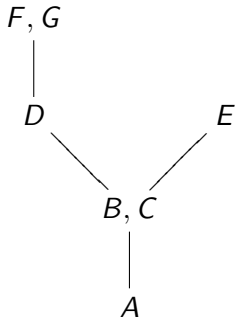
We **need not have** the following property:

ANTI-SYMMETRIC if $p \leq q$ and $q \leq p$, then $p = q$.

An anti-symmetric preorder is a **partially ordered set (poset)**.

A PICTURE OF A PREORDER

$$\Gamma = \left\{ \begin{array}{l} \text{All A are B,} \\ \text{All A are C,} \\ \text{All B are C,} \\ \text{All C are B,} \\ \text{All C are D,} \\ \text{All B are E,} \\ \text{All D are G,} \\ \text{All F are G,} \\ \text{All G are F} \end{array} \right\}$$



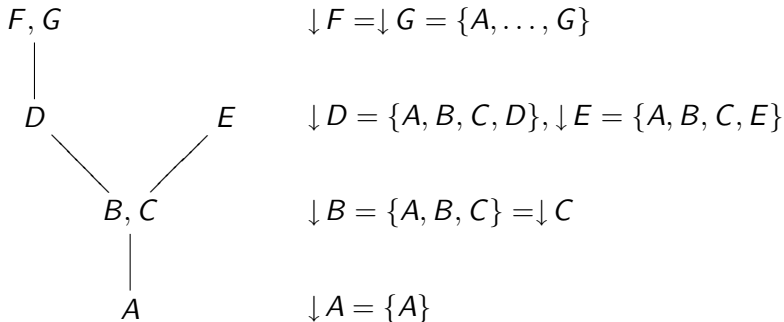
The set P here is $\{A, \dots, G\}$.

The order \leq is given by

$$X \leq Y \quad \text{iff} \quad \Gamma \vdash \text{All } X \text{ are } Y.$$

DOWNSETS IN PREORDERS

In a preorder, $\downarrow p = \{x : x \leq p\}$.



\downarrow is **monotone**: if $p \leq q$, then $\downarrow p \subseteq \downarrow q$.

Suppose that $\Gamma \models \text{All } X \text{ are } Y$.

Let M be the set of variables.

Define $A \leq B$ to mean that $\Gamma \vdash \text{All } A \text{ are } B$.

Check that this is reflexive and transitive, using the logic.

The semantics is via **downsets**:

$$\llbracket A \rrbracket = \downarrow A = \{B : B \leq A\}$$

By transitivity, $\mathcal{M} \models \Gamma$.

Suppose that $\Gamma \models \text{All } X \text{ are } Y$.

Let M be the set of variables.

Define $A \leq B$ to mean that $\Gamma \vdash \text{All } A \text{ are } B$.

Check that this is reflexive and transitive, using the logic.

The semantics is via **downsets**:

$$\llbracket A \rrbracket = \downarrow A = \{B : B \leq A\}$$

By transitivity, $\mathcal{M} \models \Gamma$.

In more detail, suppose Γ contains **All C are D** .

Then if $W \leq C$, then also $W \leq D$.

Suppose that $\Gamma \models \text{All } X \text{ are } Y$.

Let M be the set of variables.

Define $A \leq B$ to mean that $\Gamma \vdash \text{All } A \text{ are } B$.

Check that this is reflexive and transitive, using the logic.

The semantics is via **downsets**:

$$\llbracket A \rrbracket = \downarrow A = \{B : B \leq A\}$$

By transitivity, $\mathcal{M} \models \Gamma$.

So $\llbracket X \rrbracket \subseteq \llbracket Y \rrbracket$.

But by reflexivity $X \in \llbracket X \rrbracket$.

And so $X \in \llbracket X \rrbracket$; this means that $X \leq Y$.

AN EXAMPLE OF HOW THE PROOF WORKS

All A are B

All A are C

All B are C

All C are B

All C are D

All B are E

All D are G

All F are G

All G are F

$[A] = \{A\}$

$[B] = \{A, B, C\}$

$[C] = \{A, B, C\}$

$[D] = \{A, B, C, D\}$

$[E] = \{A, B, C, E\}$

$[F] = \{A, \dots, G\}$

$[G] = \{A, \dots, G\}$

GETTING BACK TO THE QUESTION OF WHETHER OR NOT
 $\Gamma \vdash \text{All } D \text{ are } E.$

Since $[D]$ is not a subset of $[E]$, $\Gamma \not\vdash \text{All } D \text{ are } E.$

By soundness, $\Gamma \not\vdash \text{All } D \text{ are } E.$

SYLLOGISTIC LOGIC OF *All* AND *Some*

Syntax: *All p are q*, *Some p are q*

Semantics: A model \mathcal{M} is a set M ,
and for each noun p we have an interpretation $\llbracket p \rrbracket \subseteq M$.

$$\begin{array}{ll} \mathcal{M} \models \textit{All } p \textit{ are } q & \text{iff } \llbracket p \rrbracket \subseteq \llbracket q \rrbracket \\ \mathcal{M} \models \textit{Some } p \textit{ are } q & \text{iff } \llbracket p \rrbracket \cap \llbracket q \rrbracket \neq \emptyset \end{array}$$

Proof system:

$$\begin{array}{c} \frac{}{\textit{All } p \textit{ are } p} \\ \frac{\textit{Some } p \textit{ are } q}{\textit{Some } q \textit{ are } p} \quad \frac{\textit{Some } p \textit{ are } q}{\textit{Some } p \textit{ are } p} \quad \frac{\textit{All } p \textit{ are } n \quad \textit{All } n \textit{ are } q}{\textit{All } p \textit{ are } q} \quad \frac{\textit{All } q \textit{ are } n \quad \textit{Some } p \textit{ are } q}{\textit{Some } p \textit{ are } n} \end{array}$$

EXAMPLE

IF THERE IS AN n , AND IF ALL n ARE p AND ALSO q , THEN SOME p ARE q .

Some n are n, All n are p, All n are q \vdash *Some p are q.*

The proof tree is

$$\frac{
 \frac{
 \frac{
 \textit{All n are p} \quad \textit{Some n are n}
 }{
 \textit{Some n are p}
 }
 \quad
 \textit{All n are q}
 }{
 \textit{Some p are q}
 }$$

BEYOND FIRST-ORDER LOGIC: CARDINALITY

Read $\exists^{\geq}(X, Y)$ as “there are at least as many X s as Y s”.

$$\frac{\text{All } Y \text{ are } X}{\exists^{\geq}(X, Y)} \quad \frac{\exists^{\geq}(X, Y) \quad \exists^{\geq}(Y, Z)}{\exists^{\geq}(X, Z)}$$

$$\frac{\text{All } Y \text{ are } X \quad \exists^{\geq}(Y, X)}{\text{All } X \text{ are } Y}$$

$$\frac{\text{Some } Y \text{ are } Y \quad \exists^{\geq}(X, Y)}{\text{Some } X \text{ are } X} \quad \frac{\text{No } Y \text{ are } Y}{\exists^{\geq}(X, Y)}$$

The point here is that by working with a **weak basic system**, we can go beyond the expressive power of first-order logic.

THE LANGUAGES \mathcal{S} AND \mathcal{S}^\dagger ADD NOUN-LEVEL NEGATION

Let us add **complemented atoms** \bar{p} on top of
the language of **All** and **Some**,
with interpretation via set complement: $\llbracket \bar{p} \rrbracket = M \setminus \llbracket p \rrbracket$.

So we have

$$\mathcal{S} \left\{ \begin{array}{l} \textit{All } p \textit{ are } q \\ \textit{Some } p \textit{ are } q \\ \textit{All } p \textit{ are } \bar{q} \equiv \textit{No } p \textit{ are } q \\ \textit{Some } p \textit{ are } \bar{q} \equiv \textit{Some } p \textit{ aren't } q \\ \\ \textit{Some non-}p \textit{ are non-}q \end{array} \right\} \mathcal{S}^\dagger$$

THE LOGICAL SYSTEM FOR \mathcal{S}^\dagger

<u> </u>	<u>Some p are q</u>	<u>Some p are q</u>
<i>All p are p</i>	<i>Some p are p</i>	<i>Some q are p</i>

<u>All p are n</u>	<u>All n are q</u>
<i>All p are q</i>	

<u>All n are p</u>	<u>Some n are q</u>
<i>Some p are q</i>	

<u>All q are \bar{q}</u>	
<i>All q are p</i>	<i>Zero</i>

<u>All \bar{q} are q</u>	
<i>All p are q</i>	<i>One</i>

<u>All p are \bar{q}</u>	
<i>All q are \bar{p}</i>	<i>Antitone</i>

<u>Some p are \bar{p}</u>	
φ	<i>Ex falso quodlibet</i>

The system uses

$$\frac{\text{Some } p \text{ are } \bar{p}}{\varphi} \text{ Ex falso quodlibet}$$

and this is prima facie weaker than **reductio ad absurdum**.

One of the logical issues in this work is to determine exactly where various principles are needed.

COMPLETENESS VIA REPRESENTATION OF ORTHOPOSETS

DEFINITION

An **orthoposet** is a tuple $(P, \leq, 0, ')$ such that

POSET \leq is a reflexive, transitive, and antisymmetric relation on the set P .

ZERO $0 \leq p$ for all $p \in P$.

ANTITONE If $x \leq y$, then $y' \leq x'$.

INVOLUTIVE $x'' = x$.

INCONSISTENCY If $x \leq y$ and $x \leq y'$, then $x = 0$.

A KEY POINT

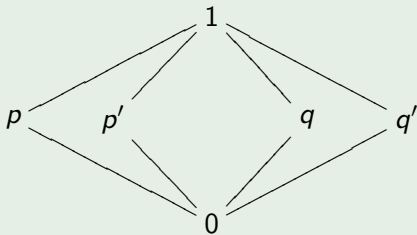
Orthoposets need not have a meet or join operation.

ORTHOPOSETS: TWO EXAMPLES

EXAMPLE

For all sets X we have an orthoposet $(\mathcal{P}(X), \subseteq, \emptyset, ')$, where $a' = X \setminus a$ for all subsets a of X .

EXAMPLE



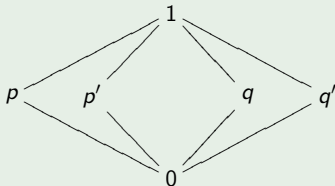
$$(x')' = x, 0' = 1, 1' = 0.$$

ORTHOPOSETS: TWO EXAMPLES

EXAMPLE

For all sets X we have an orthoposet $(\mathcal{P}(X), \subseteq, \emptyset, ')$, where $a' = X \setminus a$ for all subsets a of X .

EXAMPLE



$$(x')' = x, 0' = 1, 1' = 0.$$

THE IDEA

$$\frac{\text{boolean algebra}}{\text{propositional logic}} = \frac{\text{orthoposet}}{\text{logic of All, Some and '}}$$

The details concerning completeness are somewhat different, and the whole thing would take about 10 minutes.

ORTHOPOSETS FROM THE LOGIC

Let Γ be any set of sentences in the fragment.

Let \mathcal{V} be the set of variables.

We already know the preorder \leq :

$$X \leq Y \quad \text{iff} \quad \Gamma \vdash \text{All } X \text{ are } Y.$$

(so **Some** plays no role)

We have an induced equivalence relation \equiv ,

and we take \mathcal{V}_Γ to be the quotient \mathcal{V}/\equiv .

If there is some X such that $X \leq X'$, then set 0 to be $[X]$.

We finally define $[X]' = [X']$.

If there is no X such that $X \leq X'$, we add fresh elements 0 and 1 to \mathcal{V}/\equiv .

It is not hard to check that we have an **orthoposet** \mathcal{V}_Γ .

ORTHOPOSETS FROM LOGIC, CONCRETELY

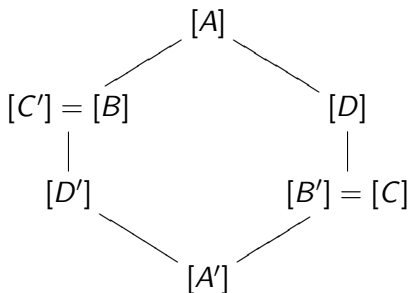
Let $\Gamma =$

$\{\text{All } B \text{ are } A, \text{All } B' \text{ are } A, \text{All } C' \text{ are } B, \text{All } C \text{ are } B', \text{All } C \text{ are } D\}$.

Then

$$\begin{aligned} [A] &= \{A\} & [A'] &= \{A'\} \\ [B] &= \{B, C'\} & [B'] &= \{B', C\} \\ [C] &= \{B', C\} & [C'] &= \{B, C'\} \\ [D] &= \{D\} & [D'] &= \{D'\} \end{aligned}$$

Here is a picture of the orthoposet \mathcal{V}_Γ :



A **point** of an orthoposet $P = (P, \leq, 0, ')$ is a subset $\mathcal{S} \subseteq P$ with the following properties:

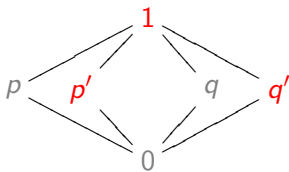
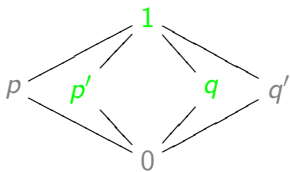
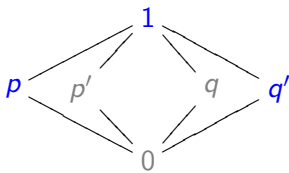
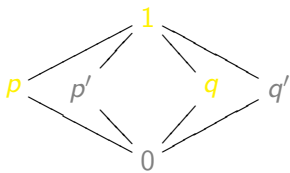
UP-CLOSED If $p \in \mathcal{S}$ and $p \leq q$, then $q \in \mathcal{S}$.

COMPLETE For all p , either $p \in \mathcal{S}$ or $p' \in \mathcal{S}$.

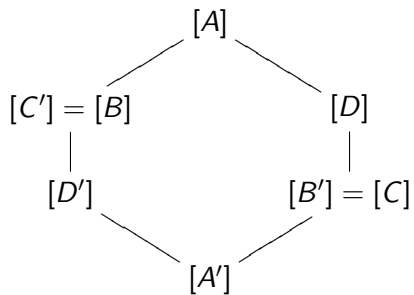
PAIRWISE COMPATIBLE For all $p, q \in \mathcal{S}$, $p \not\leq q'$.

Look back at the Chinese lantern.

There are four points here: the sets marked \bullet , \bullet , \bullet , and \bullet :

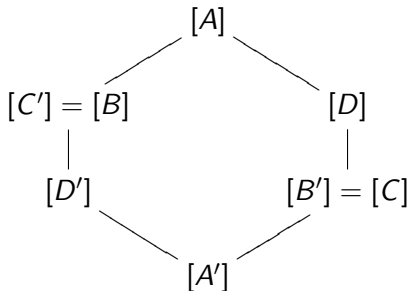


WHAT ARE THE POINTS?



WHAT ARE THE POINTS?

There are three points.



$$\mathcal{S} = \{[D'], [B], [A]\}, \mathcal{T} = \{[B'], [D], [A]\}, \mathcal{U} = \{[B], [D], [A]\}.$$

POINTS NEED NOT BE FILTERS

Let $X = \{1, 2, 3\}$, and let $\mathcal{P}(X)$ be the power set orthoposet. Then \mathcal{S} is a point, where

$$\mathcal{S} = \{\{1, 2\}, \{1, 3\}, \{2, 3\}, \{1, 2, 3\}\}.$$

It is easy to check that the points on this $\mathcal{P}(X)$ are exactly \mathcal{S} as above and the three principal ultrafilters.

\mathcal{S} shows that a point of a boolean algebra need not be a filter.

THE EXTENSION LEMMA FOR PAIRWISE CONSISTENT SETS

LEMMA

Let $\mathcal{S} \subseteq P$ be *pairwise consistent*: $(\forall p, q \in \mathcal{S}) p \not\leq q'$.

Then for all $x \in P$, either $\mathcal{S} \cup \{x\}$ or $\mathcal{S} \cup \{x'\}$ is again pairwise consistent.

PROOF.

Suppose not. Then x and x' figure in to problems both times.

There is some $p \in \mathcal{S}$ such that $p \leq x'$.

There is some $q \in \mathcal{S}$ such that $q \leq x'' = x$.

And now: $q \leq x \leq p'$. Oops!



THE EXTENSION LEMMA FOR PAIRWISE CONSISTENT SETS

LEMMA

Let $S \subseteq P$ be *pairwise consistent*: $(\forall p, q \in S) p \not\leq q'$.

Then for all $x \in P$, either $S \cup \{x\}$ or $S \cup \{x'\}$ is again pairwise consistent.

LEMMA

If $p \not\leq q$, then $\{p, q'\}$ is pairwise consistent.

Thus there is a point S containing p but not q .

PAIRWISE CONSISTENT SETS EXTEND TO POINTS

LEMMA

For a subset S_0 of an orthoposet $P = (P, \leq, 0, ')$, the following are equivalent:

- 1 S_0 is a subset of a point S in P .
- 2 S_0 is pairwise compatible.

PROOF.

Clearly (1) \implies (2).

For the more important direction, use Zorn's Lemma to get $\mathcal{S} \supseteq S_0$ which is pairwise compatible, and maximal with this property.

For all p , either p or p' belongs to \mathcal{S} . [By maximality.]

Check easily that \mathcal{S} is up-closed:

If $p \in \mathcal{S}$, $p \leq q$, but $q \notin \mathcal{S}$, then $q' \in \mathcal{S}$.

And now $p \leq q = (q)'$, so \mathcal{S} is not pairwise compatible. □

REPRESENTATION THEOREM

THE POINT OF POINTS

Let $P = (P, \leq, ')$ be an orthoposet.

Let $\text{points}(P)$ be the set of points of P .

We have an orthoposet

$$(\mathcal{P}(\text{points}(P)), \subseteq, \emptyset, ')$$

Let $m : P \rightarrow \mathcal{P}(\text{points}(P))$ be given by

$$m(p) = \{S : p \in S\}.$$

THEOREM

m is a *strict morphism of orthoposets*:

$$m(0) = \emptyset,$$

$$m(p') = (m(p))',$$

and $p \leq q$ iff $m(p) \subseteq m(q)$.

REPRESENTATION THEOREM

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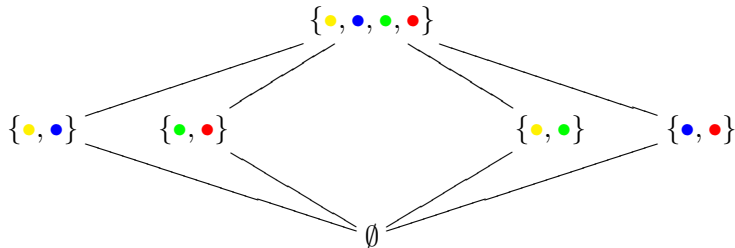
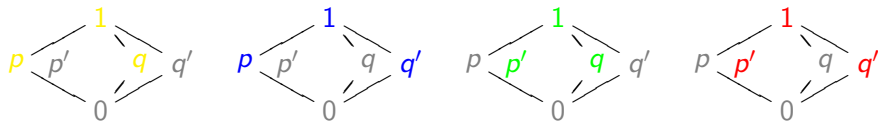
$$m(p') = (m(p))',$$

and $p \leq q$ iff $m(p) \subseteq m(q)$.

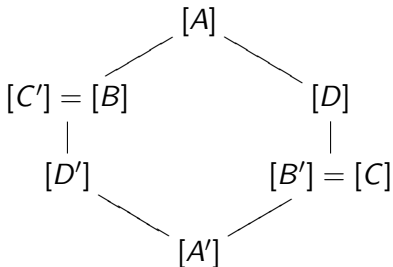
COROLLARY

Every orthoposet is isomorphic to a sub-orthoposet of a power set orthoposet.

HOW THE REPRESENTATION WORKS



$\mathcal{S} = \{[D'], [B], [A]\}$, $\mathcal{T} = \{[B'], [D], [A]\}$, $\mathcal{U} = \{[B], [D], [A]\}$.



$$\begin{array}{ll}
 m([A]) = \{\mathcal{S}, \mathcal{T}, \mathcal{U}\} & m([A']) = \emptyset \\
 m([B]) = \{\mathcal{S}, \mathcal{U}\} & m([B']) = \{\mathcal{T}\} \\
 m([D]) = \{\mathcal{T}, \mathcal{U}\} & m([D']) = \{\mathcal{S}\}
 \end{array}$$

This pretty much solves our earlier problem of getting a model of Γ where $\llbracket B \rrbracket \not\subseteq \llbracket D \rrbracket$.

But how?

SOURCES THE REPRESENTATION THEOREM

N. Zierler and M. Schlessinger

Boolean embeddings of orthomodular sets and quantum logic.
Duke Mathematical Journal 32 (1965), 251–262.

F. Katrnoška

On the representation of orthocomplemented posets.
Comment. Math. Univ. Carolinae 23 (1982), 489–498.

C. S. Calude, P. H. Hertling, K. Svozil

Embedding quantum universes into classical ones.
Foundations of Physics, 29, 3 (1999), 349–379.

LEMMA

Let Γ be consistent in \mathcal{L} (all, some, ').

There is a *canonical model* $\mathcal{M} = (M, \llbracket \rrbracket)$ such that

- ① $\mathcal{M} \models \Gamma$.
- ② If $\mathcal{M} \models$ *All X are Y*, then $\Gamma \vdash$ *All X are Y*.

PROOF.

Let \mathcal{V}_Γ be the syntactic orthoposet for Γ . Let $M = \text{points}(\mathcal{V}_\Gamma)$.

The interpretation $\llbracket \rrbracket : \mathcal{V} \rightarrow \mathcal{P}(M)$ is given by

$$\mathcal{V} \xrightarrow{n} \mathcal{V}_\Gamma \xrightarrow{m} \mathcal{P}(\text{points}(\mathcal{V}_\Gamma)) = \mathcal{P}(M)$$

Key point If Γ contains *Some U are V*, need a point including $\{\llbracket U \rrbracket, \llbracket V \rrbracket\}$.

If none exists, then wlog $U \leq V'$. But then Γ is inconsistent. □

LEMMA

Let Γ be consistent in \vdash .

There is a *canonical model* $\mathcal{M} = (M, \llbracket \rrbracket)$ such that

- ① $\mathcal{M} \models \Gamma$.
- ② If $\mathcal{M} \models$ *All X are Y*, then $\Gamma \vdash$ *All X are Y*.

THIS GIVES HALF OF COMPLETENESS:

If $\Gamma \models$ *All X are Y*,
 then $\mathcal{M} \models$ *All X are Y*,
 and so $\Gamma \vdash$ *All X are Y*.

For *Some* sentences, we need a little more.

IF Γ IS CONSISTENT AND $\Gamma \models$ SOME X ARE Y , THEN
 $\Gamma \vdash$ SOME X ARE Y

LEMMA (IAN PRATT-HARTMANN 2007)

*There is some existential sentence in Γ , say **Some A are B**, such that*

$$\Gamma_{all} \cup \{\text{Some A are B}\} \models \text{Some X are Y}.$$

IF Γ IS CONSISTENT AND $\Gamma \models$ **SOME X ARE Y**, THEN
 $\Gamma \vdash$ **SOME X ARE Y**

Fix A and B as in the lemma.

Consider the model $\mathcal{M} = \mathcal{M}(\mathcal{V}_{\Gamma_{all}})$ of points on $\mathcal{V}_{\Gamma_{all}}$. $\mathcal{M} \models \Gamma_{all}$.

Consider $\{[A], [B], [X']\}$.

If this set were a subset of a point \mathcal{S} , then consider $\{\mathcal{S}\}$ as a one-point submodel of \mathcal{M} .

In the submodel, $\Gamma_{all} \cup \{\text{Some } A \text{ are } B\}$ would hold, and yet **Some X are Y** would fail, since $\llbracket X \rrbracket = \emptyset$.

Therefore $\{[A], [B], [X']\}$ is not pairwise compatible.

There are six cases:

$$\begin{array}{ll} A \leq A' & A \leq B' \\ A \leq X & B \leq B' \\ B \leq X & X' \leq X \end{array}$$

Only **two** are significant.

IF Γ IS CONSISTENT AND $\Gamma \models$ **SOME X ARE Y**, THEN
 $\Gamma \vdash$ **SOME X ARE Y**

Next, consider $\{A, B, Y'\}$.

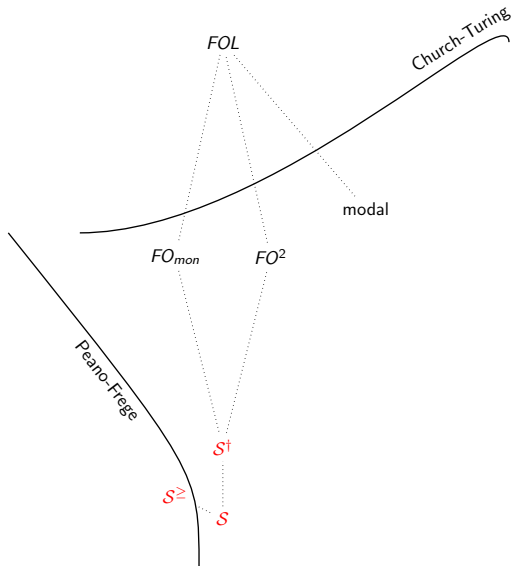
The same analysis gives two other cases: $A \leq Y$ and $B \leq Y$.

Putting these together with the other two gives four pairs.

The case when $A \leq X$ and $B \leq Y$ is representative:

$$\begin{array}{c}
 \vdots \\
 \text{All A are X} \quad \text{Some B are A} \\
 \hline
 \text{Some B are X} \\
 \text{All B are Y} \quad \text{Some X are B} \\
 \hline
 \text{Some X are Y}
 \end{array}$$

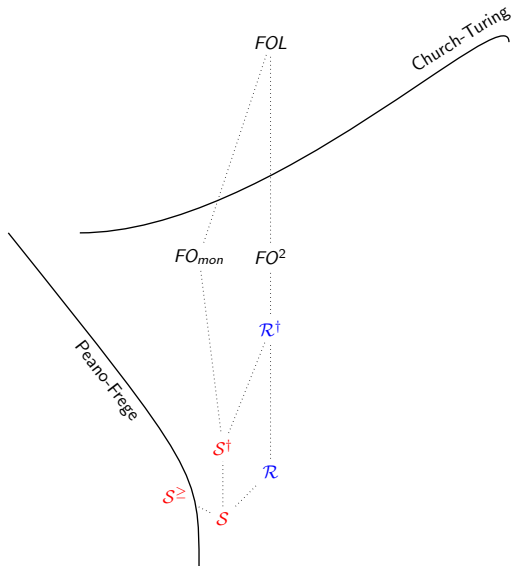
The other cases are similar. This completes the proof.



monadic FOL
2 variable fragment

† adds full *N*-negation
We have discussed these

HOW ABOUT VERBS?



† adds full N -negation

We have discussed these
relational syllogistic
next

ADDING TRANSITIVE VERBS

THE WORK ON \mathcal{R} , \mathcal{R}^\dagger , \mathcal{R}^* , $\mathcal{R}^{\dagger*}$ IS JOINT WITH IAN PRATT-HARTMANN

The next language uses “see” or r as variables for transitive verbs.

All p are q

Some p are q

All p see all q

All p see some q

Some p see all q

Some p see some q

All p aren't q \equiv No p are q

Some p aren't q

All p don't see all q \equiv No p sees any q

All p don't see some q \equiv No p sees all q

Some p don't see any q

Some p don't see some q

The interpretation is the natural one, using the subject wide scope readings in the ambiguous cases.

This is \mathcal{R} .

(The first system of its kind was Nishihara, Morita, Iwata 1990.)

The language \mathcal{R}^\dagger has complemented atoms \bar{p} on top of \mathcal{R} .

TOWARDS THE SYNTAX FOR \mathcal{R}

JOINT WORK WITH IAN PRATT-HARTMANN

<i>All p are q</i>	$\forall(p, q)$
<i>Some p are q</i>	$\exists(p, q)$
<i>All p r all q</i>	$\forall(p, \forall(q, r))$
<i>All p r some q</i>	$\forall(p, \exists(q, r))$
<i>Some p r all q</i>	$\exists(p, \forall(q, r))$
<i>Some p r some q</i>	$\exists(p, \exists(q, r))$
<i>No p are q</i>	$\forall(p, \bar{q})$
<i>Some p aren't q</i>	$\exists(p, \bar{q})$
<i>All p don't r all q</i> \equiv	
<i>No p r any q</i>	$\forall(p, \forall(q, \bar{r}))$
<i>All p don't r some q</i> \equiv	
<i>No p r all q</i>	$\forall(p, \exists(q, \bar{r}))$
<i>Some p don't r any q</i>	$\exists(p, \forall(q, \bar{r}))$
<i>Some p don't r some q</i>	$\exists(p, \exists(q, \bar{r}))$

TOWARDS THE SYNTAX FOR \mathcal{R}

JOINT WORK WITH IAN PRATT-HARTMANN

All p are q $\forall(p, q)$

Some p are q $\exists(p, q)$

All p r all q $\forall(p, \forall(q, r))$

All p r some q $\forall(p, \exists(q, r))$

Some p r all q $\exists(p, \forall(q, r))$

Some p r some q $\exists(p, \exists(q, r))$

No p are q $\forall(p, \bar{q})$

Some p aren't q $\exists(p, \bar{q})$

No p r any q $\forall(p, \forall(q, \bar{r}))$

No p r all q $\forall(p, \exists(q, \bar{r}))$

Some p don't r any q $\exists(p, \forall(q, \bar{r}))$

Some p don't r some q $\exists(p, \exists(q, \bar{r}))$

set terms c	<i>positive</i>	p	$\forall(p, r)$	$\exists(p, r)$
	<i>negative</i>	\bar{p}	$\exists(p, \bar{r})$	$\forall(p, \bar{r})$

$\forall(p, r)$	those who r all p
$\exists(p, r)$	those who r some p
$\forall(p, \bar{r})$	those who fail-to- r all $p \approx$ those who r no p
$\exists(p, \bar{r})$	those who fail-to- r some $p \approx$ those who don't r some p

TOWARDS THE SYNTAX FOR \mathcal{R}

<i>All p are q</i>	$\forall(p, q)$	} simplifies to
<i>Some p are q</i>	$\exists(p, q)$	
<i>All p r all q</i>	$\forall(p, \forall(q, r))$	
<i>All p r some q</i>	$\forall(p, \exists(q, r))$	
<i>Some p r all q</i>	$\exists(p, \forall(q, r))$	
<i>Some p r some q</i>	$\exists(p, \exists(q, r))$	
<i>No p are q</i>	$\forall(p, \bar{q})$	
<i>Some p aren't q</i>	$\exists(p, \bar{q})$	
<i>No p sees any q</i>	$\forall(p, \forall(q, \bar{r}))$	
<i>No p sees all q</i>	$\forall(p, \exists(q, \bar{r}))$	
<i>Some p don't r any q</i>	$\exists(p, \forall(q, \bar{r}))$	
<i>Some p don't r some q</i>	$\exists(p, \exists(q, \bar{r}))$	

set terms c	<i>positive</i>	p	$\forall(p, r)$	$\exists(p, r)$
	<i>negative</i>	\bar{p}	$\exists(p, \bar{r})$	$\forall(p, \bar{r})$

We start with one collection of unary atoms (for nouns)
and another of binary atoms (for transitive verbs).

expression	variables	syntax
unary atom	p, q	
binary atom	r	
positive set term	c^+	$p \mid \exists(p, r) \mid \forall(p, r)$
set term	c, d	$p \mid \exists(p, r) \mid \forall(p, r) \mid$ $\bar{p} \mid \exists(p, \bar{r}) \mid \forall(p, \bar{r})$
\mathcal{R} sentence	φ	$\forall(p, c) \mid \exists(p, c)$
\mathcal{R}^\dagger sentence	φ	$\forall(p, c) \mid \exists(p, c) \mid \forall(\bar{p}, c) \mid \exists(\bar{p}, c)$

We need one last concept, syntactic negation:

expression	syntax	negation
positive set term c	p	\bar{p}
	\bar{p}	p
	$\exists(p, r)$	$\forall(p, \bar{r})$
	$\forall(p, r)$	$\exists(p, \bar{r})$
	$\exists(p, \bar{r})$	$\forall(p, r)$
	$\forall(p, \bar{r})$	$\exists(p, r)$
\mathcal{R} sentence φ	$\forall(p, c)$	$\exists(p, \bar{c})$
	$\exists(p, c)$	$\forall(p, \bar{c})$

Note that $\bar{\bar{p}} = p$, $\bar{\bar{c}} = c$ and $\bar{\bar{\varphi}} = \varphi$.

THEOREM

There are no finite syllogistic logical systems which are sound and complete for \mathcal{R} .

However, there is a logical system (presented below) which uses **reductio ad absurdum**

$$\frac{\begin{array}{c} [\varphi] \\ \vdots \\ \exists(p, \bar{p}) \end{array}}{\bar{\varphi}} \text{RAA}$$

and which is complete.

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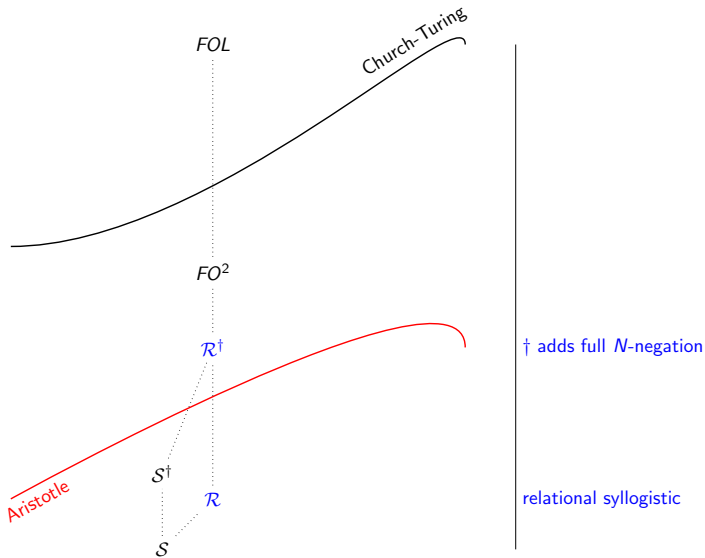
$$\frac{\begin{array}{c} [\varphi] \\ \vdots \\ \exists(p, \bar{p}) \end{array}}{\bar{\varphi}} \text{RAA}$$

and which is complete.

THEOREM

There are **no** finite, sound and complete syllogistic logical systems for \mathcal{R}^\dagger , even ones which allow *RAA*.

THE ARISTOTLE BOUNDARY



THERE ARE NO FINITE, SOUND, AND COMPLETE PURELY SYLLOGISTIC LOGICS $\vdash_{\mathcal{X}}$ FOR \mathcal{R}

Suppose towards a contradiction that \mathcal{X} did it.

We allow rules with arbitrarily many premises.

Fix $n \in \mathbb{N}$ greater than the number of premises in any rule in \mathcal{X} .

Let Y_1, \dots, Y_n be distinct variables .

Let Γ be the following set of \mathcal{R} -formulas:

All Y_i see some Y_{i+1} $(1 \leq i < n)$

All Y_1 see all Y_n

All Y_i are Y_j $(1 \leq i < n)$

All Y_i aren't Y_j $(1 \leq i \neq j \leq n)$

Observe that $\Gamma \models$ *All Y_1 see some Y_n* ,

but this sentence is **not** in Γ .

$$\Gamma = \begin{cases} \text{All } Y_i \text{ see some } Y_{i+1} & (1 \leq i < n) \\ \text{All } Y_1 \text{ see all } Y_n & \\ \text{All } Y_i \text{ are } Y_i & (1 \leq i < n) \\ \text{All } Y_i \text{ aren't } Y_j & (1 \leq i \neq j \leq n) \end{cases}$$

For $1 \leq i < n$, let $\Delta_i = \Gamma \setminus \{\text{All } Y_i \text{ see some } Y_{i+1}\}$.

Claim If $\varphi \in \mathcal{R}$ and $\Delta_i \models \varphi$, then $\varphi \in \Gamma$.

$$\Gamma = \begin{cases} \text{All } Y_i \text{ see some } Y_{i+1} & (1 \leq i < n) \\ \text{All } Y_1 \text{ see all } Y_n & \\ \text{All } Y_i \text{ are } Y_i & (1 \leq i < n) \\ \text{All } Y_i \text{ aren't } Y_j & (1 \leq i \neq j \leq n) \end{cases}$$

For $1 \leq i < n$, let $\Delta_i = \Gamma \setminus \{\text{All } Y_i \text{ see some } Y_{i+1}\}$.

Claim If $\varphi \in \mathcal{R}$ and $\Delta_i \models \varphi$, then $\varphi \in \Gamma$.

It follows this claim that $\Gamma \not\models x \gamma$.

Claim If $\varphi \in \mathcal{R}$ and $\Delta_i \models \varphi$, then $\varphi \in \Gamma$.

We show by induction on proof trees using \mathcal{X} that all deductions from Γ must have an element of Γ on the root.

No rule of \mathcal{X} has more than $n - 1$ premises.

By induction hypothesis, the sentences just above the root are contained in Γ .

So by the claim the root is in Γ .

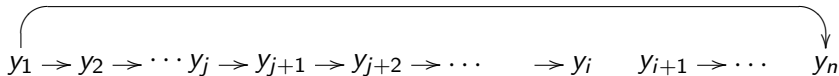
Therefore the logic is not complete.

- All Y_i see some Y_{i+1}* $(1 \leq i < n)$
All Y_1 see all Y_n
All Y_i are Y_i $(1 \leq i < n)$
All Y_i aren't Y_j $(1 \leq i \neq j \leq n)$

For $1 \leq i < n$, let $\Delta_i = \Gamma \setminus \{\textit{All } Y_i \textit{ see some } Y_{i+1}\}$.

Proof sketch We consider every sentence in the language \mathcal{R} . We check is either in Γ or is falsified in some model of Δ_i .

- | | |
|--|--|
| <i>All Y_i are Y_j</i> | <i>All Y_i aren't $Y_j \equiv$ No X are Y_j</i> |
| <i>Some Y_i are Y_j</i> | <i>Some Y_i aren't Y_j</i> |
| <i>All Y_i see all Y_j</i> | <i>All Y_i don't see all $Y_j \equiv$ No X sees any Y_j</i> |
| <i>All Y_i see some Y_j</i> | <i>All Y_i don't see some $Y_j \equiv$ No X sees all Y_j</i> |
| <i>Some Y_i see all Y_j</i> | <i>Some Y_i don't see any Y_j</i> |
| <i>Some Y_i see some Y_j</i> | <i>Some Y_i don't see some Y_j</i> |



This structure satisfies Γ_i and makes a few **false**:

All Y_i are Y_j ✓

Some Y_i are Y_j ✓

All Y_i r all Y_j

All Y_i r some Y_j

Some Y_i r all Y_j

Some Y_i r some Y_j

No Y_j are Y_k ✓ for $j = k$

Some Y_i aren't Y_j

All Y_i don't r all $Y_j \equiv$ No Y_i sees any Y_j

All Y_i don't r some $Y_j \equiv$ No Y_i sees all Y_j

Some Y_i don't r any Y_j

Some Y_i don't r some Y_j

The empty structure satisfies Γ_i and makes a few more **false**:

All Y_j are Y_k ✓

Some Y_j are Y_k ✓

All Y_j r all Y_k

All Y_j r some Y_k

Some Y_j r all Y_k ✓

Some Y_j r some Y_k ✓

No Y_j are Y_k ✓ for $j = k$

Some Y_j aren't Y_k

All Y_j don't r all $Y_k \equiv$ No Y_i sees any Y_j

All Y_j don't r some $Y_k \equiv$ No Y_i sees all Y_j

Some Y_j don't r any Y_k ✓

Some Y_j don't r some Y_k ✓

RELATIONAL SYLLOGISTIC LOGIC

p and q range over unary atoms,
 c over set terms, and t over binary atoms or their negations.

$$\frac{\exists(p, q) \quad \forall(q, c)}{\exists(p, c)}$$

$$\frac{\forall(p, q) \quad \forall(q, c)}{\forall(p, c)}$$

$$\frac{\forall(p, q) \quad \exists(p, c)}{\exists(q, c)}$$

$$\frac{}{\forall(p, p)} \quad \frac{\exists(p, c)}{\exists(p, p)}$$

$$\frac{\forall(q, \bar{c}) \quad \exists(p, c)}{\exists(p, \bar{q})}$$

$$\frac{\forall(p, \bar{p})}{\forall(p, c)} \quad \frac{\exists(p, \exists(q, t))}{\exists(q, q)}$$

$$\frac{\forall(p, \forall(n, t)) \quad \exists(q, n)}{\forall(p, \exists(q, t))}$$

$$\frac{\exists(p, \exists(q, t)) \quad \forall(q, n)}{\exists(p, \exists(n, t))}$$

$$\frac{\forall(p, \exists(q, t)) \quad \forall(q, n)}{\forall(p, \exists(n, t))}$$

$$\frac{[\varphi] \quad \dots \quad \exists(p, \bar{p})}{\bar{\varphi}} \text{ RAA}$$

RELATIONAL SYLLOGISTIC LOGIC

Most are **monotonicity principles**

$$\begin{array}{ll}
 \exists(p^\uparrow, q^\uparrow) & \forall(p^\downarrow, q^\uparrow) \\
 \exists(p^\uparrow, \forall(q^\downarrow, t)) & \exists(p^\uparrow, \exists(q^\uparrow, t)) \\
 \forall(p^\downarrow, \forall(q^\downarrow, t)) & \forall(p^\downarrow, \exists(q^\uparrow, t))
 \end{array}$$

Plus also

$$\frac{}{\forall(p, p)} \quad \frac{\exists(p, c)}{\exists(p, p)} \quad \frac{\forall(p, \bar{p})}{\forall(p, c)} \quad \frac{\exists(p, \exists(q, t))}{\exists(q, q)}$$

$$\frac{\forall(q, \bar{c})}{\exists(p, \bar{q})} \quad \frac{\exists(p, c)}{\exists(p, \bar{q})} \quad (\star) \quad \frac{\forall(p, \forall(n, t))}{\forall(p, \exists(q, t))} \quad \frac{\exists(q, n)}{\exists(q, n)}$$

Of these, (\star) is the most interesting.

Most are **monotonicity principles**

$$\begin{array}{ll}
 \exists(p^{\uparrow}, q^{\uparrow}) & \forall(p^{\downarrow}, q^{\uparrow}) \\
 \exists(p^{\uparrow}, \forall(q^{\downarrow}, t)) & \exists(p^{\uparrow}, \exists(q^{\uparrow}, t)) \\
 \forall(p^{\downarrow}, \forall(q^{\downarrow}, t)) & \forall(p^{\downarrow}, \exists(q^{\uparrow}, t))
 \end{array}$$

Tomorrow I'll talk about monotonicity
and its relation to **categorical grammar**,
generalized quantifiers, and other areas.

Relevant papers:

van Benthem (2007) and earlier

Sanchez Valencia (1991)

van Eijck (2007)

Zamansky, Francez, and Winter (2006)

EXAMPLE OF A PROOF IN THE SYSTEM FOR \mathcal{R}^\dagger

What do you think? Sound or unsound?

\models *All X see all Y, All X see some Z, All Z see some Y*
 \models *All X see some Y*

EXAMPLE OF A PROOF IN THE SYSTEM FOR \mathcal{R}^\dagger

What do you think? Sound or unsound?

$$\begin{array}{l} \text{All } X \text{ see all } Y, \text{ All } X \text{ see some } Z, \text{ All } Z \text{ see some } Y \\ \vdash \text{ All } X \text{ see some } Y \end{array}$$

The conclusion **does indeed** follow:
take cases as to whether or not there are Z .

We **should** have a formal proof.

EXAMPLE OF A PROOF IN THIS SYSTEM

\models All X see all Y, All X see some Z, All Z see some Y
All X see some Y

Some X see no Y

Some X are X All X see some Z

Some X see some Z

Some Z are Z All Z see some Y

Some Z see some Y

Some Y are Y

All X see some Y All X see all Y

Some X aren't X *Some X see no Y*

[Some X see no Y]

Some X are X All X see some Z

Some X see some Z

Some Z are Z

All Z see some Y

Some Z see some Y

Some Y are Y

All X see all Y

All X see some Y

[Some X see no Y]

Some X aren't X

All X see some Y RAA

This shows that

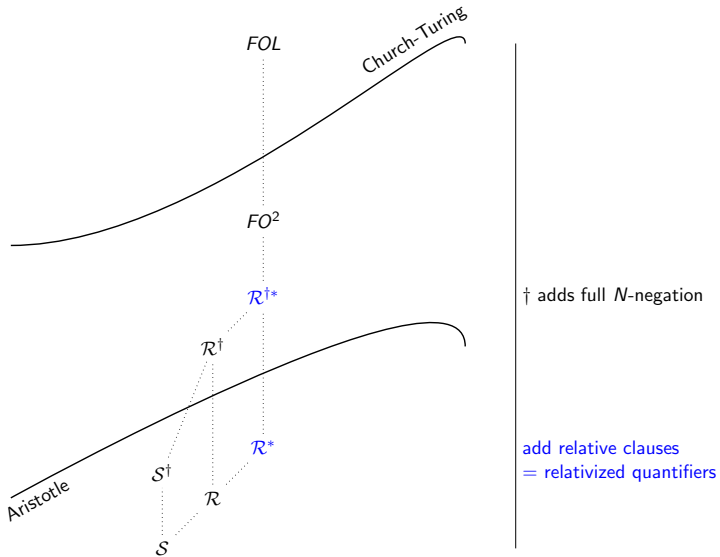
$All X \text{ see all } Y, All X \text{ see some } Z, All Z \text{ see some } Y \vdash All X \text{ see some } Y$

Again, \mathcal{R} has no pure syllogistic proof system.
But it has an **indirect** system (one using RAA).

With a lot more work, one can show that \mathcal{R}^\dagger
doesn't even have an indirect system!

The arguments are reminiscent of arguments in **finite model theory**,
but without the boolean connectives there are many differences.

NEXT: RELATIVE CLAUSES



INFERENCE WITH RELATIVE CLAUSES

What do you think about this one?

All skunks are mammals

All who fear all who respect all skunks fear all who respect all mammals

INFERENCE WITH RELATIVE CLAUSES

It follows, using an interesting **antitonicity** principle:

*All **skunks** are **mammals***

*All **who respect all mammals** **respect all skunks***

INFERENCE WITH RELATIVE CLAUSES

It follows, using an interesting **antitonicity** principle:

All **skunks** are **mammals**

All who **respect all mammals** **respect all skunks**

All who **fear all who respect all skunks** **fear all who respect all mammals**



\mathcal{R}^* allows sentential subjects to be noun phrases containing **subject relative clauses**.

who r all p

who don't r all p

who r some p

who don't r any p

expression	syntax
\mathcal{R}^* sentence	$\forall(d^+, c) \mid \exists(d^+, c)$
$\mathcal{R}^{\dagger*}$ sentence	$\forall(d, c) \mid \exists(d, c)$

d^+ is a positive set term, and c is an arbitrary set term.

$$\frac{\forall(p, q)}{\forall(\forall(q, r), \forall(p, r))} \quad \frac{\forall(p, q)}{\forall(\exists(p, r), \exists(q, r))} \quad \frac{\exists(p, q)}{\forall(\forall(p, r), \exists(q, r))}$$

These rules are based on McAllester and Givan (1992).

The remaining rules for \mathcal{R}^* are generalizations of the \mathcal{R} rules to the bigger syntax.

RETURN OF THE SKUNKS

ITERATED RELATIVE CLAUSES

In a variant of this language which admits iterated relative clauses, we would just have

$$\forall(s, m) \vdash \forall(\forall(\forall(s, r), f), \forall(\forall(m, r), f)),$$

$$\frac{\forall(s, m)}{\forall(\forall(m, r), \forall(s, r))} \\ \frac{\forall(\forall(m, r), \forall(s, r))}{\forall(\forall(\forall(s, r), f), \forall(\forall(m, r), f))}$$

LOGIC BEYOND THE ARISTOTLE BOUNDARY

\mathcal{R}^\dagger and $\mathcal{R}^{\dagger*}$ lie beyond the Aristotle boundary,
due to full negation on nouns.

It is possible to formulate a logical system with
a **restricted notion of variables**,
prove completeness,
and yet stay inside the Turing boundary.

It's a fairly involved definition, so we might skip the details
and instead look at examples.

DETAILS ON THE PROOF SYSTEM FOR $\mathcal{R}^{\dagger*}$

Expression	Variables	Syntax
unary atom	p, q	
binary atom	s	
constant	j, k	
unary literal	l	$p \mid \bar{p}$
binary literal	r	$s \mid \bar{s}$
set term	b, c, d	$l \mid \exists(c, r) \mid \forall(c, r)$
sentence	φ, ψ	$\forall(c, d) \mid \exists(c, d) \mid c(j) \mid r(j, k)$

Think of the constants as proper names: **John**, **Mary**, etc.
 the unary atoms as predicates like **boys** or **girls**,
 the binary atoms by transitive verbs such as **likes** and **sees**.

Recursion allows us to embed set terms, and so we have set terms like

$$\exists(\forall(\forall(b, \bar{s}), h), a)$$

which may be taken to symbolize

a verb phrase such as

admires someone who hates everyone who does not see any boy.

We should note that the relative clauses which can be obtained in this way are all “subject relatives”, never “object relatives”.

The language is too poor to express predicates like

λx .all boys see x .

PROOF SYSTEM: GENERAL SENTENCES

General sentences in this fragment are what usually are called **formulas**.

We prefer to change the standard terminology to make the point that here, sentences are not built from formulas by quantification.

Sentences in our sense do not have variable occurrences.

But **general sentences** do allow variables.

Expression	Variables	Syntax
individual variable	x, y	
individual term	t, u	$x \mid j$
general sentence	α	$\varphi \mid c(t) \mid r(t, u) \mid \perp$

It will turn out that for this fragment, only two variables are needed.

We don't need general sentences of the form $r(j, x)$ or $r(x, j)$.

PROOF SYSTEM: HALF OF THE RULES

$$\frac{c(t) \quad \forall(c, d)}{d(t)} \forall E$$

$$\frac{c(u) \quad \forall(c, r)(t)}{r(t, u)} \forall E$$

$$\frac{c(t) \quad d(t)}{\exists(c, d)} \exists I$$

$$\frac{r(t, u) \quad c(u)}{\exists(c, r)(t)} \exists I$$

PROOF SYSTEM: THE SECOND HALF OF THE RULES

$$\frac{\begin{array}{c} [c(x)] \\ \vdots \\ d(x) \end{array}}{\forall(c, d)} \forall I$$

$$\frac{\begin{array}{c} [c(x)] \\ \vdots \\ r(t, x) \end{array}}{\forall(c, r)(t)} \forall I$$

$$\frac{\begin{array}{c} [c(x)] \quad [d(x)] \\ \vdots \\ \alpha \end{array}}{\exists(c, d)} \exists E$$

$$\frac{\begin{array}{c} [c(x)] \quad [r(t, x)] \\ \vdots \\ \alpha \end{array}}{\exists(c, r)(t)} \exists E$$

$$\frac{\alpha \quad \bar{\alpha}}{\perp} \perp I$$

$$\frac{\begin{array}{c} [\bar{\varphi}] \\ \vdots \\ \perp \end{array}}{\varphi} \text{RAA}$$

PROOF SYSTEM: SIDE CONDITIONS

$$\frac{\begin{array}{c} [c(x)] \\ \vdots \\ d(x) \end{array}}{\forall(c, d)} \forall I$$

$$\frac{\begin{array}{c} [c(x)] \\ \vdots \\ r(t, x) \end{array}}{\forall(c, r)(t)} \forall I$$

$$\frac{\begin{array}{c} [c(x)] \\ \vdots \\ \exists(c, d) \end{array} \quad \begin{array}{c} [d(x)] \\ \vdots \\ \alpha \end{array}}{\alpha} \exists E$$

$$\frac{\begin{array}{c} [c(x)] \\ \vdots \\ \exists(c, r)(t) \end{array} \quad \begin{array}{c} [r(t, x)] \\ \vdots \\ \alpha \end{array}}{\alpha} \exists E$$

In ($\forall I$), x must not occur free in any uncanceled hypothesis.

In ($\exists E$), the variable x must not occur free in the conclusion α or in any uncanceled hypothesis in the subderivation of α .

In contrast to usual first-order natural deduction systems, there are **no side conditions** on the rules ($\forall E$) and ($\exists I$).

EXAMPLE OF A PROOF IN THE SYSTEM

FROM ALL KEYS ARE OLD ITEMS,
INFER EVERYONE WHO OWNS A KEY OWNS AN OLD ITEM

$$\frac{\frac{\frac{[\exists(\textit{key}, \textit{own})(x)]^2}{\exists(\textit{old-item}, \textit{own})(x)} \exists E^1}{\forall(\exists(\textit{key}, \textit{own}), \exists(\textit{old-item}, \textit{own}))} \forall I^2}{\frac{[\textit{own}(x, y)]^1}{\exists(\textit{old-item}, \textit{own})(x)} \exists I}{\frac{[\textit{key}(y)]^1 \quad \forall(\textit{key}, \textit{old-item})}{\textit{old-item}(y)} \forall E} \exists I} \forall E$$

EXAMPLE OF A PROOF IN THE SYSTEM

FROM ALL KEYS ARE OLD ITEMS,
INFER EVERYONE WHO OWNS A KEY OWNS AN OLD ITEM

1	$\forall(\textit{key}, \textit{old-item})$	hyp
2	$\exists(\textit{key}, \textit{own})(x)$	hyp
3	$\textit{key}(y)$	$\exists E, 2$
4	$\textit{own}(x, y)$	$\exists E, 2$
5	$\textit{old-item}(y)$	$\forall E, 1, 3$
6	$\exists(\textit{old-item}, \textit{own})(x)$	$\exists I, 4, 5$
7	$\forall(\exists(\textit{key}, \textit{own}), \exists(\textit{old-item}, \textit{own}))$	$\forall I, 1-6$

FREDERIC FITCH, 1973

NATURAL DEDUCTION RULES FOR ENGLISH, *Phil. Studies*, 24:2, 89–104.

1	John is a man	Hyp
2	Any woman is a mystery to any man	Hyp
<hr/>		
3	Jane Jane is a woman	Hyp
4	Any woman is a mystery to any man	R, 2
5	Jane is a mystery to any man	Any Elim, 4
6	John is a man	R, 1
7	Jane is a mystery to John	Any Elim, 6
8	Any woman is a mystery to John	Any intro, 3, 7

A WORD ON COMPLETENESS/DECIDABILITY/COMPLEXITY OF THE LOGICS FOR \mathcal{R}^\dagger AND $\mathcal{R}^{\dagger*}$

For these logics, one can prove completeness by a Henkin-style argument.

The easiest way to prove decidability would be via the

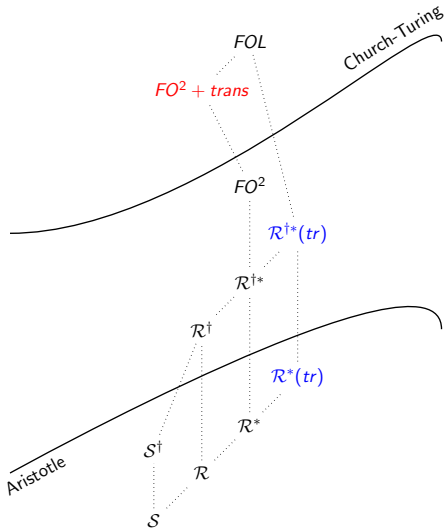
- ▶ finite model property: use **filtration** from modal logic
- ▶ embedding into FO^2
- ▶ embedding into boolean modal logic (better complexity)
- ▶ results on resolution in Pratt-Hartmann 2004 (better complexity)

Also, there is a lower bound using $K +$ universal modality.

The upshot: the validity problem is complete for exponential time.

NEXT: COMPARATIVE ADJECTIVES

USED FOR INFERENCES INVOLVING PHRASES LIKE **BIGGER THAN SOME KITTEN**



Grädel, Otto, Rosen 1999

!!

† adds full N -negation

* adds relative clauses

tr adds comparatives,
requiring transitivity

Every giraffe is taller than every gnu

Some gnu is taller than every lion

Some lion is taller than some zebra

Every giraffe is taller than some zebra

We extend \mathcal{R}^* to a language $\mathcal{R}^*(tr)$ by taking a set \mathbf{A} of **comparative adjective phrases** in the base.

In the semantics, we would require of a model that for $a \in \mathbf{A}$, $\llbracket a \rrbracket$ must be a **transitive** relation.
(At the end of the talk we'll see **irreflexivity**.)

COMPARATIVE ADJECTIVES

Every giraffe is taller than every gnu

Some gnu is taller than every lion

Some lion is taller than some zebra

Every giraffe is taller than some zebra

$$\frac{\forall(p, \exists(q, r))}{\forall(\exists(p, r), \exists(q, r))}$$

$$\frac{\forall(p, \forall(q, r))}{\forall(\exists(p, r), \forall(q, r))}$$

$$\frac{\exists(p, \forall(q, r))}{\forall(\forall(p, r), \forall(q, r))}$$

$$\frac{\exists(p, \exists(q, r))}{\forall(\forall(p, r), \exists(q, r))}$$

COMPARATIVE ADJECTIVES

Every giraffe is taller than every gnu

Some gnu is taller than every lion

Some lion is taller than some zebra

Every giraffe is taller than some zebra

$$\frac{\forall(\text{gir}, \forall(\text{gnu}, \text{taller})) \quad \exists(\text{gnu}, \forall(\text{lion}, \text{taller}))}{\forall(\text{gir}, \forall(\text{lion}, \text{taller})) \quad \exists(\text{lion}, \exists(\text{zebra}, \text{taller}))} \frac{}{\forall(\text{giraffe}, \exists(\text{zebra}, \text{taller}))}$$

ADDING TRANSITIVITY TO $\mathcal{R}^{\dagger*}$

We begin with the logical system for $\mathcal{R}^{\dagger*}$,
and then we add a rule:

$$\frac{a(x, y) \quad a(y, z)}{a(x, z)} \text{ trans}$$

This rule is added for all $a \in \mathbf{A}$, and all x, y, z .

This gives a language $\mathcal{R}^{\dagger*}(tr)$.

EXAMPLE OF THE TRANSITIVITY RULE

Every sweet fruit is bigger than every kumquat

Every fruit bigger than some sweet fruit is bigger than every kumquat

$$\begin{array}{c}
 \frac{\frac{\frac{[kq(z)]^1}{\frac{[sw(y)]^2 \quad \forall(sw, \forall(kq, bigger))}{\forall(kq, bigger)(y)} \quad \forall E}}{bigger(y, z)} \quad \forall E}{bigger(x, z)} \quad \text{trans}}{\frac{bigger(x, z)}{\forall(kq, bigger)(x)} \quad \forall I^1} \quad \exists E^2 \\
 \frac{[\exists(sw, bigger)(x)]^3}{\frac{\forall(kq, bigger)(x)}{\forall(\exists(sw, bigger), \forall(kq, bigger))} \quad \forall I^3}
 \end{array}$$

AN UNEXPECTED CONSEQUENCE

HOW DOES LOGIC ACCOUNT FOR **NATURAL LANGUAGE INFERENCES**?

We want to account for inferences such as

Frege's favorite food was sushi

Frege ate sushi at least once

AN UNEXPECTED CONSEQUENCE

HOW DOES LOGIC ACCOUNT FOR **NATURAL LANGUAGE INFERENCES**?

We want to account for inferences such as

$$\frac{\text{Frege's favorite food was sushi}}{\text{Frege ate sushi at least once}}$$

The hypothesis and conclusion would be

rendered in some logical system or other.

There would be a **background theory** (\approx common sense),

and then the inference would be modeled either as a **semantic** fact:

Common sense + Frege's favorite food was sushi \models Frege ate sushi at least once

or a via a **formal deduction**:

Common sense + Frege's favorite food was sushi \vdash Frege ate sushi at least once

Either way, it's all in **one and the same language.**

Transitivity should not be treated as a **meaning postulate**, since even stating it would seem to render the logic undecidable.

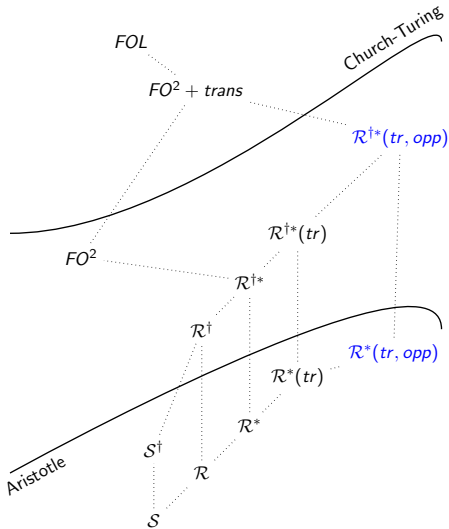
Instead, it is a **proof rule**:

$$\frac{a(x, y) \quad a(y, z)}{a(x, z)} \text{ trans}$$

(I have not proved that one can't formulate a decidable logic which can directly express transitivity using variables and also cover the sentences we've seen. But there are results that suggest it.)

NEXT: RELATIONAL CONVERSES

USED FOR INFERENCES RELATING **BIGGER** AND **SMALLER**



† adds full *N*-negation

* adds relative clauses

opp adds opposites
of comparative adjectives

CONVERSES OF TRANSITIVE RELATIONS

ON TOP OF ALL THE OTHER SYLLOGISTIC SYSTEMS WE HAVE SEEN

$$\frac{\forall(p, \forall(q, t))}{\forall(q, \forall(p, t^{-1}))}$$

$$\frac{\exists(p, \forall(q, t))}{\forall(q, \exists(p, t^{-1}))} \text{ (scope)}$$

$$\frac{\forall(p, \exists(q, r^{-1}))}{\forall(\forall(q, r), \forall(p, r))}$$

$$\frac{\exists(\exists(p, r^{-1}), \exists(q, r))}{\exists(p, \exists(q, r))}$$

$$\frac{\exists(\forall(p, r), \forall(q, r^{-1}))}{\forall(p, \forall(q, r^{-1}))}$$

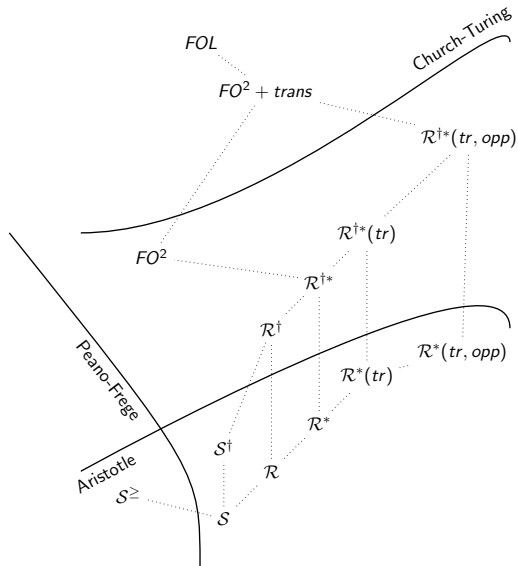
$$\frac{\exists(\forall(p, r), \exists(q, r^{-1}))}{\exists(q, \forall(p, r^{-1}))}$$

$$\frac{\forall(p, \exists(q, r)) \quad \forall(\exists(p, r^{-1}), \exists(n, r))}{\forall(p, \exists(n, r))} \text{ (*)}$$

$$\frac{\forall(p, \exists(q, r)) \quad \forall(\exists(p, r^{-1}), \forall(n, r))}{\forall(p, \forall(n, r))}$$

(scope): if some p is bigger than all q ,
then all q are smaller than some p or other.

(*): if every dog is bigger than some hedgehog,
and everything smaller than some dog is bigger than some cat,
then every dog is bigger than some cat.



first-order logic

$FO^2 + "R \text{ is trans}"$

2 variable FO logic

\dagger adds full N -negation

$R^*(tr)$ + opposites

R^* + (transitive)
comparative adjs

R + relative clauses

S + full N -negation

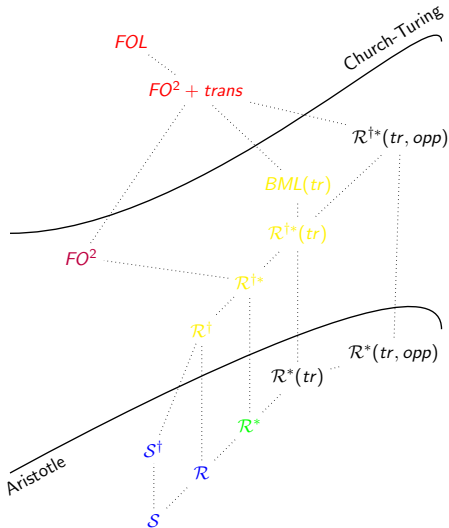
R = relational syllogistic

$S \geq$ adds $|p| \geq |q|$

S : all/some/no p are q

COMPLEXITY

(MOSTLY) BEST POSSIBLE RESULTS ON THE VALIDITY PROBLEM



undecidable

Church 1936

Grädel, Otto, Rosen 1999

in co-NEXPTIME

EXPTIME

Lutz & Sattler 2001

Co-NEXPTIME

Grädel, Kolaitis, Vardi '97

EXPTIME

Pratt-Hartmann 2004

lower bounds also open

Co-NP

McAllester & Givan 1992

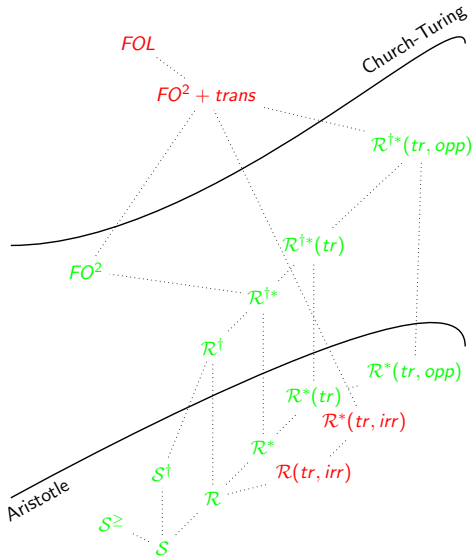
NLOGSPACE

COMPLEXITY SKETCHES

AGAIN, JOINT WITH IAN PRATT-HARTMANN

\mathcal{S}	NLOGSPACE	lower bound via reachability problem for directed graphs
\mathcal{S}^\dagger	NLOGSPACE	upper bound via 2SAT
\mathcal{R}	NLOGSPACE	upper bound takes special work based on the proof system
\mathcal{R}^\dagger	EXPTIME	lower bound via K^U , Hemaspaandra 1996
$\mathcal{R}^{*\dagger}$	EXPTIME	upper bound by Pratt-Hartmann 2004
$BML(tr)$	EXPTIME	Boolean modal logic on transitive models Lutz and Sattler 2001
\mathcal{R}^*	Co-NPTIME	essentially in McAllester and Givan 1992
FO^2	NEXPTIME	Grädel, Kolaitis, and Vardi 1997

THE FINITE MODEL PROPERTY: YES[↓] AND NO[↑]



filtration of a
Henkin model

Mortimer 1975

irr means that
comparative adjectives
must have irreflexive
interpretations.

$$\forall(p, \exists(p, r)) + \exists p$$

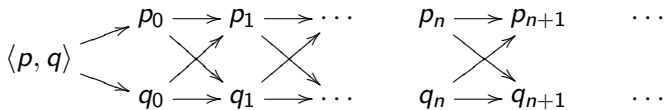
Some p are q

Some p are not q

Some q are not p

Every p is smaller than some q

Every q is smaller than some p



The relation in the model is the transitive closure of the arrows.

NATURAL LOGIC: WHAT I HOPE TO HAVE GOTTEN ACROSS

PROGRAM

Show that significant parts of natural language inference can be carried out in **decidable** logical systems.

Whenever possible, to obtain **complete axiomatizations**, because the resulting logical systems are likely to be interesting.

To be completely mathematical and hence to work using all tools and to make connections to fields like **complexity theory**, **(finite) model theory**, **decidable fragments of first-order logic**, and **algebraic logic**.

LAST WORDS FOR LOGICIANS

- ▶ We must ask whether a complete proof system **is** a semantics.
- ▶ We should not be afraid of doing **logic beyond logic**.
- ▶ Joining the perspectives of **semantics**, **complexity theory**, **proof theory**, **cognitive science**, and **computational linguistics** should allow us to ask interesting questions and answer them.